

# **EXHIBIT 1**

## **Declaration of Dr. Jaimie Meyer**

Pursuant to 28 U.S.C. § 1746, I hereby declare as follows:

### **I. Background and Qualifications**

1. I am Dr. Jaimie Meyer, an Assistant Professor of Medicine at Yale School of Medicine and Assistant Clinical Professor of Nursing at Yale School of Nursing in New Haven, Connecticut. I am board certified in Internal Medicine, Infectious Diseases and Addiction Medicine. I completed my residency in Internal Medicine at NY Presbyterian Hospital at Columbia, New York, in 2008. I completed a fellowship in clinical Infectious Diseases at Yale School of Medicine in 2011 and a fellowship in Interdisciplinary HIV Prevention at the Center for Interdisciplinary Research on AIDS in 2012. I hold a Master of Science in Biostatistics and Epidemiology from Yale School of Public Health.
2. I have worked for over a decade on infectious diseases in the context of jails and prisons. From 2008-2016, I served as the Infectious Disease physician for York Correctional Institution in Niantic, Connecticut, which is the only state jail and prison for women in Connecticut. In that capacity, I was responsible for the management of HIV, Hepatitis C, tuberculosis, and other infectious diseases in the facility. Since then, I have maintained a dedicated HIV clinic in the community for patients returning home from prison and jail. In 2017-2018, I volunteered to run monthly AIDS awareness programming at Danbury FCI and FSL federal prisons for women in Danbury, Connecticut. For over a decade, I have been continuously funded by the NIH, industry, and foundations for clinical research on HIV prevention and treatment for people involved in the criminal justice system, including those incarcerated in closed settings (jails and prisons) and in the community under supervision (probation and parole). I have served as an expert consultant on infectious diseases and women's health in jails and prisons for the UN Office on Drugs and Crimes, the Federal Bureau of Prisons, and others. I also served as an expert health witness for the US Commission on Civil Rights Special Briefing on Women in Prison.
3. I have written and published extensively on the topics of infectious diseases among people involved in the criminal justice system including book chapters and articles in leading peer-reviewed journals (including Lancet HIV, JAMA Internal Medicine, American Journal of Public Health, International Journal of Drug Policy) on issues of prevention, diagnosis, and management of HIV, Hepatitis C, and other infectious diseases among people involved in the criminal justice system.
4. My C.V. includes a full list of my honors, experience, and publications, and it is attached as Exhibit A.
5. I am being paid \$200 per hour for my time reviewing materials and preparing this report.

6. I have not testified as an expert at trial or by deposition in the past four years.
7. I have been asked by the Public Defender Service for the District of Columbia to review and comment on materials in connection with a case to be filed on behalf of certain incarcerated individuals who are at an increased risk of contracting and developing complications from exposure to COVID-19. I was specifically asked to comment on jail conditions during and preparedness for the COVID-19 pandemic.
8. In addition to my knowledge, training, education, and experience in the field of prison healthcare and infectious diseases, and the resources relied upon by experts in infectious diseases and prison health, I also reviewed specifically the Centers for Disease Control and Prevention (CDC) guidance on management of COVID-19 in correctional facilities (available at <https://www.cdc.gov/coronavirus/2019-ncov/community/correction-detention/guidance-correctional-detention.html>), the Bureau of Prisons (BOP) modified operations plan (available at [https://www.bop.gov/coronavirus/covid19\\_status.jsp](https://www.bop.gov/coronavirus/covid19_status.jsp)), the National Commission on Correctional Health Care (NCCHC) materials on COVID-19 (available at <https://www.ncchc.org/COVID-Resources>), and the World Health Organization interim guidance on Preparedness, prevention and control of COVID-19 in prisons and other places of detention (available at [http://www.euro.who.int/\\_data/assets/pdf\\_file/0019/434026/Preparedness-prevention-and-control-of-COVID-19-in-prisons.pdf?ua=1](http://www.euro.who.int/_data/assets/pdf_file/0019/434026/Preparedness-prevention-and-control-of-COVID-19-in-prisons.pdf?ua=1)).

## **II. Heightened Risk of Epidemics in Jails and Prisons**

9. The risk posed by infectious diseases in jails and prisons is significantly higher than in the community, both in terms of risk of transmission, exposure, and harm to individuals who become infected. There are several reasons this is the case, as delineated further below.
10. Globally, outbreaks of contagious diseases are all too common in closed detention settings and are more common than in the community at large. Prisons and jails are not isolated from communities. Staff, visitors, contractors, and vendors pass between communities and facilities and can bring infectious diseases into facilities. Moreover, rapid turnover of jail and prison populations means that people often cycle between facilities and communities. People often need to be transported to and from facilities to attend court and move between facilities. Prison health is public health.
11. Reduced prevention opportunities. Congregate settings such as jails and prisons allow for rapid spread of infectious diseases that are transmitted person to person, especially those passed by droplets through coughing and sneezing. When people must share dining halls, bathrooms, showers, and other common areas, the opportunities for transmission are greater. When infectious diseases are transmitted from person to person by droplets, the best initial strategy is to practice social distancing. When jailed or imprisoned, people have much less of an opportunity to protect themselves by social distancing than they would in the community. Spaces within jails and prisons are often also poorly ventilated, which promotes highly efficient spread of diseases through

droplets. Placing someone in such a setting therefore dramatically reduces their ability to protect themselves from being exposed to and acquiring infectious diseases.

12. Disciplinary segregation or solitary confinement facilities is not an effective disease containment strategy. Beyond the known detrimental mental health effects of solitary confinement, isolation of people who are ill in solitary confinement results in decreased medical attention and increased risk of death. Isolation of people who are ill using solitary confinement also is an ineffective way to prevent transmission of the virus through droplets to others because, except in specialized negative pressure rooms (rarely in medical units if available at all), air continues to flow outward from rooms to the rest of the facility. Risk of exposure is thus increased to other people in prison and staff. Because incarcerated people may perceive quarantine as punitive, or as a living arrangement that allows fewer privileges than their regular housing, incarcerated people may be deterred from self-reporting symptoms to medical staff. As a result, they may remain in congregate settings while infected, potentially transmitting infections to others.
13. Reduced prevention opportunities. During an infectious disease outbreak, people can protect themselves by washing hands. Jails and prisons do not provide adequate opportunities to exercise necessary hygiene measures, such as frequent handwashing or use of alcohol-based sanitizers when handwashing is unavailable. Jails and prisons are often under-resourced and ill-equipped with sufficient hand soap and alcohol-based sanitizers for people detained in and working in these settings. High-touch surfaces (doorknobs, light switches, etc.) should also be cleaned and disinfected regularly with bleach to prevent virus spread, but this is often not done in jails and prisons because of a lack of cleaning supplies and lack of people available to perform necessary cleaning procedures.
14. Reduced prevention opportunities. During an infectious disease outbreak, a containment strategy requires people who are ill with symptoms to be isolated and that caregivers have access to personal protective equipment, including gloves, masks, gowns, and eye shields. Jails and prisons are often under-resourced and ill-equipped to provide sufficient personal protective equipment for people who are incarcerated and caregiving staff, increasing the risk for everyone in the facility of a widespread outbreak.
15. Increased susceptibility. People incarcerated in jails and prisons are more susceptible to acquiring and experiencing complications from infectious diseases than the population in the community.<sup>1</sup> This is because people in jails and prisons are more likely than people in the community to have chronic underlying health conditions, including diabetes, heart disease, chronic lung disease, chronic liver disease, and lower immune systems from HIV.
16. Jails and prisons are often poorly equipped to diagnose and manage infectious disease

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<sup>1</sup> *Active case finding for communicable diseases in prisons*, 391 *The Lancet* 2186 (2018), [https://www.thelancet.com/journals/lancet/article/PIIS0140-6736\(18\)31251-0/fulltext](https://www.thelancet.com/journals/lancet/article/PIIS0140-6736(18)31251-0/fulltext).

outbreaks. Some jails and prisons lack onsite medical facilities or 24-hour medical care. The medical facilities at jails and prisons are almost never sufficiently equipped to handle large outbreaks of infectious diseases. To prevent transmission of droplet-borne infectious diseases, people who are infected and ill need to be isolated in specialized airborne negative pressure rooms. Most jails and prisons have few negative pressure rooms if any, and these may be already in use by people with other conditions (including tuberculosis or influenza). Resources will become exhausted rapidly and any beds available will soon be at capacity. This makes both containing the illness and caring for those who have become infected much more difficult.

17. Jails and prisons lack access to vital community resources to diagnose and manage infectious diseases. Jails and prisons do not have access to community health resources that can be crucial in identifying and managing widespread outbreaks of infectious diseases. This includes access to testing equipment, laboratories, and medications.
18. Jails and prisons often need to rely on outside facilities (hospitals, emergency departments) to provide intensive medical care given that the level of care they can provide in the facility itself is typically relatively limited. During an epidemic, this will not be possible, as those outside facilities will likely be at or over capacity themselves.
19. Health safety. As an outbreak spreads through jails, prisons, and communities, medical personnel become sick and do not show up to work. Absenteeism means that facilities can become dangerously understaffed with healthcare providers. This increases a number of risks and can dramatically reduce the level of care provided. As health systems inside facilities are taxed, people with chronic underlying physical and mental health conditions and serious medical needs may not be able to receive the care they need for these conditions. As supply chains become disrupted during a global pandemic, the availability of medicines and food may be limited.
20. Safety and security. As an outbreak spreads through jails, prisons, and communities, correctional officers and other security personnel become sick and do not show up to work. Absenteeism poses substantial safety and security risk to both the people inside the facilities and the public.
21. These risks have all been borne out during past epidemics of influenza in jails and prisons. For example, in 2012, the CDC reported an outbreak of influenza in 2 facilities in Maine, resulting in two inmate deaths.<sup>2</sup> Subsequent CDC investigation of 995 inmates and 235 staff members across the 2 facilities discovered insufficient supplies of influenza vaccine and antiviral drugs for treatment of people who were ill and prophylaxis for people who were exposed. During the H1N1-strain flu outbreak in

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<sup>2</sup> *Influenza Outbreaks at Two Correctional Facilities — Maine, March 2011*, Centers for Disease Control and Prevention (2012), <https://www.cdc.gov/mmwr/preview/mmwrhtml/mm6113a3.htm>.

2009 (known as the “swine flu”), jails and prisons experienced a disproportionately high number of cases.<sup>3</sup> Even facilities on “quarantine” continued to accept new intakes, rendering the quarantine incomplete. These scenarios occurred in the “best case” of influenza, a viral infection for which there was an effective and available vaccine and antiviral medications, unlike COVID-19, for which there is currently neither.

### III. Profile of COVID-19 as an Infectious Disease<sup>4</sup>

22. The novel coronavirus, officially known as SARS-CoV-2, causes a disease known as COVID-19. The virus is thought to pass from person to person primarily through respiratory droplets (by coughing or sneezing) but may also survive on inanimate surfaces. People seem to be most able to transmit the virus to others when they are sickest but it is possible that people can transmit the virus before they start to show symptoms or for weeks after their symptoms resolve. In China, where COVID-19 originated, the average infected person passed the virus on to 2-3 other people; transmission occurred at a distance of 3-6 feet. Not only is the virus very efficient at being transmitted through droplets, everyone is at risk of infection because our immune systems have never been exposed to nor developed protective responses against this virus. A vaccine is currently in development but will likely not be available for at least another year to the general public. Antiviral medications are currently in testing but not yet FDA-approved, so only available for use in clinical trials. People in prison and jail will likely have even less access to these novel health strategies as they become available.
23. Most people (80%) who become infected with COVID-19 will develop a mild upper respiratory infection but emerging data from China suggests serious illness occurs in up to 16% of cases, including death.<sup>5</sup> Serious illness and death is most common among people with underlying chronic health conditions, like heart disease, lung disease, liver disease, and diabetes, and older age.<sup>6</sup> Death in COVID-19 infection is usually due to pneumonia and sepsis. The emergence of COVID-19 during influenza season means that people are also at risk from serious illness and death due to influenza, especially

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<sup>3</sup> David M. Reutter, *Swine Flu Widespread in Prisons and Jails, but Deaths are Few*, Prison Legal News (Feb. 15, 2010), <https://www.prisonlegalnews.org/news/2010/feb/15/swine-flu-widespread-in-prisons-and-jails-but-deaths-are-few/>.

<sup>4</sup> This whole section draws from Brooks J. Global Epidemiology and Prevention of COVID19, COVID-19 Symposium, Conference on Retroviruses and Opportunistic Infections (CROI), virtual (March 10, 2020); *Coronavirus (COVID-19)*, Centers for Disease Control, <https://www.cdc.gov/coronavirus/2019-ncov/index.html>; Brent Gibson, *COVID-19 (Coronavirus): What You Need to Know in Corrections*, National Commission on Correctional Health Care (February 28, 2020), <https://www.ncchc.org/blog/covid-19-coronavirus-what-you-need-to-know-in-corrections>.

<sup>5</sup> *Coronavirus Disease 2019 (COVID-19): Situation Summary*, Centers for Disease Control and Prevention (March 14, 2020), <https://www.cdc.gov/coronavirus/2019-ncov/summary.html>.

<sup>6</sup> *Clinical course and risk factors for mortality of adult inpatients with COVID-19 in Wuhan, China: a retrospective cohort study*. The Lancet (published online March 11, 2020), [https://www.thelancet.com/journals/lancet/article/PIIS0140-6736\(20\)30566-3/fulltext](https://www.thelancet.com/journals/lancet/article/PIIS0140-6736(20)30566-3/fulltext)

when they have not received the influenza vaccine or the pneumonia vaccine.

24. The care of people who are infected with COVID-19 depends on how seriously they are ill.<sup>7</sup> People with mild symptoms may not require hospitalization but may continue to be closely monitored at home. People with moderate symptoms may require hospitalization for supportive care, including intravenous fluids and supplemental oxygen. People with severe symptoms may require ventilation and intravenous antibiotics. Public health officials anticipate that hospital settings will likely be overwhelmed and beyond capacity to provide this type of intensive care as COVID-19 becomes more widespread in communities.
25. COVID-19 prevention strategies include containment and mitigation. Containment requires intensive hand washing practices, decontamination and aggressive cleaning of surfaces, and identifying and isolating people who are ill or who have had contact with people who are ill, including the use of personal protective equipment. Jails and prisons are totally under-resourced to meet the demand for any of these strategies. As infectious diseases spread in the community, public health demands mitigation strategies, which involves social distancing and closing other communal spaces (schools, workplaces, etc.) to protect those most vulnerable to disease. Jails and prisons are unable to adequately provide social distancing or meet mitigation recommendations as described above.
26. The time to act is now. Data from other settings demonstrate what happens when jails and prisons are unprepared for COVID-19. To date, few state or federal prison systems have adequate (or any) pandemic preparedness plans in place. Systems are just beginning to screen and isolate people on entry and perhaps place visitor restrictions, but this is wholly inadequate when staff and vendors can still come to work sick and potentially transmit the virus to others.

#### **IV. Risk of COVID-19 in the D.C. Department of Corrections’ (“D.C. DOC”) Correctional Treatment Facility and Central Detention Facility (“D.C. jails”)**

27. In making my assessment of the danger of COVID-19 in the District of Columbia jails, I have reviewed the following reports and declarations:
  - i. Reports published following local government inspections of the DC jails:
    - District of Columbia Corrections Information Council, “DC Department of Corrections Inspection Report” (published May 21, 2019);
    - Office of the District of Columbia Auditor, “Poor Conditions Persist at Aging D.C. Jail; New Facility Needed to Mitigate Risks” (published February 28, 2019)

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<sup>7</sup> *Coronavirus Disease 2019 (COVID-19): Interim Clinical Guidance for Management of Patients with Confirmed Coronavirus Disease*, Centers for Disease Control and Prevention (March 7, 2020), <https://www.cdc.gov/coronavirus/2019-ncov/hcp/clinical-guidance-management-patients.html>.

- ii. Report resulting from a non-profit agency inspection of the DC jails:
  - Washington Lawyers' Committee for Civil Rights and Urban Affairs, "D.C. Prisoners: Conditions of Confinement in the District of Columbia" (published June 11, 2015)
  
- iii. Declarations from those living and working within the DC jails:
  - CTF inmates Keon Jackson and Eric Smith
  - CDF inmates D'Angelo Phillips and Edward Banks
  - Public Defender Service staff who entered the jail facilities to meet with clients and who have conducted phone interviews with clients regarding conditions within the jails:
    - Division Chief Jonathan Anderson;
    - Supervising Attorney Joseph Wong;
    - Supervising Attorney Ieshaah Murphy;
    - Staff Attorney Daniel Pond;
    - Staff Attorney Rachel Cicurel;
    - Staff Attorney Ronald Resetarits;
    - Staff Attorney Kavya Naini;
    - Investigative Intern Eileen Johnson;
    - Investigative Intern Katherine Kuenzle;
    - Investigative Intern Samuel Cyphers;
    - March 25, 2020 Fraternal Order of Police Department of Corrections Labor Committee Letter to Quincy L. Booth

28. Based on my review of these materials, my experience working on public health in jails and prisons, and my review of the relevant literature, it is my professional judgment that these facilities are dangerously under-equipped and ill-prepared to prevent and manage a COVID-19 outbreak, which would result in severe harm to detained individuals, jail and prison staff, and the broader community. In addition, the practices and resources of CDF and CTF with regard to sanitation and other policies, as reflected in the declarations of CDF and CTF inmates who have resided in these facilities in March 2020 and the declarations of the PDS attorneys who have visited these facilities in March 2020, lead me to conclude, in my professional judgment, that the inmates, visitors, and employees of these facilities are at imminent risk of contracting COVID-19. Further, based on these same declarations, it is my professional judgment that, now that there is at least one positive case of COVID-19 within the CDF and CTF facilities, the chances are extremely high that most or all of the other inmates of, visitors to, and employees at that facility will contract it as well. Finally, it is my professional judgment that, because of the high likelihood that inmates, visitors, and employees of CDF and CTF will contract COVID-19, combined with the state of limited medical care for inmates at these facilities, any inmate of these facilities who contracts COVID-19 faces a serious and substantial risk of death from COVID-19. The reasons for this conclusion are detailed as follows.<sup>8</sup>

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<sup>8</sup> In the below section, summaries of CDC guidance and general clinic recommendations



## a. General Prevention Practices

- i. **Cleaning and Disinfecting Practices:** Because the SARS-CoV-2 virus (that causes COVID-19 disease) can survive on inanimate objects, high-touch surfaces (including doorknobs, light switches, countertops) should be regularly disinfected with bleach. The CDC recommends cleaning and disinfecting, several times per day, surfaces that are not ordinarily cleaned daily, including doorknobs, light switches, countertops, sink handles, recreation equipment, telephones, kiosks. At least several times per day, staff should clean and disinfect shared equipment, including radios, service weapons, keys, and handcuffs.

*Even before the COVID-19 pandemic, CDF and CTF facilities were described, in a mix of government reports, audits and declarations, as being unsanitary and unhygienic with crumbling physical infrastructure. These conditions will contribute to the rapid spread of COVID-19 within the facility, in the absence of adequate cleaning and disinfecting protocols. Declarations from people incarcerated at CDF and CTF facilities reflect that inmates are provided with rare bottles of Windex to clear their cells, which contains 4% isopropyl alcohol, as compared to the 70% alcohol-containing products or bleach needed to disinfect, per CDC recommendations. In fact, since Windex contains 28% ammonia, it could actually be harmful if mixed with bleach because the reaction generates chlorine gas that irritates the respiratory tract, eyes, and skin.*

- ii. **Hygiene:** Prevention of COVID-19 requires that people have access to soap, private sinks, and clean water for handwashing or alcohol-based hand sanitizers.

*Failure to provide CDF and CTF inmates with a consistent and free supply of hygienic products (including soap and hand sanitizer) will increase the rate at which COVID-19 spreads around these facilities, because hand washing is one of the most effective ways to prevent spread of the virus. Yet declarations from people currently incarcerated in these facilities reflect no access to alcohol-based sanitizers and completely inadequate provision of no-cost soap.*

- iii. **Personal protective equipment (PPE):** CDC recommends that “all staff and incarcerated/detained persons who will have contact with infectious materials in their work placements have been trained correctly to don, doff, and dispose of PPE.” In this case, PPE includes gowns, gloves, face masks, respirators, and eye shields or goggles. N95 respirators require special fit testing and people with facial hair need special accommodations because they cannot achieve a tight enough seal with N95 respirators. Inmates involved in cleaning, laundry, and meal service also need to be trained in how to don and doff personal protective equipment.

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are followed by a discussion in *italics* of how these guidelines apply to the District of Columbia Department of Corrections.

*The lack of personal protective equipment (“PPE”) for both D.C. DOC staff and inmates, as described in the declarations of inmates and attorneys, will result in increased risk of COVID-19 infection. Even if PPE is accessible for CDF and CTF staff, it does not appear from PDS staff declarations that staff have been using PPE. This is also likely to increase the spread of the virus, as even asymptomatic people can transmit the virus. Of particular concern is the lack of PPE for staff who interact with the many visitors that cycle in and out of the facilities.*

*Inmates assigned to cleaning duties are also not provided appropriate PPE, resulting in high risk of contracting COVID-19. The DOC Labor Committee Letter reflects that Correctional Officers who requested PPE to extract inmates who are possible COVID-19 infected and bring them to isolation or quarantine were removed from duty. This is completely unacceptable and will undoubtedly result in COVID-19 infections in the facility and harm to inmates and staff. Absent from the documents I reviewed is any mention of planning for shortages for PPE or training staff on how to use PPE. This is critical because, without training, staff risk exposing themselves and inmates to COVID-19 infection when donning and doffing equipment.*

**b. Screening:** COVID-19 is a virus that spreads easily, primarily from person-to-person through respiratory droplets. It is therefore imperative that people entering closed confinement settings like prisons are properly screened to ensure that they do not bring the virus into the facility. Research suggests that people who are ill with COVID-19 and experiencing symptoms are most likely to transmit the virus to others. The virus can be transmitted very efficiently from person to person within 6 feet, putting staff and inmates at risk of becoming infected unless proper infection prevention and control strategies are implemented.

- i. Screening Inmates:** Current CDC guidance suggests screening should consist of two questions: “1) Today or in the past 24 hours, have you had any of the following symptoms: fever/felt feverish/had chills; cough; difficulty breathing; 2) In the past 14 days have you had contact with a person known to be infected with COVID-19?”

*There is no description in any of the documents I reviewed, that inmates are being screened for COVID-19 on intake. After completing screening, people without symptoms or temperature but who have been exposed to COVID-19, should be quarantined for 14 days to monitor for signs and symptoms of infection. Declarations from inmates suggest quarantining after exposure is occurring but for only 1 week. This premature release from quarantine will likely result in people with COVID-19 infection entering the general population in the facility and infecting others. Given that the average person with COVID-19 infection transmits the disease to 2-3 others (in the best of circumstances in the community*

*where social distancing is possible), this will likely result in the disease spreading through the facility like wildfire.*

- ii. Screening Staff:** CDC recommends verbally screening all staff daily on entry into the facility for COVID-19 symptoms and close contact with cases, and temperature checks.

*It is unclear from the documents I reviewed whether this is occurring, if at all. Per the DOC Labor Committee Letter, there has been no attempt to reassign staff who are at high-risk for COVID-19 themselves, putting staff at high risk of contracting the disease and transmitting to other staff and inmates residing inside the facility.*

- iii. Screening Visitors/Vendors/Contractors:** According to the CDC, visitors and volunteers should also complete verbal screening procedures and temperature checks on entry into the facility.

*The screening procedures described in the PDS staff declarations are insufficient to mitigate the risk that the virus will enter. Of particular concern is the lack of PPE for staff who interact with the many visitors that cycle in and out of the facilities delay; the inadequacy of the visitor screening program to identify asymptomatic carriers and the use of faulty equipment to recognize even symptomatic visitors will all but guarantee that someone infected with COVID-19 will enter the facilities. While some attempts have been clearly made to introduce a screening questionnaire, the questions used are outdated because they still focus on travel screening which has become a moot point in light of widespread community outbreaks, and thus do not align with CDC recommendations. The vast deficits in the screening process is especially important in DC jails, where medical care providers and contractors. If a medical care provider is infected with COVID-19, there is still the high likelihood that they will be able to enter the facility and can infect inmates with whom they have direct patient care contact.*

- c. Social distancing:** When containment strategies become overwhelmed, mitigation strategies require people to practice social distancing. CDC recommends the following strategies: Meals can be staggered, and seating be rearranged in dining halls and common areas (like waiting areas) to enable social distancing, such as removing every other seat. Alternatively, meals could be provided in housing units. Mitigation strategies must be in place for other highly congregate settings, such as recreation, group activities, educational classes, vocational training, and religious services.

*The lack of ability to practice social distancing in the CDF and CTF is also concerning and will the increase rate of spread of the virus. Continuing programming in groups of 30, as one inmate described in his declaration, will inevitably result in increased spread of the virus. The description by another inmate about inmates dipping their hands and cups into a communal cooler of juice also suggests that D.C. DOC is not enforcing even basic social distancing protocols. This is compounded by congregate housing units, in*

*which 40-50 men are sleeping in a single unit, many of whom have respiratory symptoms that are consistent with COVID-19 infection. CDF and CTF facilities are described, in a mix of government reports, audits and declarations as poorly ventilated with overwhelmingly communal shared spaces that are poorly ventilated. This scenario makes social distancing practices impossible, contributing to the rapid spread of COVID-19 once it enters a facility. The ventilation conditions described in the District of Columbia's Auditor's report is also concerning and will increase the rate of spread of the virus. The Department of Corrections' response to the Auditor's report includes D.C. DOC's own conclusion that the "current HVAC system has significant design problems that inhibit proper airflow." Because the virus can spread in an airborne state, ventilation is an important mitigator for the spread of the virus.*

**d. Management of the disease in the facility:** People who have been diagnosed with COVID-19 (either because they exhibit consistent symptoms or because they obtained a positive test), need to be medically isolated to prevent the virus from being transmitted to other people in the facility population. Importantly, medical isolation differs from disciplinary segregation. It should be used as a public health measure that also attends to the medical needs of the individual; not used to deprive them of all freedom of movement. Ideally, people with COVID-19 will be medically isolated near medical units where they can receive clinical care and attention. In people who are older (>65) and with underlying medical conditions, the disease can progress extremely rapidly, so medical attention is critical.

*The delays in access to care that already exist in normal circumstances will only become worse during an outbreak, making it especially difficult for the facilities to contain any infections and to treat those who are infected. The descriptions by inmates that there are day-long delays to see medical staff is highly concerning and will increase the risk of infection-related morbidity and mortality. The District of Columbia's Auditor's observation that the Department of Health does not conduct any inspections of the CTF is troubling, as regular compliance checks are essential to determining whether medical care is adequate.*

- i. Sufficiency of isolation spaces:** Prisons are built to contain people, not diseases. Given how COVID-19 outbreaks have overwhelmed even the most sophisticated hospital systems nationwide, it is unlikely that the D.C. DOC will be adequately equipped or supported once someone in the facility becomes ill with COVID-19. Even mild disease requires close monitoring and that caregivers and/or healthcare personnel have personal protective equipment (PPE), including gloves, gowns, eye shields, and masks, that are not usually available in the D.C. DOC or are potentially in limited supply. Airborne isolation rooms are specially equipped with negative pressure to allow air flow from outside the room to inside. These negative pressure rooms should be used for people with diagnosed or suspected COVID-19 who have more severe disease or are at high risk of aerosolizing droplets (e.g. they are coughing frequently).

A COVID-19 outbreak poses particular risk to people with underlying chronic health conditions, including heart disease, lung disease, liver disease, pregnancy, diabetes, and suppressed immune systems. They have higher risk of becoming infected with COVID-19 if exposed and higher risk of complications and death if infected. People also need continuous access to treatment for their other underlying health conditions, which are at risk during a COVID-19 pandemic in the context of healthcare understaffing and reduced access to medications (if supply chains are interrupted).

*The 2019 D.C. Auditor report suggests there is a single medical isolation space in CTF with negative pressure capacity, located in the Medical 82 unit. The same report noted that, at the time of the audit, the remainder of the 40 beds were nearly entirely filled (at 73% capacity), which would leave few beds available for COVID-19 patients. To say this is unacceptable is an understatement. Given that, as of March 27, 2020 there are around 1600 individuals in D.C. DOC custody, that means approximately 1600 individuals would rely on that single isolation room if they became infected with COVID-19. Clearly demand would outpace need. Individuals who could not be isolated in single spaces could be isolated in cohorts, but only if testing were widely available in the facility, which does not appear to be the case. These issues will culminate in people with COVID-19 infection: 1) remaining in communal settings to easily transmit to everyone in their housing unit or 2) requiring transfer to area hospitals, which will likely also be limited in the context of a community-wide outbreak. Limited bed space may also mean that inmates and staff will be deterred from reporting their symptoms, potentially delaying medical attention and resulting in preventable complications and possibly death.*

- ii. Medical care for other health conditions:** Failure to provide individuals with continuation of the treatment they were receiving in the community, or even just interruption of treatment, for chronic underlying health conditions will result in increased risk of morbidity and mortality related to these chronic conditions. Failure to provide individuals adequate medical care for their underlying chronic health conditions results in increased risk of COVID-19 infection and increased risk of infection-related morbidity and mortality if they do become infected. People with underlying chronic mental health conditions need adequate access to treatment for these conditions throughout their period of detention. Failure to provide adequate mental health care, as may happen when health systems in jails and prisons are taxed by COVID-19 outbreaks, may result in poor health outcomes. Moreover, mental health conditions may be exacerbated by the stress of incarceration during the COVID-19 pandemic, including isolation and lack of visitation. For individuals in these facilities, the experience of an epidemic and the lack of care while effectively trapped can itself be traumatizing, compounding the trauma of incarceration.

*The commonplace neglect of, and delay in providing treatment to, individuals with acute pain and serious health needs under ordinary circumstances is also*

*strongly indicative that the facilities will be ill-equipped to identify, monitor, and treat a COVID-19 epidemic. The failure of these facilities to adequately manage single individuals in need of emergency care is a strong sign that they will be seriously ill-equipped and under-prepared when a number of people will need urgent care simultaneously, as would occur during a COVID-19 epidemic. The statement by two inmates that it can take “days” to receive medical attention is strong evidence that D.C. DOC is seriously ill-equipped and under-prepared, as the COVID-19 outbreak will require D.C. DOC to provide medical attention to a large number of people at once.*

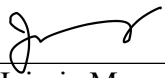
29. The above examples illustrate that the D.C. DOC remains unprepared to address the current COVID-19 pandemic. As the CDC acknowledges, even a prison operating precisely under its guidelines would be a far more dangerous environment than the community, given the mayor’s directive to remain at home and business and school closures in place.
30. D.C. DOC’s inability to adequately contain and treat COVID-19 is especially concerning for higher risk individuals, such as older adults and people with chronic illnesses such as diabetes, liver disease, pregnancy, heart disease, and lung disease. People with these particular characteristics are most susceptible to becoming seriously ill or even dying should they become infected with COVID-19.
31. There is true urgency to act on these facts now. Data from the US during other infectious disease outbreaks (e.g. influenza) and data from other countries during COVID-19 show that when prison systems are unprepared for pandemics, people in prison experience much higher rates of morbidity and mortality than even affected communities. Such crises within prisons endanger communities as a whole by increasing the overall number of cases and increasing pressure on hospitals. There is no current approved vaccine or antiviral medication treatment for COVID-19 so public health preparedness is the only tool we have.
32. Inadequate screening and testing procedures in facilities, including failing to test inmates who have demonstrated symptoms of COVID-19, increase the widespread COVID-19 transmission.

## **V. Conclusion and Recommendations**

33. **The declarations provided by people currently incarcerated in CDF and CTF are alarming and make clear that conditions in the DC jails during this pandemic are dangerous.** It is my professional judgment that individuals placed in these jails are at a significantly higher risk of infection with COVID-19 as compared to the population in the community and that they are at a significantly higher risk of harm if they do become infected. These significantly higher risks include an elevated risk of serious illness (pneumonia and sepsis) and death. DC jails are ill-equipped to prevent COVID-19 from entering its facilities and woefully unprepared to prevent its spread within the facility.

34. Reducing the size of the population in jails and prisons is crucially important to reducing the level of risk both for those within those facilities and for the community at large.
35. As such, from a public health perspective, it is my strong opinion that individuals who can safely and appropriately remain in the community not be placed in DC jails at this time. I am also strongly of the opinion that individuals who are already in those facilities should be evaluated for release.
36. This is especially important for individuals with preexisting conditions (e.g., heart disease, chronic lung disease, chronic liver disease, suppressed immune system, diabetes) or who are over the age of 65. Nonetheless, it remains the case, given the conditions in the DC jails, that everyone in the CDF and CTF is right now at serious risk of contracting COVID-19 and, if that occurs, of dying from it.
37. It is my professional opinion that these steps are both necessary and urgent. The horizon of risk for rapid and severe COVID-19 spread in these facilities is a matter of hours not days. Once a case of COVID-19 is identified in a facility, it is only a matter of time until there is a widespread outbreak. In the past several days, first one, then two, and now four, inmates in D.C. DOC custody have tested positive for COVID-19 with many inmates reportedly in quarantine. More cases are sure to follow because of under-resourced, under-staffed, or minimally implemented infection prevention and control measures.

I declare under penalty of perjury that the foregoing is true and correct.

  
\_\_\_\_\_  
Dr. Jaimie Meyer

March 29, 2020  
Wilton, Connecticut

# **EXHIBIT A**



## CURRICULUM VITAE

Date of Revision: November 20, 2019  
Name: Jaimie Meyer, MD, MS, FACP  
School: Yale School of Medicine

### Education:

BA, Dartmouth College Anthropology 2000  
MD, University of Connecticut School of Medicine 2005  
MS, Yale School of Public Health Biostatistics and Epidemiology 2014

### Career/Academic Appointments:

2005 - 2008 Residency, Internal Medicine, NY Presbyterian Hospital at Columbia, New York, NY  
2008 - 2011 Fellowship, Infectious Diseases, Yale University School of Medicine, New Haven, CT  
2008 - 2012 Clinical Fellow, Infectious Diseases, Yale School of Medicine, New Haven, CT  
2010 - 2012 Fellowship, Interdisciplinary HIV Prevention, Center for Interdisciplinary Research on AIDS, New Haven, CT  
2012 - 2014 Instructor, AIDS, Yale School of Medicine, New Haven, CT  
2014 - present Assistant Professor, AIDS, Yale School of Medicine, New Haven, CT  
2015 - 2018 Assistant Clinical Professor, Nursing, Yale School of Medicine, New Haven, CT

### Board Certification:

AB of Internal Medicine, Internal Medicine, 08-2008, 01-2019  
AB of Internal Medicine, Infectious Disease, 10-2010  
AB of Preventive Medicine, Addiction Medicine, 01-2018

### Professional Honors & Recognition:

#### International/National/Regional

2018 NIH Center for Scientific Review, Selected as Early Career Reviewer  
2017 Doris Duke Charitable Foundation, Doris Duke Charitable Foundation Scholar  
2016 American College of Physicians, Fellow  
2016 NIH Health Disparities, Loan Repayment Award Competitive Renewal  
2016 AAMC, Early Career Women Faculty Professional Development Seminar  
2014 NIH Health Disparities, Loan Repayment Program Award  
2014 NIDA, Women & Sex/Gender Differences Junior Investigator Travel Award  
2014 International Women's/Children's Health & Gender Working Group, Travel Award  
2014 Patterson Trust, Awards Program in Clinical Research  
2013 Connecticut Infectious Disease Society, Thornton Award for Clinical Research  
2011 Bristol Myers-Squibb, Virology Fellows Award

2006 NY Columbia Presbyterian, John N. Loeb Intern Award  
2005 American Medical Women's Association, Medical Student Citation  
2005 Connecticut State Medical Society, Medical Student Award  
2000 Dartmouth College, Hannah Croasdale Senior Award  
2000 Dartmouth College, Palaeopitus Senior Leadership Society Inductee

**Yale University**

2014 Women's Faculty Forum, Public Voices Thought Leadership Program Fellow

**Grants/Clinical Trials History:**

**Current Grants**

Agency: Center for Interdisciplinary Research on AIDS (CIRA)  
I.D.#: 2019-20 Pilot Project Awards  
Title: Optimizing PrEP's Potential in Non-Clinical Settings: Development and Evaluation of a PrEP Decision Aid for Women Seeking Domestic Violence Services  
P.I.: Tiara Willie  
Role: Principal Investigator  
Percent effort: 2%  
Direct costs per year: \$29,993.00  
Total costs for project period: \$29,993.00  
Project period: 7/11/2019 - 7/10/2020

Agency: SAMHSA  
I.D.#: H79 TI080561  
Title: CHANGE: Comprehensive Housing and Addiction Management Network for Greater New Haven  
Role: Principal Investigator  
Percent effort: 20%  
Direct costs per year: \$389,054.00  
Total costs for project period: \$1,933,368.00  
Project period: 11/30/2018 - 11/29/2023

Agency: Gilead Sciences, Inc.  
I.D.#: Investigator Sponsored Award, CO-US-276-D136  
Title: Delivering HIV Pre-Exposure Prophylaxis to Networks of Justice-Involved Women  
Role: Principal Investigator  
Percent effort: 8%  
Direct costs per year: \$81,151.00  
Total costs for project

period: \$306,199.00  
Project period: 6/19/2018 - 1/31/2020

Agency: NIDA  
I.D.#: R21 DA042702  
Title: Prisons, Drug Injection and the HIV Risk Environment  
Role: Principal Investigator  
Percent effort: 22%  
Direct costs per year: \$129,673.00  
Total costs for project period: \$358,276.00  
Project period: 8/1/2017 - 7/31/2020

Agency: Doris Duke Charitable Foundation  
I.D.#: Clinical Scientist Development Award  
Title: Developing and Testing the Effect of a Patient-Centered HIV Prevention Decision Aid on PrEP uptake for Women with Substance Use in Treatment Settings  
Role: Principal Investigator  
Percent effort: 27%  
Direct costs per year: \$149,959.00  
Total costs for project period: \$493,965.00  
Project period: 7/1/2017 - 6/30/2020

### Past Grants

Agency: NIDA  
I.D.#: K23 DA033858  
Title: Evaluating and Improving HIV Outcomes in Community-based Women who Interface with the Criminal Justice System  
Role: Principal Investigator  
Percent effort: 75%  
Direct costs per year: \$149,509.00  
Total costs for project period: \$821,147.00  
Project period: 7/1/2012 - 11/30/2017

Agency: Robert Leet & Clara Guthrie Patterson Trust  
I.D.#: R12225, Award in Clinical Research  
Title: Disentangling the Effect of Gender on HIV Treatment and Criminal Justice Outcomes  
Role: Principal Investigator  
Percent effort: 10%  
Direct costs per year: \$75,000.00

Total costs for project  
period: \$75,000.00  
Project period: 1/31/2014 - 10/31/2015

Agency: Bristol-Myers Squibb  
I.D.#: HIV Virology Fellowship Award  
Title: Effect of newer antiretroviral regimens on HIV biological outcomes in HIV-  
infected prisoners: a 13 year retrospective evaluation  
Role: Principal Investigator  
Percent effort: 10%  
Direct costs per year: \$34,390.00  
Total costs for project  
period: \$34,390.00  
Project period: 12/1/2011 - 11/30/2012

### Pending Grants

Agency: NIMH  
I.D.#: R01 MH121991  
Title: Identifying Modifiable Risk and Protective Processes at the Day-Level that  
Predict HIV Care Outcomes among Women Exposed to Partner Violence  
P.I.: Sullivan, Tami  
Role: Principal Investigator  
Percent effort: 30%  
Direct costs per year: \$499,755.00  
Total costs for project  
period: \$4,148,823.00  
Project period: 1/1/2020 - 12/31/2024

### Invited Speaking Engagements, Presentations, Symposia & Workshops Not Affiliated With Yale:

#### International/National

- 2019: CME Outfitters, Washington, DC. "A Grassroots Approach to Weed out HIV and HCV in Special OUD Populations"
- 2019: US Commission on Civil Rights, Washington, DC. "An Analysis of Women's Health, Personal Dignity and Sexual Abuse in the US Prison System"
- 2018: College of Problems on Drug Dependence, College of Problems on Drug Dependence, San Diego, CA. "Research on Women who Use Drugs: Knowledge and Implementation Gaps and A Proposed Research Agenda"
- 2018: Clinical Care Options, Washington, DC. "Intersection of the HIV and Opioid Epidemics"
- 2016: Dartmouth Geisel School of Medicine, Hanover, NH. "Incarceration as Opportunity: Prisoner Health and Health Interventions"
- 2010: Rhode Island Chapter of the Association of Nurses in AIDS Care, Providence, RI. "HIV and Addiction"

## Regional

- 2018: Clinical Directors Network, New York, NY. "PrEP Awareness among Special Populations of Women and People who Use Drugs"
- 2018: Frank H. Netter School of Medicine, Quinnipiac University, Hamden, CT. "HIV prevention for justice-involved women"
- 2017: Clinical Directors Network, New York, NY. "Optimizing the HIV Care Continuum for People who use Drugs"
- 2016: Frank H. Netter School of Medicine, Quinnipiac University, Hamden, CT. "Topics in Infectious Diseases"
- 2016: Connecticut Advanced Practice Registered Nurse Society, Wethersfield, CT. "Trends in HIV Prevention: Integration of Biomedical and Behavioral Approaches"

## Peer-Reviewed Presentations & Symposia Given at Meetings Not Affiliated With Yale:

### International/National

- 2019: CPDD 81st Annual Scientific Meeting, CPDD, San Antonio, TX. "Punitive approaches to pregnant women with opioid use disorder: Impact on health care utilization, outcomes and ethical implications"
- 2019: 14th International Conference on HIV Treatment and Prevention Adherence, IAPAC Adherence, Miami, FL. "Decision-Making about HIV Prevention among Women in Drug Treatment: Is PrEP Contextually Relevant?"
- 2019: 2019 NIDA International Forum, NIDA, San Antonio, TX. "Diphenhydramine Injection in Kyrgyz Prisons: A Qualitative Study Of A High-Risk Behavior With Implications For Harm Reduction"
- 2019: 11th International Women's and Children's Health and Gender (InWomen's) Group, InWomen's Group, San Antonio, TX. "Uniquely successful implementation of methadone treatment in a women's prison in Kyrgyzstan"
- 2019: Harm Reduction International, Porto, Porto District, Portugal. "How does methadone treatment travel? On the 'becoming-methadone-body' of Kyrgyzstan prisons"
- 2019: APA Collaborative Perspectives on Addiction Annual Meeting, APA Collaborative Perspectives on Addiction Annual Meeting, Providence, RI. "Impact of Trauma and Substance Abuse on HIV PrEP Outcomes among Women in Criminal Justice Systems. Symposium: "Partner Violence: Intersected with or Predictive of Substance Use and Health Problems among Women.""
- 2019: Society for Academic Emergency Medicine (SAEM), Worcester, MA. "Effects of a Multisite Medical Home Intervention on Emergency Department Use among Unstably Housed People with Human Immunodeficiency Virus"
- 2019: Conference on Retroviruses and Opportunistic Infections (CROI), IAS, Seattle, WA. "Released to Die: Elevated Mortality in People with HIV after Incarceration"
- 2019: 12th Academic and Health Policy on Conference on Correctional Health, 12th Academic and Health Policy on Conference on Correctional Health, Las Vegas, NV. "PrEP Eligibility and HIV Risk Perception for Women across the Criminal Justice Continuum in Connecticut"
- 2019: Association for Justice-Involved Female Organizations (AJFO), Atlanta, GA. "Treatment of Women's Substance Use Disorders and HIV Prevention During and Following Incarceration"

- 2018: American Public Health Association (APHA) Annual Meeting, American Public Health Association (APHA) Annual Meeting, San Diego, CA. "New Haven Syringe Service Program: A model of integrated harm reduction and health care services"
- 2018: 12th National Harm Reduction Conference, 12th National Harm Reduction Conference, New Orleans, LA. "Service needs and access to care among participants in the New Haven Syringe Services Program"
- 2018: 22nd International AIDS Conference, 22nd International AIDS Conference, Amsterdam, NH, Netherlands. "HIV risk perceptions and risk reduction strategies among prisoners in Kyrgyzstan: a qualitative study"
- 2018: 22nd International AIDS Conference, 22nd International AIDS Conference, Amsterdam, NH, Netherlands. "Methadone Maintenance Therapy Uptake, Retention, and Linkage for People who Inject Drugs Transitioning From Prison to the Community in Kyrgyzstan: Evaluation of a National Program"
- 2018: NIDA International Forum, NIDA, San Diego, CA. "HIV and Drug Use among Women in Prison in Azerbaijan, Kyrgyzstan and Ukraine"
- 2018: 2018 Conference on Retroviruses and Opportunistic Infections (CROI), CROI, Boston, MA. "From prison's gate to death's door: Survival analysis of released prisoners with HIV"
- 2018: 11th Academic and Health Policy on Conference on Correctional Health, Academic Consortium on Criminal Justice Health, Houston, TX. "Assessing Concurrent Validity of Criminogenic and Health Risk Instruments among Women on Probation in Connecticut"
- 2017: IDWeek: Annual Meeting of Infectious Diseases Society of America, Infectious Diseases Society of America, San Diego, CA. "Predictors of Linkage to and Retention in HIV Care Following Release from Connecticut, USA Jails and Prisons (Oral presentation)"
- 2017: International AIDS Society (IAS) Meeting, International AIDS Society, Paris, Île-de-France, France. "Late breaker: Predictors of Linkage to and Retention in HIV Care Following Release from Connecticut, USA Jails and Prisons"
- 2017: NIDA International Forum, NIDA, Montreal, QC, Canada. "A Mixed Methods Evaluation of HIV Risk among Women with Opioid Dependence in Ukraine"
- 2017: International Women's and Children's Health and Gender Working Group, International Women's and Children's Health and Gender Working Group, Montreal, QC, Canada. "Assessing Receptiveness to and Eligibility for PrEP in Criminal Justice-Involved Women"
- 2017: International Women's and Children's Health and Gender Working Group, International Women's and Children's Health and Gender Working Group, Montreal, QC, Canada. "A Mixed Methods Evaluation of HIV Risk among Women with Opioid Dependence in Ukraine"
- 2017: Annual Meeting of the Society for Applied Anthropology, Society for Applied Anthropology, Santa Fe, NM. "Where rubbers meet the road: HIV risk reduction for women on probation (Oral presentation)"
- 2016: International Women's and Children's Health and Gender Working Group, International Women's and Children's Health and Gender Working Group, Palm Springs, CA. "An Event-level Examination of Successful Condom Negotiation Strategies among College Women"
- 2015: CDC National HIV Prevention Conference, CDC, Atlanta, GA. "Beyond the Syndemic: Condom Negotiation and Use among Women Experiencing Partner Violence (Oral presentation)"

- 2015: International Harm Reduction Conference, International Harm Reduction, Kuala Lumpur, Federal Territory of Kuala Lumpur, Malaysia. "Evidence-Based Interventions to Enhance Assessment, Treatment, and Adherence in the Chronic Hepatitis C Care Continuum"
- 2015: International Women's and Children's Health and Gender Working Group, International Women's and Children's Health and Gender Working Group, Phoenix, AZ. "Violence, Substance Use, and Sexual Risk among College Women"
- 2014: International Women's and Children's Health and Gender Working Group, International Women's and Children's Health and Gender Working Group, San Juan, San Juan, Puerto Rico. "Gender Differences in HIV and Criminal Justice Outcomes"
- 2014: College on Problems in Drug Dependence (CPDD), College on Problems in Drug Dependence (CPDD), San Juan, San Juan, Puerto Rico. "Gender Differences in HIV and Criminal Justice Outcomes"
- 2014: Conference on Retroviruses and Opportunistic Infections (CROI), Conference on Retroviruses and Opportunistic Infections (CROI), Boston, MA. "Longitudinal Treatment Outcomes in HIV-Infected Prisoners and Influence of Re-Incarceration"
- 2013: HIV Intervention and Implementation Science Meeting, HIV Intervention and Implementation Science Meeting, Bethesda, MD. "Women Released from Jail Experience Suboptimal HIV Treatment Outcomes Compared to Men: Results from a Multi-Center Study"
- 2013: Conference on Retroviruses and Opportunistic Infections (CROI), Conference on Retroviruses and Opportunistic Infections (CROI), Atlanta, GA. "Women Released from Jail Experience Suboptimal HIV Treatment Outcomes Compared to Men: Results from a Multi-Center Study"
- 2012: IDWeek: Infectious Diseases Society of America Annual Meeting, Infectious Diseases Society of America, San Diego, CA. "Correlates of Retention in HIV Care after Release from Jail: Results from a Multi-site Study"
- 2012: IDWeek: Infectious Diseases Society of America Annual Meeting, Infectious Diseases Society of America, San Diego, CA. "Frequent Emergency Department Use among Released Prisoners with HIV: Characterization Including a Novel Multimorbidity Index"
- 2012: 5th Academic and Health Policy Conference on Correctional Health, 5th Academic and Health Policy Conference on Correctional Health, Atlanta, GA. "Effects of Intimate Partner Violence on HIV and Substance Abuse in Released Jail Detainees"
- 2011: IAPAC HIV Treatment and Adherence Conference, IAPAC, Miami, FL. "Adherence to HIV treatment and care among previously homeless jail detainees"

## Regional

- 2019: Connecticut Infectious Disease Society, New Haven, CT. "Preliminary Findings from a Novel PrEP Demonstration Project for Women Involved in Criminal Justice Systems and Members of their Risk Networks"
- 2017: Connecticut Public Health Association Annual Conference, Connecticut Public Health Association, Farmington, CT. "The New Haven syringe services program"
- 2014: Connecticut Infectious Disease Society Annual Meeting, Connecticut Infectious Disease Society, Orange, CT. "Longitudinal Treatment Outcomes in HIV-Infected Prisoners and Influence of Re-Incarceration"

- 2013: Connecticut Infectious Disease Society Annual Meeting, Connecticut Infectious Disease Society, Orange, CT. "Women Released from Jail Experience Suboptimal HIV Treatment Outcomes Compared to Men: Results from a Multi-Center Study"
- 2011: Connecticut Infectious Disease Society Annual Meeting, Connecticut Infectious Disease Society, Orange, CT. "Emergency Department Use by Released Prisoners with HIV"

### Professional Service:

#### Peer Review Groups/Grant Study Sections

- 2019 - present Reviewer, NIDA, NIH Reviewer: RFA-DA-19-025: HEAL Initiative: Justice Community Opioid Innovation Network (JCOIN) Clinical Research Centers
- 2019 - present Reviewer, Yale DCFAR Pilot Projects
- 2018 - present Reviewer, Center for Interdisciplinary Research on AIDS (CIRA)
- 2015 - present Reviewer, University of Wisconsin-Milwaukee Research Growth Initiative

#### Advisory Boards

- 2017 Advisor, HIV Prevention and Treatment in Cis-Gendered Women, Gilead Sciences, Inc.

#### Journal Service

##### *Editor/Associate Editor*

- 2019 - present Associate Editor, Journal of the International Association of Providers of AIDS Care (JIAPAC), Section Editor: Sex and Gender Issues

##### *Reviewer*

- 2019 - present Reviewer, JAIDS
- 2012 - present Reviewer, Addiction Sci and Clin Pract
- 2012 - present Reviewer, Addictive Behav Reports
- 2012 - present Reviewer, AIDS Care
- 2012 - present Reviewer, Social Science and Medicine
- 2012 - present Reviewer, SpringerPlus
- 2012 - present Reviewer, Substance Abuse Treatment Prevention and Policy
- 2012 - present Reviewer, Women's Health Issues
- 2012 - present Reviewer, Yale Journal of Biology and Medicine
- 2012 - present Reviewer, AIMS Public Health
- 2012 - present Reviewer, American Journal on Addictions
- 2012 - present Reviewer, American Journal of Epidemiology
- 2012 - present Reviewer, American Journal of Public Health
- 2012 - present Reviewer, Annals Internal Medicine
- 2012 - present Reviewer, BMC Emergency Medicine
- 2012 - present Reviewer, BMC Infectious Diseases
- 2012 - present Reviewer, BMC Public Health
- 2012 - present Reviewer, BMC Women's Health



2012 - present Reviewer, Clinical Infectious Diseases  
2012 - present Reviewer, Critical Public Health  
2012 - present Reviewer, Drug and Alcohol Dependence  
2012 - present Reviewer, Drug and Alcohol Review  
2012 - present Reviewer, Epidemiologic Reviews  
2012 - present Reviewer, Eurosurveillance  
2012 - present Reviewer, Health and Justice (Springer Open)  
2012 - present Reviewer, International Journal of Drug Policy  
2012 - present Reviewer, International Journal of Prisoner Health  
2012 - present Reviewer, International Journal of STDs and AIDS  
2012 - present Reviewer, International Journal of Women's Health  
2012 - present Reviewer, JAMA Internal Medicine  
2012 - present Reviewer, Journal of Family Violence  
2012 - present Reviewer, Journal of General Internal Medicine  
2012 - present Reviewer, Journal of Immigrant and Minority Health  
2012 - present Reviewer, Journal of International AIDS Society  
2012 - present Reviewer, Journal of Psychoactive Drugs  
2012 - present Reviewer, Journal of Urban Health  
2012 - present Reviewer, Journal of Women's Health  
2012 - present Reviewer, Open Forum Infectious Diseases  
2012 - present Reviewer, PLoS ONE  
2012 - present Reviewer, Public Health Reports

#### Professional Service for Professional Organizations

##### *AAMC Group on Women in Medicine and Science (GWIMS)*

2016 - present Member, AAMC Group on Women in Medicine and Science (GWIMS)

##### *American College of Physicians*

2016 - present Fellow, American College of Physicians  
2013 - 2016 Member, American College of Physicians

##### *American Medical Association*

2005 - present Member, American Medical Association

##### *American Medical Women's Association*

2011 - present Member, American Medical Women's Association

##### *American Society of Addiction Medicine*

2009 - present Member, American Society of Addiction Medicine

*Connecticut Infectious Disease Society*

2011 - present Member, Connecticut Infectious Disease Society

*Infectious Disease Society of America*

2008 - present Member, Infectious Disease Society of America

*InWomen's Network, NIDA International Program*

2013 - present Member, InWomen's Network, NIDA International Program

*New York State Medical Society*

2005 - 2008 Member, New York State Medical Society

**Yale University Service**

*University Committees*

2016 - 2018 Council Member, Leadership Council, Women's Faculty Forum

*Medical School Committees*

2015 - 2016 Committee Member, US Health and Justice Course, Yale School of Medicine

2014 - present Committee Member, Yale Internal Medicine Traditional Residency Intern Selection Committee

**Public Service**

2019 - present Faculty Member, Yale University Program in Addiction Medicine

2017 - present Faculty Member, Arthur Liman Center for Public Interest Law, Yale Law School

2013 - present Mentor, Women in Medicine at Yale Mentoring Program

2012 - present Faculty Member, Yale Center for Interdisciplinary Research on AIDS

2009 - 2011 Instructor, Preclinical Clerkship Tutor, Yale School of Medicine

2002 Fellow, Soros Open Society Institute

1998 - 1999 Fellow, Costa Rican Humanitarian Foundation

**Bibliography:**

**Peer-Reviewed Original Research**

1. **Meyer JP**, Qiu J, Chen NE, Larkin GL, Altice FL. Emergency department use by released prisoners with HIV: an observational longitudinal study. *PloS One* 2012, 7:e42416.
2. Chen NE, **Meyer JP**, Bollinger R, Page KR. HIV testing behaviors among Latinos in Baltimore City. *Journal Of Immigrant And Minority Health / Center For Minority Public Health* 2012, 14:540-51.
3. Chitsaz E, **Meyer JP**, Krishnan A, Springer SA, Marcus R, Zaller N, Jordan AO, Lincoln T, Flanigan TP, Porterfield J, Altice FL. Contribution of substance use disorders on HIV treatment outcomes and antiretroviral medication adherence among HIV-infected persons entering jail. *AIDS And Behavior* 2013, 17 Suppl 2:S118-27.

4. Chen NE, **Meyer JP**, Avery AK, Draine J, Flanigan TP, Lincoln T, Spaulding AC, Springer SA, Altice FL. Adherence to HIV treatment and care among previously homeless jail detainees. *AIDS And Behavior* 2013, 17:2654-66.
5. Althoff AL, Zelenev A, **Meyer JP**, Fu J, Brown SE, Vagenas P, Avery AK, Cruzado-Quiñones J, Spaulding AC, Altice FL. Correlates of retention in HIV care after release from jail: results from a multi-site study. *AIDS And Behavior* 2013, 17 Suppl 2:S156-70.
6. Williams CT, Kim S, **Meyer J**, Spaulding A, Teixeira P, Avery A, Moore K, Altice F, Murphy-Swallow D, Simon D, Wickersham J, Ouellet LJ. Gender differences in baseline health, needs at release, and predictors of care engagement among HIV-positive clients leaving jail. *AIDS And Behavior* 2013, 17 Suppl 2:S195-202.
7. **Meyer JP**, Wickersham JA, Fu JJ, Brown SE, Sullivan TP, Springer SA, Altice FL. Partner violence and health among HIV-infected jail detainees. *International Journal Of Prisoner Health* 2013, 9:124-41.
8. **Meyer JP**, Qiu J, Chen NE, Larkin GL, Altice FL. Frequent emergency department use among released prisoners with human immunodeficiency virus: characterization including a novel multimorbidity index. *Academic Emergency Medicine : Official Journal Of The Society For Academic Emergency Medicine* 2013, 20:79-88.
9. **Meyer JP**, Cepeda J, Springer SA, Wu J, Trestman RL, Altice FL. HIV in people reincarcerated in Connecticut prisons and jails: an observational cohort study. *The Lancet. HIV* 2014, 1:e77-e84.
10. **Meyer JP**, Zelenev A, Wickersham JA, Williams CT, Teixeira PA, Altice FL. Gender disparities in HIV treatment outcomes following release from jail: results from a multicenter study. *American Journal Of Public Health* 2014, 104:434-41.
11. **Meyer JP**, Cepeda J, Wu J, Trestman RL, Altice FL, Springer SA. Optimization of human immunodeficiency virus treatment during incarceration: viral suppression at the prison gate. *JAMA Internal Medicine* 2014, 174:721-9.
12. **Meyer JP**, Cepeda J, Taxman FS, Altice FL. Sex-Related Disparities in Criminal Justice and HIV Treatment Outcomes: A Retrospective Cohort Study of HIV-Infected Inmates. *American Journal Of Public Health* 2015, 105:1901-10.
13. Boyd AT, Song DL, **Meyer JP**, Altice FL. Emergency department use among HIV-infected released jail detainees. *Journal Of Urban Health : Bulletin Of The New York Academy Of Medicine* 2015, 92:108-35.
14. Shrestha R, Karki P, Altice FL, Huedo-Medina TB, **Meyer JP**, Madden L, Copenhaver M. Correlates of willingness to initiate pre-exposure prophylaxis and anticipation of practicing safer drug- and sex-related behaviors among high-risk drug users on methadone treatment. *Drug And Alcohol Dependence* 2017, 173:107-116.
15. Peasant C, Sullivan TP, Weiss NH, Martinez I, **Meyer JP**. Beyond the syndemic: condom negotiation and use among women experiencing partner violence. *AIDS Care* 2017, 29:516-523.
16. Wickersham JA, Gibson BA, Bazazi AR, Pillai V, Pedersen CJ, **Meyer JP**, El-Bassel N, Mayer KH, Kamarulzaman A, Altice FL. Prevalence of Human Immunodeficiency Virus and Sexually Transmitted Infections Among Cisgender and Transgender Women Sex Workers in Greater Kuala Lumpur, Malaysia: Results From a Respondent-Driven Sampling Study. *Sexually Transmitted Diseases* 2017, 44:663-670.
17. Hoff E, Marcus R, Bojko MJ, Makarenko I, Mazhnaya A, Altice FL, **Meyer JP**. The effects of opioid-agonist treatments on HIV risk and social stability: A mixed methods study of women with opioid use disorder in Ukraine. *Journal Of Substance Abuse Treatment* 2017, 83:36-44.

18. Rutledge R, Madden L, Ogbuagu O, **Meyer JP**. HIV Risk perception and eligibility for pre-exposure prophylaxis in women involved in the criminal justice system. *AIDS Care* 2018, 30:1282-1289.
19. Peasant C, Sullivan TP, Ritchwood TD, Parra GR, Weiss NH, **Meyer JP**, Murphy JG. Words can hurt: The effects of physical and psychological partner violence on condom negotiation and condom use among young women. *Women & Health* 2018, 58:483-497.
20. Loeliger KB, Altice FL, Desai MM, Ciarleglio MM, Gallagher C, **Meyer JP**. Predictors of linkage to HIV care and viral suppression after release from jails and prisons: a retrospective cohort study. *The Lancet. HIV* 2018, 5:e96-e106.
21. Odio CD, Carroll M, Glass S, Bauman A, Taxman FS, **Meyer JP**. Evaluating concurrent validity of criminal justice and clinical assessments among women on probation. *Health & Justice* 2018, 6:7.
22. Loeliger KB, Altice FL, Ciarleglio MM, Rich KM, Chandra DK, Gallagher C, Desai MM, **Meyer JP**. All-cause mortality among people with HIV released from an integrated system of jails and prisons in Connecticut, USA, 2007-14: a retrospective observational cohort study. *The Lancet. HIV* 2018, 5:e617-e628.
23. Loeliger KB, **Meyer JP**, Desai MM, Ciarleglio MM, Gallagher C, Altice FL. Retention in HIV care during the 3 years following release from incarceration: A cohort study. *PLoS Medicine* 2018, 15:e1002667.
24. Azbel L, Wegman MP, Polonsky M, Bachireddy C, **Meyer J**, Shumskaya N, Kurmanalieva A, Dvoryak S, Altice FL. Drug injection within prison in Kyrgyzstan: elevated HIV risk and implications for scaling up opioid agonist treatments. *International Journal Of Prisoner Health* 2018, 14:175-187.
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# **EXHIBIT B**

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DANIEL S. CROWLEY \*†‡  
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UNDER SUPERVISION OF J. MICHAEL  
HANNON

March 25, 2020

## VIA ELECTRONIC TRANSMISSION ONLY

Quincy L. Booth  
Office of the Director  
D.C. Department of Corrections

### IMPLEMENTATION OF MAYOR'S ORDERS ON COVID-19

We present this letter on behalf of the bargaining unit for all D.C. Jail corrections officers, the Fraternal Order of Police Department of Corrections Labor Committee.

### INTRODUCTION

Today the Mayor announced the number of positive COVID-19 infected persons in the District of Columbia increased by 46 new cases to a total of 183. Notably, of the new cases 13 are in their 20s, 12 are in their 30s, and only 7 are over the age of 60. The Mayor's update also reports the following:

With ongoing community transmission, contact tracing is focused on positive cases associated with childcare facilities, schools and universities, healthcare facilities, senior care facilities, *correctional and detention centers*, and facilities serving individuals who are experiencing homelessness. Guidance will be published for healthcare providers, employers and the public to provide information on what to do if you have been diagnosed with or are a contact of someone who has COVID-19.

On March 19, our office sent an email to DOC regarding the quarantine of 50 inmates who came in contact with an infected U.S. Marshal at the Courthouse. (Attachment A). We received no response.

On the same date March 19, we sent a "Request for Information on Potentially Dangerous Conditions at the DOC Facilities" to DOC's General Counsel and to its HR Director. (Attachment B). The next morning, DOC's General Counsel responded: "DOC is preparing a response to your inquires and will contact you as soon as possible." We have received no response.

On March 23, 2020, the FOP/DOC Labor Committee delivered to the DOC leadership and posted throughout the D.C. Jail an Announcement regarding critical actions to be addressed



by the Labor Committee regarding COVID-19, as well as the failure to prosecute an inmate for the brutal beating of a corrections officer. (Attachment C).

Instead of communicating with the Union as requested on March 19, 2020, you issued a notice on the same date of March 23, announced at every roll call, falsely stating: "It has come to the agency's attention that employees of the DOC intend to engage in either a walkout and/or protest on the grounds of the DOC." Rather than communicating honestly with the Union on these critical conditions of work, you threatened the corrections officers with discipline. This action was clearly in retaliation for the Union presenting legitimate concerns about your failure to implement protections for inmates and corrections officers. This is not only an unfair labor practice and a prohibited personnel practice, but also a violation of the District of Columbia Whistleblower Reinforcement Act of 1998.

In the afternoon of yesterday, March 24, you summoned the FOP/DOC Labor Committee President Cpl. Benjamin Olubasusi to your office at the Reeves Center. Without notice to HANNON LAW GROUP, you put Deputy Mayor Kevin Donahue and Mayor Bowser on your speaker phone. The Mayor then falsely warned Cpl. Olubasusi that it is a "crime" for D.C. corrections officers "to walk out of the Jail." You asked Cpl. Olubasusi what the Union wants, as if our communications over the past 5 days were never read.

Also yesterday afternoon, HANNON LAW GROUP participated in a National Conference Call sponsored by the National Fraternal Order of Police on COVID-19. Speakers on the call included high-ranking experts from the Department of Homeland Security, FEMA, and the White House, as well as FOP State Representatives from all 50 states. The purpose of the call is to implement protocols for law enforcement officers and corrections officers nationwide. Highlights from the call are the following:

Increasing infections will reduce the number of officers on duty.

Loss of officers on duty will increase the work load on the uninfected officers.

The resultant lack of officers will lead to additional crime and risk in the corrections system.

PPE equipment is not being provided to officers nationwide.

Because "social distancing" is impossible in law enforcement, the infection rate among officers will be higher than the general population.

Coordination and Communication between and among political leaders and officers is the most important criteria to meet this challenge.

State health departments, which are in control of the distribution of PPE, must make law enforcement and corrections officers a priority group for receipt of PPE and education.

## CONDITIONS AT THE D.C. JAIL

The FOP/DOC Labor Committee, its Shop Stewards, and its members walk the line in the D.C. Jail 24/7. You, Director Booth, are miles away at the Reeves Center, and your administrative staff remain behind glass walls and doors in the administrative section of the D.C. Jail, all in splendid isolation from the inmate population, with regular disinfection teams in gowns, gloves and masks. Here is the report of our members on conditions at the Jail:

1. There is no Communication and Coordination between DOC leaders and the FOP/DOC Labor Committee. Labor/Management meetings are repeatedly cancelled, including one meeting scheduled during this critical time period.
2. Inmates coming into the Jail are not screened for symptoms of COVID-19.
3. Corrections Officers receiving and discharging inmates have no PPE; however, they must have direct contact with these inmates.
4. Inmates continue to move within the Jail. Those inmates in the four restricted housing units must be escorted by hand by corrections officers without any PPE. Inmates in non-restricted housing units travel alone through the Jail as required for appointments. At latest count, there were 1,149 inmates in the Central Detention Facility and 509 in the Correctional Treatment Facility.
5. The corrections officers assigned to housing units have no masks, insufficient gloves, no gowns, no disinfectants, and no comprehensive cleaning occurs on a regular basis in these units. In each unit, one corrections officer is required to be out among the inmates at all times, without any protection.
6. Inmates are not required to engage in any of the behaviors which the Mayor recommends for the general population, such as “social distancing”, repeated hand-washing, and health monitoring.
7. Case workers must meet with inmates in small offices with no PPE or other distancing measures.
8. Inmates continue to engage in recreation in a common yard, also without social distancing or other protections from the spread of COVID-19.
9. Corrections officers enter the Jail three shifts a day. There is no distancing at entrances, no distancing at roll calls, no attempt to obtain or record health concerns of each officer.
10. When the D.C. Jail was forced to quarantine 65 inmates who were at risk to exposure to COVID-19 when they were at court, an ERT was designated to remove them from the general population to a “quarantine” housing unit. The

ERT members refused the assignment without provision of gowns and other appropriate PPE protection. They were ordered to remove the inmates with only masks and gloves. During the extraction, one of the members was spit upon by an inmate. Sgt. Alexander and Sgt. Graham were then removed from the ERT team and assigned to another post outside the Jail.

11. These inmates were not “quarantined” in any meaningful manner. They were housed two to a cell, and the corrections officers on the unit were not provided with any PPE or other means for protection against infections.

### **WHAT DO WE WANT?**

Both you and the Mayor asked Cpl. Olubasusi “What do you want?” While this crisis should not be a time of legal technicalities, we choose to view this as a willingness by management to bargain over the terms and conditions of employment of our officers. The following is an enumeration of our requests. This list, as we all know, is subject to change as the pandemic changes.

1. A Daily Meeting among the Labor Committee, its Shop Stewards, its counsel and the DOC Director, Deputy Director, and its counsel to discuss conditions and responses to COVID-19;
2. A COVID-19 Protocol for officers and inmates including the following:
  - Restricted movement of inmates
  - Distancing among inmates/inmates, officers/officers, and officers/inmates
  - Incident Reporting of officers and inmates’ symptoms of illness
3. Regular Disinfection of the CDF and CTF
4. Priority for PPE for officers
5. Discontinuation of inmate transport for court appearances
6. 14-day Quarantine for new inmates
7. Establish a quarantine unit
8. Establish an on-site testing unit for those meeting CDC test criteria, including persons living in the household of a corrections officer
9. Treat COVID-19 among officers as duty-connected

In addition, we require production of all videotape and investigative reports of the assault on Officer Sulaimon T. Abiola so that we may investigate the assault and present it to the U.S. Attorney's Office for prosecution. Corporal Ayodeji Falade, Officer Olumide Popoola, Officer John Lewis, Officer Rahsard Roberts, Officer Abdou Alaguitouni and Corporal Damian Barnes and any other suspended officers must be returned to work pending your investigation into the assault.

### CONCLUSION

We agree with Mayor Bowser's sentiment that these are difficult times, and we should all be pulling on the same rope. However, cooperation is a two-way street. We note with a great deal of concern that at today's news conference, the Mayor stated that corrections officers do not require PPE because they do not provide medical care.

The District of Columbia cannot treat its corrections officers as chattel. Already, the life expectancy among corrections officers nationwide is among the lowest in law enforcement.

Thank you for your courtesies.

Sincerely,

*J. Michael Hannon*

J. Michael Hannon

# **ATTACHMENT A**



J. Michael Hannon <jhannon@hannonlawgroup.com>

## Critical Incident at the DC Jail - March 19, 2020

1 message

**Ann-Kathryn So** <akso@hannonlawgroup.com>

Thu, Mar 19, 2020 at 5:52 PM

To: Quincy.Booth@dc.gov, lennard.johnson@dc.gov, Eric.Glover@dc.gov, paulette.johnson@dc.gov

Cc: kevin.donahue@dc.gov, lindsey.maxwell@dc.gov, "J. Michael Hannon" <jhannon@hannonlawgroup.com>, "Olubasusi, Benjamin R. (DOC)" <benjamin.olubasusi@dc.gov>

Good afternoon,

Today, March 19, 2020, at 0545, the Squad Leader (Sgt. Joseph Alexander) and the Team Leader (Sgt. Donald Graham) of the DOC's Emergency Response Team (ERT) were called in, and informed that a US Marshal at D.C. Superior Court tested positive for COVID-19. The two Sergeants were handed a list of 50 inmates who possibly came in contact with the US Marshal. They were ordered to extract the 50 inmates, and quarantine them in an empty cell block. They were ordered to do so using only face masks and gloves. Both Sergeants requested full body coverage (face shield, clothing coverage, etc.) as personal protective equipment (PPE), and were refused. Sgt. Alexander has personal knowledge that the items requested are in the Agency's inventory. Both Sergeants indicated they were uncomfortable performing the inmate extraction without proper PPE. The DOC Management representative, Deputy Warden for Operations, Kathleen Jo Landerkin, again refused to provide the equipment, and asked who on the ERT was also uncomfortable conducting the extraction with only face masks and gloves. Every member of the ERT responded positively. The ERT was ordered to conduct the extraction anyway. All did as they were told. At the time of the extraction, all 50 inmates were housed in general population, with other inmates. Sgt. Alexander asked if inmates with whom the 50 were housed should be quarantined, management told him only the 50 inmates were to be moved into the empty cell block. Those cellmates are still in general population. During the extraction, approximately half of the 50 inmates resisted being moved. All but one moved eventually without incident; however, one inmate spit in the face of one of the ERT officers, who was only protected by the face mask. The 50 at-risk inmates are being housed two to a cell in "quarantine" in an isolated cell block. The officer who was spit on, Hakeem Smith, has gone home. Immediately after the extraction, the two Sergeants were reassigned to a different unit (central cell block) and different shift (night) and their department phones have been taken away. In addition, they were removed from the ERT. They were told by Major Carlos Bivens that this was not a disciplinary action.

The DOC management has created an unconscionable public health crisis, and almost certainly guaranteed and accelerated the rampant spread of COVID-19 within the DOC facilities and the communities in which the staff live. Ms. Landerkin directly put the lives of the officers on the ERT in imminent danger. She did so without regard for their personal safety or that of the inmate population. In addition to being callous and reprehensible, her actions are in obvious violation of several provisions of the Collective Bargaining Agreement and are arguably illegal. The Agency is following absolutely no appropriate guidelines with regard to the safe handling and treatment of the front line staff or inmate population. The 50 at-risk inmates are housed two to a cell in "quarantine" status. They were extracted from cell blocks where they were housed more than one to a cell, and required to participate in recreation and other group activities. All of this is in violation of the Mayor's Order 2020-048: Prohibition on Mass Gatherings During Public Health Emergency - Coronavirus (COVID-19). In addition, when asked by the ERT officers if the inmates with whom the 50 at-risk inmates were housed originally also should be quarantined, DOC management refused. Management's response was that if they were not on the list, they were to be left in their unit.

As if this public health crisis created by Ms. Landerkin was not enough, DOC management clearly retaliated against Sgts. Alexander and Graham for their request for PPE. At the time of the order reassigning them, they were told it was not a disciplinary action. Management can call it whatever they choose; however, it is clearly retaliatory. The DOC ordered staff into a life-threatening situation without the proper equipment, and retaliated against them for asking for the proper PPE. The PPE requested was not unreasonable; it was exactly what is being recommended throughout the industry and the nation for handling of infectious individuals. There is absolutely no justification for not providing this equipment, especially upon request and in light of the fact that it was on hand. This treatment will not be tolerated.

I indicated in my email Request for Information sent earlier today to Eric Glover and Paulette Johnson that the Union's priority was to protect the health and safety of the staff. We will take immediate and decisive action to do so in the face of the deliberate and dangerous actions taken by DOC management today. One such action is to file a group grievance for multiple violations of the Collective Bargaining Agreement. A detailed email will follow with the exact provisions that have been violated. Please consider this official notice.

V/R,

Ann-Kathryn So

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# **ATTACHMENT B**





J. Michael Hannon <jhannon@hannonlawgroup.com>

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## Fwd: Request for Information on Potentially Dangerous Conditions at the DOC Facilities

1 message

---

**Ann-Kathryn So** <akso@hannonlawgroup.com>

Mon, Mar 23, 2020 at 3:53 PM

To: "J. Michael Hannon" <jhannon@hannonlawgroup.com>

Here is his response to my first email. I have heard nothing about the second.

----- Forwarded message -----

From: **Glover, Eric (DOC)** <eric.glover@dc.gov>

Date: Fri, Mar 20, 2020 at 11:16 AM

Subject: RE: Request for Information on Potentially Dangerous Conditions at the DOC Facilities

To: Ann-Kathryn So <akso@hannonlawgroup.com>, Johnson, Paulette (DOC) <paulette.johnson@dc.gov>

Cc: Olubasusi, Benjamin R. (DOC) <benjamin.olubasusi@dc.gov>

Ms. So:

Thank you for contacting the District of Columbia Department of Corrections. DOC is preparing a response to your inquires and will contact you as soon as possible.

Regards,

Eric S. Glover

General Counsel

District of Columbia

Department of Corrections

2000 14<sup>th</sup> Street, N.W.

Seventh Floor

Washington, D.C. 20009

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**From:** Ann-Kathryn So [mailto:[akso@hannonlawgroup.com](mailto:akso@hannonlawgroup.com)]

**Sent:** Thursday, March 19, 2020 11:00 AM

**To:** Glover, Eric (DOC) <[eric.glover@dc.gov](mailto:eric.glover@dc.gov)>; Johnson, Paulette (DOC) <[paulette.johnson@dc.gov](mailto:paulette.johnson@dc.gov)>

**Cc:** Olubasusi, Benjamin R. (DOC) <[benjamin.olubasusi@dc.gov](mailto:benjamin.olubasusi@dc.gov)>

**Subject:** Request for Information on Potentially Dangerous Conditions at the DOC Facilities

**CAUTION:** This email originated from outside of the DC Government. Do not click on links or open attachments unless you recognize the sender and know that the content is safe. If you believe that this email is suspicious, please forward to [phishing@dc.gov](mailto:phishing@dc.gov) for additional analysis by OCTO Security Operations Center (SOC).

Good morning,

In light of the ever-changing landscape with respect to the pandemic, we would like to ensure that the Agency is doing everything it can to keep the staff, residents, and visitors as safe as possible from COVID-19. Swift effective action is critical as the numbers of suspected and confirmed cases rise exponentially. This is especially true for DOC staff members, who have regular contact with the inmate population. They must be protected!

The DOC has publicized a number of measures it has or will be taking. Please confirm the following DOC efforts:

- Have all in-person visits, programming and volunteer activities at all Agency facilities in fact been suspended?
- Have DOC officials established Incident Command Team? Who is on that team? Are any members of the Union on that team?
- Is the Medical Staff meeting with each housing unit and officer roll call? Please provide details as to time, place and frequency of the meetings that the Medical Staff is holding.
- What is the Agency's strategic communications plan with regard to COVID-19, and how has it been communicated to the staff? Is it updated and communicated regularly as this situation evolves? The last update on the DOC web page is dated March 14, 2020.
- What does "enhanced cleaning efforts" mean? Have those "enhanced cleaning efforts" been implemented, especially within common areas? How often is this level of cleaning being done?
- The Agency promised to order additional cleaning and sanitation supplies, including protective gloves, masks and clothing for staff. No information was provided about dispensing these items. Have these items been received and distributed? Are there any supplies you need that you do not have? Have sanitizing wipes been provided by the Agency? Is the staff permitted to bring personal sanitation material, other than wipes? Are inmates being provided safety materials that the staff is not?
- Was there a two-hour cleaning of the entire facility? How many times has this happened? Is this occurring daily/regularly?
- Please describe the Agency's efforts in partnering and/or sharing information with criminal justice partners?

- Are daily updates continuing to be sent via emails to the staff regarding COVID-19? Can you please make those available?

Most notable in the Agency's public response to COVID-19 is that there is nothing that addresses the procedures for the screening, quarantine and/or treatment of staff, inmates and/or anyone who comes into contact with either or both. Can you provide detailed information as to what those procedures are? Specifically:

- Have the DOC employees (officers and medical staff) been provided appropriate protective devices/material/training? Are staff members knowledgeable on and adequately equipped to prevent transmission, minimize spread and protect themselves and the inmate population from contracting COVID-19?
- Is the DOC working in close connection with the DC Department of Health? What medical support does the Agency have specifically for the virus?
- Are all incoming inmates, staff and other individuals with access to the DOC facilities questioned as to their level of risk?
- What is the procedure for processing all new inmates to the facilities?
- What is the procedure for assessing the risk to existing inmates?
- What testing is being offered to confirm a diagnosis of COVID-19?
- What procedures are in place if an inmate is symptomatic?
- What procedures are in place if an inmate tests positive?
- What procedures are in place for quarantines?
- Are there any of the following:
  - Asymptomatic inmates currently housed at any of the facilities who are at high-risk for contracting COVID-19?
  - Symptomatic inmates currently housed at any of the facilities? If so, what actions are being taken with regard to those individuals?
  - Inmates currently housed at any of the facilities who have tested positive for COVID-19?
- What is being done to track any inmates, visitors, staff, or other individuals with access to any of the facilities who have been at any DOC facility within the

past two weeks, including any individuals who were held and released or transferred?

- What is the impact of the Agency's response to the Mayor's Order 2020-048: Prohibition on Mass Gatherings During Public Health Emergency - Coronavirus (COVID-19)?

**Of a most critical nature, it has come to our attention that there may be one or more inmates currently housed within DOC facilities who are either symptomatic and/or tested positive for COVID-19.** Please confirm if the Agency is aware of anyone housed at or with access to any of their facilities who either is symptomatic or has tested positive for COVID-19, and, if so, what actions have been taken and will be taken in response. Is the Agency following the CDC's Guideline for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings or similar guidelines? Please provide the Union's Executive Management with your detailed emergency action plan.

Without question, this pandemic is a health and safety crisis. The District of Columbia has been in the forefront of a proactive response, and that must extend to the DOC. The entire DOC population is at higher risk than the general public, and every precaution and safety measure must be taken. It is the Union's priority to protect the staff, and it will take actions necessary to do so.

I look forward to hearing from you.

Thank you.

V/R,

Ann

--

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For the latest information on the District Government’s response to COVID-19 (Coronavirus), please visit [coronavirus.dc.gov](https://coronavirus.dc.gov).

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3/23/2020

Hannon Law Group Mail - Fwd: Request for Information on Potentially Dangerous Conditions at the DOC Facilities

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# **ATTACHMENT C**





# Fraternal Order of Police

Department of Corrections Labor Committee

711 4<sup>TH</sup> Street, Northwest  
Washington, DC 20001

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## **ANNOUNCEMENT TO DOC/FOP MEMBERS MARCH 23, 2020**

These are grave times at the District of Columbia Department of Corrections (DOC). There is no question that dire threats face our workforce. The Executive Board of the Fraternal Order of Police/Department of Corrections Labor Committee's (FOP/DOC LC) is committed to supporting and protecting the Union's membership, and is doing all that it can to do so. We all know we face serious threats both inside and outside of the DOC facilities. There is no question that each one of us puts our lives and our livelihoods on the line every time we report to work and man our post or take our seat at our desk.

***We know your health and safety is at risk every day, and we want you to know it is our TOP priority to tackle the critical issues we all face with speed and effectiveness!!***

In keeping with our priorities, this serves as official notice of three crucial actions the FOP DOC LC's Executive Board is taking to protect the membership.

**COVID-19**—DOC has not provided its employees a valid action plan in response to COVID-19. We hear more every day about the virus, yet the DOC has done very little to support the frontline officers and staff against COVID-19. The DOC's public website about COVID-19 has not been updated since at least March 14, 2020. Our attorneys sent a Request for Information on March 19, 2020, asking if the DOC had done what it promised to protect our members, and what future steps would be taken. No answer has been received as of March 23, 2020. DOC management has canceled our labor/management meetings at this critical time. Our attorneys also made the DOC aware of several violations they committed in the "quarantine" order DOC Management issued and had executed on March 19, 2020. This action led to a "No Confidence" vote by the Board. The DOC is up against its deadline to respond to that letter. We continue to work on getting that information to hold DOC Management accountable.

**Officer Assault**—One of our officers was viciously assaulted on March 13, 2020 by an inmate. DOC Management's response to this was to issue disciplinary action against two fellow officers, who responded as they should have to save the life of the assaulted officer. Due to DOC Management's incompetent presentation of the case to the U.S. Attorney's Office, no charges were made against the inmate for the unprovoked attack on our officer!!

We have instructed the HANNON LAW GROUP to investigate the incident, and we are confident they will do so fully. We anticipate that as a result of their efforts, HANNON LAW GROUP will re-present the case to the U.S. Attorney's Office for proper prosecution of the inmate. Mr. J. Michael Hannon is a former Assistant United States Attorney.

We are also contesting the removal of the officers who came to the aid of our colleague.

**National FOP**—We are working with the DC Lodge #1 Leadership and National Fraternal Order of Police (FOP) organization to advocate on YOUR behalf! We have made them aware of the failure of the DOC Management team to:

- ensure that proper protocols are followed with both staff, inmates and visitors at all DOC facilities;
- work with the Union on solutions as the COVID-19 situation evolves, and
- provide a safe working environment; and
- offer the proper equipment to ensure that the staff, inmates and visitors are protected.

We expect to present to the DOC our own set of protocols based on national standards being followed by other correctional institutions. If those protocols are not adopted, our members cannot work safely at the D.C. Jail.

We are prepared to take every action necessary to protect you and your family. We are prepared to escalate these issues as far as they need to go to obtain the attention of our citizens, the Mayor, and the courts if necessary.

# **EXHIBIT C**

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# Poor Conditions Persist at Aging D.C. Jail; New Facility Needed to Mitigate Risks

February 28, 2019

A report by the Office of the District of Columbia Auditor



## Audit Team

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James Wright, Analyst

Lawrence Perry, Deputy Auditor and Audit Supervisor

Kathleen Patterson, District of Columbia Auditor

[www.dcauditor.org](http://www.dcauditor.org)

# Executive Summary



## What ODCA Found

The Department of Corrections (DOC) operates two correctional facilities in Southeast D.C. The Central Detention Facility (CDF), which opened in 1976 and is referred to as the D.C. Jail, houses male inmates. The Correctional Treatment Facility (CTF) houses female inmates and serves as the medical facility for all inmates.

ODCA conducted site observations at the D.C. Jail and CTF, examined Department of Health (DOH) inspection reports of the D.C. Jail, reviewed DOC's mental health services and reviewed budget requests and documents. Findings include the following:

- DOC was repeatedly cited by DOH for violations of industry standards related to environmental conditions, including water penetration through the walls from a leaking roof, mold growth on walls, damaged shower stalls and temperatures outside of allowable standards.
- Aramark, DOC's food service provider, was cited by DOH for repeated violations of District regulations related to public health and food service such as unsanitary conditions in food preparation areas.
- During our scope, FY 2014 through FY 2018, DOH conducted the required three inspections per year in only two years. DOH acknowledged this, noted three inspections were completed in 2017 and 2018, and stated that "The issues contributing to fewer inspections in prior years have been resolved."
- The Mayor and Council did not appropriate the full amounts in capital funding DOC requested to make necessary facility improvements. For example, in agency submissions for FY 2014 through FY 2018, DOC sought 1-year capital allocations totaling \$62.4 million, or an average of \$12.5 million each year. The Mayor and Council approved a total of \$15.7 million, or an average in one-year allocations of \$3.1 million.

## Why ODCA Did This Audit

1. To assist DOC in conjunction with any pending construction of a new correctional facility by identifying areas for improvement in facilities, programs, and policies that can be incorporated over time: and
2. To assess the adequacy of DOC's existing policies and facilities to provide high quality mental health treatment programs consistent with best practices identified by the American Correctional Association and the National Commission on Correctional Health Care.

## What ODCA Recommends

- DOC should take all steps necessary, including requesting additional funding if necessary, to achieve and maintain full compliance with all ACA and APHA requirements.
- The District should move forward with a new D.C. Jail.
- DOH should continue to comply with D.C. Code 7-731(a-1)(1) and conduct three inspections per year of the D.C. Jail to help ensure that environmental conditions meet required standards.
- DOC should conduct regular documented monitoring of Aramark's compliance with all requirements of its contract and District food safety laws and regulations and sanction the contractor appropriately if necessary.
- The Mayor and Council should provide a capital budget for DOC that considers the risk of failure to address health and safety hazards identified by the DOH including the risk to the safety of inmates and staff and the risk of additional litigation.

**For more information: 202-727-3660.**

# Contents:

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# Background

The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community reintegration.

DOC has five strategic objectives:

- Upgrade its workforce to better serve the District's public safety needs.
- Foster an environment that promotes safety for inmates, staff, visitors and the community at large.
- Improve inmate education, job skill levels, and facilitate successful community reintegration.
- Maintain and improve inmate physical and mental health to support successful community re-entry.
- Create and maintain a highly efficient, transparent and responsive District government.

DOC had an approved Fiscal Year (FY) 2017 operating budget of \$146,923,266 and 1,162 full-time employees. DOC operates two correctional facilities on its campus in Southeast D.C. that house inmates: The Central Detention Facility (CDF) commonly referred to as the D.C. Jail, and the Correctional Treatment Facility (CTF) which serves as the medical facility for all inmates. DOC also has had contracts with three private and independently operated halfway houses: Extended House, Fairview, and Hope Village. The U.S. District Court for the District of Columbia and the Superior Court of D.C. may place eligible pretrial offenders and sentenced misdemeanants in halfway houses as an alternative to incarceration. The scope of this report focuses primarily on the D.C. Jail and CTF operations.

## The D.C. Jail and the CTF

The D.C. Jail, which opened in 1976, is in Southeast D.C., and houses only male inmates. Women are housed at the neighboring CTF. As of June 2018, the average daily population at the D.C. Jail was 1,346. Most inmates housed at the D.C. Jail are awaiting adjudication of cases or are serving a sentence for misdemeanor offenses. Some sentenced felons are housed in the D.C. Jail prior to being transferred to the Federal Bureau of Prisons.<sup>1</sup> According to DOC, it offers many programs to inmates, including HIV/AIDS prevention; education and intervention services; individual and group counseling services, literacy education and religious services.

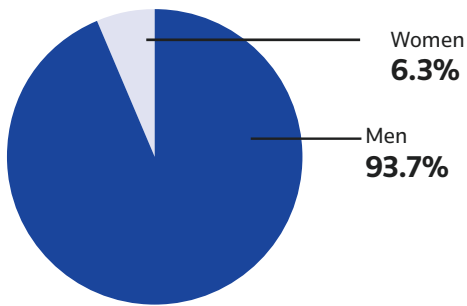
As of June 2018, the nearby CTF, which opened in 1992, had an average daily population of 692. In addition to female inmates, the CTF houses minimum to medium custody male inmates and inmates requiring medical accommodation in the disabled and infirmary units. Prior to October 1, 2018 and the passage of D.C. Law 21-238, the Comprehensive Youth Justice Amendment Act of 2016, the CTF housed a small number of juveniles charged as adults in a separate unit who are now housed at the Department of Youth Rehabilitation Services. Between 1997 and 2017 control of the CTF was managed by a private corrections company, Corrections Corporation of America (CCA), as part of a sale-leaseback proposed and approved when the District was in severe financial distress. The District received an infusion of cash from CCA, then paid the corporation back until February 2017 when control of the property reverted to the District. Medical services for inmates housed at both the CTF and the D.C. Jail are provided at the CTF through a contract between the DOC and Unity Health Care, Inc. According to the contract, Unity Healthcare Care, Inc. is to provide a comprehensive medical, mental health, pharmacy, and dental health services program for D.C. inmates.

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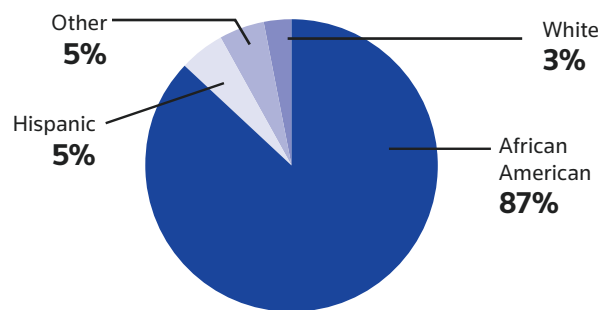
1. The National Capital Revitalization and Self-Government Improvement Act of 1997, Pub.L. 105-33, enacted by Congress, paved the way for major changes to the District's criminal justice system. One major change was the closure of Lorton Correctional Complex, which housed inmates convicted of D.C. Code felonies. These inmates were transferred to the federal Bureau of Prisons.

The figures below provide a demographic breakdown at the D.C. Jail and CTF, based upon the average daily population of 1,346 at the D.C. Jail and 692 at the CTF.<sup>2</sup>

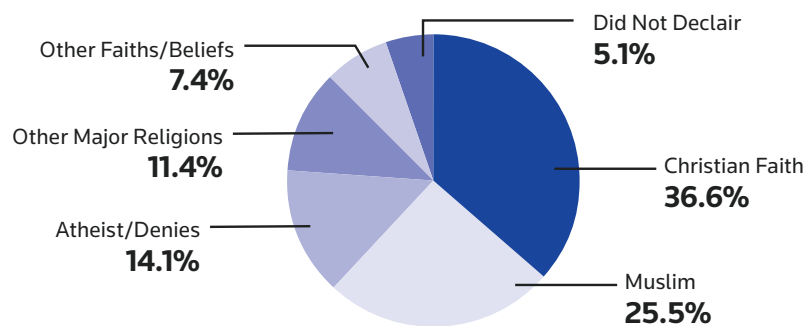
**Figure 1: Inmate Population by Gender**



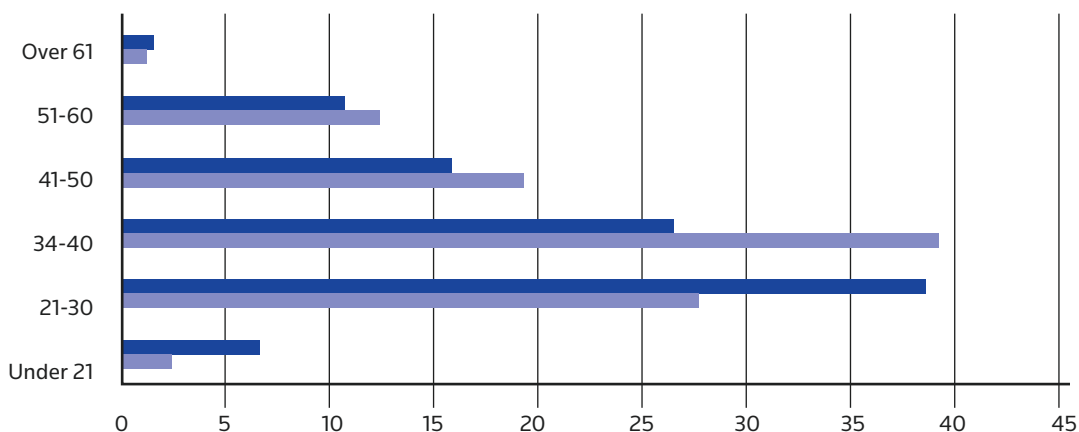
**Figure 2: Inmate Population by Race<sup>3</sup>**



**Figure 3: Inmate Population by Religious Affiliation<sup>4</sup>**



**Figure 4: Age Distribution of Inmates**



2. Source: DC Department of Corrections Facts and Figures, June 2018

3. Per the DOC June 2018 report, “the category other includes Native American and those who have declared their race as Other or not declared a race. Blacks are overrepresented compared to the population which is 47.7% Black. Whites, Hispanics and Asians are under- represented compared to the DC population which is 44.6% White, 10.9% Hispanic, 4.1% Asian and 0.8% Other.”

4. Per the DOC June 2018 report, “all data is self-reported by inmates in DOC custody between October 1, 2016, and June 30, 2018. The category “Other Major Religions” includes other faiths such as Jewish, Buddhist, Hindu and Rastafarian which occur infrequently among inmates.”



## A troubled past

For 30 years the D.C. Jail had a history of severe overcrowding, unsafe facilities, and unsanitary conditions. Two lawsuits were filed in 1971 and 1975, *Campbell v. McGruder and Inmates of D.C. Jail v. Jackson*, respectively, both of which alleged unconstitutional conditions at the D.C. Jail and are the oldest District prison reform cases. These cases were consolidated in a class action lawsuit<sup>5</sup> and challenged the totality of the conditions at the D.C. Jail. Ultimately, the U.S. District Court found that conditions violated the Eighth Amendment prohibition against cruel and unusual punishment. After years of District noncompliance with Court orders with regard to medical and mental health care services, the Court appointed a Receiver for medical care at the D.C. Jail in 1995. This receivership was terminated on September 18, 2000.

The U.S. District Court terminated the underlying cases in March 2003, after 32 years of court oversight of the D.C. Jail. The termination came in the wake of the Prison Litigation Reform Act<sup>6</sup> approved by Congress in 1996 which placed restrictions on the ability of prisoners to file lawsuits based on the conditions of confinement. The District government's final documents filed in the cases included this paragraph from the *District of Columbia's Reply to Plaintiffs Opposition to Defendants' Motion to Terminate* in 2003:

Inmates at the D.C. Jail are not systematically subjected to inhumane conditions of confinement, and defendants are not deliberately indifferent to their health and safety, both of which are required to find a constitutional violation. To the contrary, defendants have committed approximately \$30 million toward a capitol [sic] improvement program at the Jail, which is designed to remedy historic deficiencies and refurbish the HVAC, cold and hot water, plumbing and electrical systems. Moreover, in collaboration with plaintiffs and the Special Officer, defendants have developed and are implementing an environmental program designed to protect the health and safety of inmates and staff at the Jail, including a computerized inspection system to report, monitor, track and abate maintenance problems.

The federal law's higher bar and the commitment by the District to spend significant capital funds to improve conditions at the D.C. Jail were likely factors in the Court's decision to terminate the judicial oversight. According to a DOC press release at the time, "a number of significant improvements, initiated as a part of a six-year, \$30 million capital improvement plan, were major contributing factors to finally ending court intervention in the daily operations of the facility."

Nevertheless, at the same time, a continuation of overcrowding at the D.C. Jail prompted significant local legislative reforms known as the Jail Improvement Amendment Act of 2003.<sup>7</sup> The Committee Report states that the Act was needed to improve "what are currently unsafe, unhealthy, overcrowded and inhumane conditions at the District of Columbia Central Detention Facility ("Jail") through inspections, monitoring, and reporting; initiate immediate changes in operating protocols including a classification system and housing plan; institute a population ceiling at the Jail; and the requirement that the facility obtain accreditation by a national professional correctional organization. These specific improvements are designed to result in a safer institution."<sup>8</sup>

A decade later, a rash of suicides led to the formation of a suicide prevention task force within the D.C. Jail in 2013. An October 14, 2013 Suicide Prevention Task Force Report identified the following four areas for improvement with respect to suicide prevention at DOC: (1) increasing the ability to identify high-risk inmates, (2) creating more suicide-

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5. See *Campbell v. McGruder* (Case No. CA 1462-71) and *Inmates of D.C. Jail v. Jackson* Case No. 1:75-cv-01688-WBB), U.S. District Court for the District of Columbia.

6. See Pub.L. No. 104-134, 110 Stat. 1321, 42 U.S.C. § 1997e.

7. See B15-31 (Law 15-62), Effective January 30, 2004.

8. See Committee on the Judiciary, Committee Report, Bill 15-31, "District of Columbia Jail Improvement Act of 2003", May 22, 2003

resistant jail practices, (3) improving housing unit determination processes, and (4) strengthening DOC's culture of suicide prevention and on Aug. 9, 2017, DOC promulgated a new Suicide Prevention and Intervention Policy.<sup>9</sup>

In 2015 a report by the Washington Lawyers Committee for Civil Rights and Urban Affairs described conditions within the D.C. Jail and the CTF: "The D.C. Jail's physical condition is alarming. Inspection reports by the D.C. Department of Health (DOH) have identified numerous violations of established correctional and public health standards, as well as structural and mechanical problems that are serious to extremely serious."<sup>10</sup>

## Plans for a new D.C. Jail

Planning for the construction of a new jail has been discussed by prior mayoral administrations prompting numerous media articles. In a memorandum dated December 21, 2010, DOC requested \$420 million in capital funding allotments for the six-year period of 2012 through 2017 for a project entitled, "New facility- per DC General and Mass Ave Proposed Master Plan." The project description/scope/justification stated that:

"The purpose of this project is to perform capital improvements and facility condition assessment to ensure DOC facilities remain in good condition, to support the cost-effective delivery of programs and services. Among the capital improvements required are roof replacements, window renovation/replacements, interior renovations, electrical, HVAC (heating and air-conditioning system) replacements. In addition, this project can be used for priority building improvement projects that arise that may not have been planned for as part of the condition assessment. Even with excellent planning there is often a need to address critical infrastructure needs."

Under former Mayor Vincent Gray, the District's Public Safety Master Plan (completed in 2015) recommended that the city build a criminal justice center at Blue Plains or Hill East.<sup>11</sup> The Bowser Administration's Office of Public-Private Partnerships' (OP3) has had a new corrections center under consideration. According to the post on the OP3 website, last updated October 2, 2017:

"The Department of Corrections will seek the design, build, finance, and maintenance (DBFM) of a new corrections center that consolidates existing Correctional Treatment Facility (CTF) and Central Detention Facility (CDF) located at 1901 D Street, SE. The new facility must be able to accommodate the current inmate population, with the flexibility to efficiently adjust for future populations during the lifetime of the facility. This secure environment must include various support services and inmate treatment-related programs and activities (e.g., counseling, substance abuse treatment, education, job training, recreation, religion, work assignments, health and dental care, food service and laundry, among others). The new facility could be located on the existing site or another property owned by the District government or a third party, but continuous availability during the transition between facilities is critical. The DOC's administrative offices, which are currently housed in the Reeves Center located at 2000 14th Street, NW, could also be consolidated into the new facility for more efficient operations to house approximately 80 staff in 20,000 square feet. Additionally, the District is considering the potential to house more inmates currently housed in out-of-state facilities managed by the Federal Bureau of Prisons."<sup>12</sup> The website also indicates that the DOC and the Department of General Services (DGS) will be the agencies involved and community engagement will be conducted, and feedback will be incorporated into the project requirements before OP3 begins procurement.

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9. See District of Columbia Department of Corrections Policy and Procedure, Subject: Suicide Prevention and Intervention, Number: 6080.2G (effective date August 9, 2017). Policy Number 6080.2G which was reviewed August 9, 2018 supersedes Policy Number 6080. 2F (effective date March 10, 2010).

10. See Washington Lawyers' Committee for Civil Rights & Urban Affairs report, "D.C. Prisoners: Conditions of Confinement in the District of Columbia", June 11, 2015

11. <http://www.dlrgroup.com/work/district-of-columbia-public-safety-facilities-master-plan/>

12. Office of Public-Private Partnerships' website <https://op3.dc.gov/node/1195540>

In an August 14, 2018, interview, Deputy Mayor for Public Safety and Justice Kevin Donahue said the planning for a new jail was placed on “pause,” and indicated that \$100,000 has been budgeted to conduct a study within fiscal year 2019 that looks at the needs for a new facility, including how many people it will be designed to house, programming space, and so on. He acknowledged the earliest that construction could start would be 2025, and it could take four to five years to finish. In October 2018 the Office of Victim Services and Justice Grants issued a Request for Applications, for a grant award of up to \$150,000 to engage an organization to build stakeholder engagement and solicit feedback related to the design and construction of a new correctional facility in the District of Columbia.

# Objectives, Scope, and Methodology

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## Objectives

Because of the ongoing challenges at DOC facilities and consistent with its mission to improve the effectiveness, efficiency, and accountability of the District government, the Office of the D.C. Auditor (ODCA) initiated this discretionary audit of conditions of confinement at the D.C. Jail. The audit focused on environmental conditions, incident reporting and tracking, and compliance with American Correctional Association, American Public Health Association, and National Commission on Correctional Health Care standards. The audit also reviewed the adequacy of jail inspections conducted by the Department of Health, and issues relating to planning for a new jail.

Specifically, the objectives of the audit were to:

- Assist DOC in conjunction with any pending construction of a new correctional facility by identifying areas for improvement in facilities, programs, and policies that can be incorporated over time.
- Assess the adequacy of DOC's existing policies and facilities to provide high quality mental health treatment programs consistent with best practices identified by the American Correctional Association and the National Commission on Correctional Health Care.

## Scope

The scope of this report focused on Fiscal Years 2014 through 2018, but we also reviewed data as far back as Fiscal Year 2007 for historical purposes and context.

## Methodology

To complete this review, we conducted site observations at the D.C. Jail and CTF and interviewed relevant employees. We reviewed D.C. Code provisions as well as standards established by the American Correctional Association, American Public Health Association, and National Commission on Correctional Health Care. We examined Department of Health (DOH) inspection reports of the D.C. Jail for calendar years 2007 through the present, as well as related documentation, including DOC's official responses to these inspections. Regarding incidents at the D.C. Jail, we reviewed DOC's incident tracking system and related incidents within the scope of our review. Lastly, ODCA reviewed DOC's mental health services, provided by Unity Health Care Corrections, for compliance with requirements issued by the American Correctional Association and the National Commission on Correctional Health Care (NCCHC).

This report was drafted, reviewed, and approved in accordance with the standards outlined in ODCA's Policy and Procedure Manual.

# Audit Results

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**DOH has cited DOC for repeated and uncorrected violations of industry standards related to environmental conditions, including room temperatures, sanitary conditions, pests, broken fixtures, and inadequate lighting, among other issues. DOH also has cited both DOC and the food service provider Aramark<sup>13</sup> for repeated violations of District regulations related to public health and food service.**

As the federal court terminated its oversight of the D.C. Jail, which had included regular inspections, The Council of the District of Columbia (The Council) enacted the District of Columbia Jail Improvement Amendment Act of 2003<sup>14</sup> and required DOH to conduct inspections three times per year. For its inspections, the department's Health Regulation and Licensing Administration Health Care Facilities Division uses a standardized form at the D.C. Jail to document compliance with environmental standards as defined by the American Public Health Association (APHA) and the American Correctional Association (ACA). In each inspection, DOH examined 39 APHA standards and 24 ACA standards.

In March 2018, DOH found that DOC was out of compliance with 7 of 24 ACA standards (29%) and 6 of 39 APHA standards (15%). The DOH inspections found that DOC was not in compliance with standards designed to ensure that:

- Indoor heating, ventilation and air conditioning control systems were maintained within acceptable ranges.<sup>15</sup>
- Cellblocks and common areas were maintained in a clean and sanitary manner and in good repair.
- Clothing or bedding in disrepair is replaced or repaired, and that clothing bedding, mattresses, and pillows must be cleaned and sanitized before being reissued to a new user.
- Light levels in inmate cells/rooms were adequate in personal grooming areas and writing surfaces and that lighting throughout the facility was sufficient for the tasks performed.
- Inmates had access to operable showers with temperature controlled hot and cold running water.

Unfortunately, these issues are not new. In the health inspections between 2014 and March of 2018, DOH repeatedly cited DOC for the same or very similar issues of noncompliance in the reports. Some examples of repeated deficiencies cited include a leaking roof and subsequent water penetration through walls; unhealthy levels of dust; unsanitary conditions in food preparation areas; broken plumbing fixtures, especially inmate showers; large numbers of broken fluorescent lights in cells; and temperatures outside of allowable standard of at least 68 degrees Fahrenheit during the coldest months. Evidence suggests an increase in citations as the facility ages. For example, DOH cited DOC for 222 blown fluorescent tubes in inmate cells in March of 2018, an increase from just 28 cited in May of 2016.

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13. DOC contracts with Aramark Correctional Services LLC to provide to operate and manage DOC's inmate food service programs at the Jail and CTF. Aramark is also to provide meals for purchase by staff in the Officer's Dining Room (ODR) at the Jail.

14. B15-31; Law 15-62. Effective January 30, 2004.

15. ACA standards require that: (1) the ventilation system supplies at least 15 cubic feet per minute of circulated air per occupant with a minimum of five cubic feet per minute of outside air. Toilet rooms and cells with toilets have no less than four air changes per hour unless state or local codes require a qualified independent source and are checked less than once per accreditation cycle. (2) Temperature and humidity are mechanically raised or lowered to acceptable comfort levels. (3) In hot and dry climates, exterior window shields, shutters, or awnings must be provided to exclude solar radiation. (4) in hot humid climates when the facility does not have mechanical chilled-air systems, adequate windows and wall openings should be provided and the location must provide cross-ventilation. Gyms and swimming pools require special temperature, humidity, and ventilation control. (5) The building design, insulation, and exterior surface and color minimize heat absorption. (6) Clothes, towels, sheets, draperies, posters, and other objects should not interfere with airflow in or out of living areas. (7) The control system should maintain an indoor air temperature of at least 68 degrees Fahrenheit during the coldest months.

ODCA accompanied a DOH health inspector during the September 2017 inspection of the D.C. Jail which encompassed five days. That on-site inspection revealed several significant deficiencies, including food preparation and handling issues, cleanliness issues in the cell blocks, including the shower areas, and safety issues. At an elevator bank, the inspector pointed out that wires and conduits that penetrated through the walls and ceilings had not been properly sealed with caulk. According to the inspector, if there was a fire, smoke could move through the walls and affect other areas of the building.

#### **DOH citations that have reoccurred:**

- Leaking damage or inoperable plumbing fixtures from 2014 through 2018
- Leaking roof from 2014 through 2018
- Peeling paint on metal desks, door frames, tables and bed frames from 2014 through 2018
- Water penetration through walls from 2014 through 2018
- Damaged janitor's closets from 2014 through 2016
- Damaged Formica tables from 2014 through 2018
- Damaged showers stalls from 2014 through 2018
- Bent seats/no seats from 2014 through 2018

In addition to the review of ACA and APHA standards, ODCA also examined DOH's inspections of DOC's culinary operations. DOC contracts with Aramark Correctional Services LLC to operate and manage DOC's inmate food service programs at the D.C. Jail and the CTF. Aramark is also the vendor selected to provide meals for purchase by staff in the Officer's Dining Room at the D.C. Jail.

ODCA found that DOH has cited both DOC and Aramark, for violations of Title 25-A of the District of Columbia Municipal Regulations (DCMR), which governs food operations. Specifically, DOC and Aramark have, according to several reports:

- Failed to keep food and non-food contact surfaces clean and sanitized.
- Received, stored, held, and/or served food at improper temperatures.
- Failed to keep kitchen equipment in good repair.
- Failed to control pests in the culinary area.

Notwithstanding these citations by DOH, the D.C. Jail has been accredited by the ACA since 2009<sup>16</sup>. To maintain its accreditation, DOC adheres to the ACA's "Expected Practices." For specific standards, DOC must meet 100% compliance and for other standards, DOC must meet 90% compliance to maintain accreditation. Reaccreditation occurs every three years with the D.C. Jail's last accreditation in 2015 and paperwork filed for accreditation in 2018.<sup>17</sup> As part of its 2015 accreditation, ACA told DOC that it had found the condition of the D.C. Jail to be in notably good repair *for its age* (emphasis added.)

Although DOC has received ACA accreditation for the jail, some issues raised by DOH continue to reoccur without being successfully addressed. DOC has not consistently taken the steps necessary to correct the all of the issues identified by DOH's health inspectors.

In some cases, DOC does not respond to citations as needing corrective action. In some cases, DOC states that for ACA accreditation purposes, compliance is not possible due to the age of the structure and the limited resources DOC has on hand. In those instances when DOC agrees there is a problem, but the agency cannot correct the citation and

16. The Jail Improvement Amendment Act of 2003 required DOC to obtain ACA accreditation within four years of enactment. See B15-31; Law 15-62 §5(e). Effective January 30, 2004.

17. According to the 2015 ACA Accreditation report, dated January 25, 2016 the CTF has not yet been accredited since the facility has been under the management of DOC. The report indicates that the CTF initial accreditation will occur in 2018.

issues the same response, sometimes word for word, in its corrective action plans each time the violation is cited. Although DOC officials indicated that they have sufficient budget to meet their maintenance improvement needs, DOH's repeated findings of faulty or broken equipment and a decaying physical plant strongly suggest that additional investment may be needed.

Ensuring that DOC substantially complies with standards and regulations is necessary for the health of inmates and correctional officers. While there has been talk of a new jail, no real planning is in evidence and it is likely that a new facility will not be built for many years. It seems likely that the jail will serve as the principal incarceration facility in the District for years to come. The record of DOH's repeated findings over the last 11 years presents a liability risk to DOC and the District by presenting an opportunity for further lawsuits alleging a violation of inmate constitutional rights.

#### **Recommendations:**

1. DOC should take all steps necessary, including requesting additional funding if necessary, to achieve and maintain full compliance with all ACA and APHA requirements.
2. DOC should conduct regular documented monitoring of Aramark's compliance with all requirements of its contract and District food safety laws and regulations and sanction the contractor appropriately if necessary.
3. The District should move forward with a new D.C. Jail.
4. When considering a new correctional facility, DOC should analyze DOH violations to ensure that the design of the new facility minimizes the challenges of complying with standards and regulations that DOH frequently cited as having been violated.

**In past budget cycles DOC has requested but successive mayors have not proposed nor has the Council appropriated capital funding for DOC to fully address the agency's capital budget needs as indicated in the repeated DOH findings on conditions of confinement, putting at risk the health and safety of inmates and staff, adding to the risk of litigation, and leading to incorrect information in the District's CIP.**

In the 2003 *District of Columbia Reply to Plaintiffs' Opposition to Defendants' Motion to Terminate* in the pending cases<sup>18</sup>, the District government stated its commitment to approximately \$30 million toward capital improvements "designed to remedy historic deficiencies and refurbish the HVAC, cold and hot water, plumbing and electrical systems." In the intervening years the department has expended a total of \$74.5 million in capital improvements (FY2004 through FY2018) of which \$10.3 million has been spent on AMO-CR104C-HVAC. The description of the "AMO-CR104-HVAC Replacement for CDF" project, in DOC capital budget request documents is as follows.

"The heating, ventilation and air-conditioning system at the Central Detention Facility has been in disrepair for years. Additionally, the water supply to the facility has been extremely problematic. The main booster pumps are at the end of their useful life and no filters, softeners or strainers are installed on the system. All work that was part of the original contract has been completed. However, for the system to perform in accordance with the design parameters and deliver the requisite amount of air in the cellblocks, additional fine tuning is necessary; four large rooftop duct fittings need to be replaced, transitions from the large rooftop units need to be modified and final air and water balancing needs to be done for the system to work properly. Chiller, steam station and associated piping overhaul is also included."<sup>19</sup>

DOC has submitted capital budget requests that reflect the need to fund critical capital projects. It is clear from DOC budget requests over the last five completed budget cycles that the agency has sought significant capital funding

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18. See *Campbell v. McGruder* (Case No. CA 1462-71) and *Inmates of D.C. Jail v. Jackson* Case No. 1:75-cv-01688-WBB), U.S. District Court for the District of Columbia.

19. DOC's FY 2015-20 Enhancements Budget Submission dated November 13, 2013.

that is has not received in the annual budget process. In agency submissions for FY2014 through FY2018, DOC sought 1-year capital allocations totaling \$62.4 million, or an average of \$12.5 million each year. The Mayor and Council approved a total of \$15.7 million, or an average in one-year allocations of \$3.1 million. In the CIP six-year funding requests for those five budget cycles, DOC sought a total of \$329.4 million or an average of \$65.9 million over the six-year cycle, but the CIP as proposed only allocated \$31.3 million, or an average for the six-year cycle of just \$6.3 million.

To be sure, in the District of Columbia the CIP budget is an imprecise spending plan at best. The ODCA audit reports on school modernization have made clear that simply being included in a capital budget plan does not indicate accuracy in the amount that will eventually be spent. But it is also the case in all the school spending audits to date, the capital expenditures have consistently gone over the original budget and not under. In allocating well under what was requested in order to maintain DOC facilities. the executive and legislative branches of the District government have risked a failure to meet real needs as reflected in the DOH inspection reports.

The DOH inspection reports have repeatedly found deficiencies within several areas of the D.C. Jail’s infrastructure, including leaks in the roof and water penetration throughout the walls, HVAC issues (temperatures too hot or too cold), and nonfunctioning equipment, including showers, toilets, lights, etc.

DOC has recognized these issues and submitted capital budget requests since 2010 that include projects that would specifically address some of DOH’s concerns. For instance, DOC identified the need for general renovations to the D.C. Jail, justifying the project by saying “the CDF is almost a 40 years (sic) old structure continuously used 24/7, 365 days a year that faces extreme wear and tear. Upkeep and maintenance of the CDF is critical to the mission of the agency because it is required to safely house over 2,000 inmates and provide operational support, while complying with applicable standards and regulations.” DOC has similarly stated the need for significant projects related to HVAC replacement and roof refurbishment.

In an interview in August 2018 Deputy Mayor for Public Safety and Justice Kevin Donahue sought to minimize the obvious discrepancies between what DOC requested and what the Mayor and Council approved in DOC’s capital budget. He explained that agency leaders are encouraged to “blue sky” their requests as if funding were no object, and that capital allocations are then pared down in an interative process. He said that the yearly allocations are more serious endeavors than the 6-year requests and reflect more closely what an agency actually needs.

Figure 5 below presents the capital budget request (in millions) since 2014 and the approved budgets.

**Figure 5: DOC Capital Budget Requests (In Millions)**

Fiscal Year	Years Covered	DOC Year 1 Request	Year 1 Approved Per Budget Book	DOC 6-Year Total Request	6-Year Approved Per Budget Book
2014	2014-2019	\$15.3	\$11.2	\$62.7	\$14.5
2015	2015-2020	\$5.9	\$1.5	\$39.6	\$4.5
2016	2016-2021	\$4.8	\$1.0	\$26.7	\$2.3
2017	2017-2022	\$16.4	\$0	\$78.8	\$5.0
2018	2018-2023	\$20.0	\$2.0	\$121.6	\$5.0
<b>Totals</b>		<b>\$62.4</b>	<b>\$15.7</b>	<b>\$329.4</b>	<b>\$31.3</b>



In DOC's FY 2018 capital budget request, the agency sought \$21,974,188 for general renovations to the D.C. Jail, \$12,000,000 for HVAC replacement, and \$1,000,000 for roof refurbishment over the six-year period from FY 2018 through FY 2023. A significant portion of DOC's requested capital funding that year came in the final three years of the capital plan (FY 2021-2023), in which DOC requested a total of \$70,574,188 across all projects (including those above). In the final year (FY 2023) alone, DOC requested \$44,750,000 in capital funds, anticipating a need to replace boiler units at the CTF and the D.C. Jail.

The FY 2018 and FY 2019 CIPs proposed by the Mayor did not include any capital funding for DOC beyond fiscal year 2020. The FY 2018 capital plan provided DOC with \$2 million in FY 2018, \$2 million in FY 2019, and \$1 million in FY 2020, but nothing in FYs 2021 through FY 2023.

In addition to the risk of harm and legal liability, the lack of support for recognized maintenance and repair needs expressed by the agency may be failing to meet CIP regulations. The purposes of the District's CIP are as follows (emphasis added):

"The CIP is used as the basis for formulating the District's annual capital budget. The Council and Congress adopt the budget as part of the District's overall six-year CIP. Inclusion of a project in a congressionally adopted capital budget and the approval of requisite financing gives the District the authority to spend funds for each project. **The remaining five years of the program show the official plan for making improvements to District-owned facilities in future years.**"<sup>20</sup>

"The text of the CIP is an important planning and management resource...The CIP is flexible, allowing project expenditure plans to be amended from one year to the next in order to reflect actual expenditures and revised expenditure plans. However, consistent with rigorous strategic planning, substantial changes in the program are discouraged."<sup>21</sup> The CIP is updated each year by adding a planning year and reflecting any necessary changes in projected expenditure schedules, proposed projects and District priorities.

"Under the program, projects should complement the planning of other District agencies and **must constitute a coordinated, long-term program to improve and effectively use the capital facilities and agency infrastructure.**"<sup>22</sup>

There are several effects that result from not accurately portraying DOC's capital needs in the six-year CIP:

- The plan distorts the true capital needs of the District, and other projects may need to be cut down the line to make room for emergency capital needs. Using DOC as an example, in this case, the agency will need some level of capital funding in FY 2021 through FY 2024.
- The public is not informed regarding the true level of capital investment needed at DOC to correct the deficiencies found by DOH and to adequately maintain the existing equipment and facilities at a suitable level.

While officials within the Executive Office of the Mayor (EOM) are aware of DOC's capital needs, the administration, like its immediate predecessors, has not made accurate projections for the agency's expected need for continued capital funding in future years in the CIP. EOM officials acknowledged that they expect the D.C. Jail will need significant capital investments in future years and that there is a need for better long-term planning. EOM officials further explained that the CIP is supposed to be a six-year plan, but in reality, is being used—as evidenced by DOC in this case—to make short term decisions about where money needs to be spent. EOM officials expressed concern that if DOC does have additional immediate needs in the near future capital funding will have to be pulled from other sources to address the need because EOM already allocated capital funding for the next six years.

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20. See FY 2019 Proposed Budget and Financial Plan, Congressional Submission, Volume 5- FY2019 to FY2024, page 5-2.

21. See FY 2019 Proposed Budget and Financial Plan, Congressional Submission, Volume 5- FY2019 to FY2024, page 5-15.

22. See FY 2019 Proposed Budget and Financial Plan, Congressional Submission, Volume 5- FY2019 to FY2024, page 5-23.

**Recommendations:**

- 5. The Mayor and Council should provide a capital budget for DOC that considers the risk of failure to address health and safety hazards identified by the DOH including the risk to the safety of inmates and staff and the risk of additional litigation.
- 6. EOM should, working with the other members of the District’s Capital Budget Team, develop policies and procedures for the capital budgeting process that ensure the plan accurately reflects the known capital needs of agencies, including DOC, over the entire six-year capital budgeting period.

**The Department of Health did not regularly conduct three inspections of the D.C. Jail each year as required by the D.C. Code.**

D.C. Code states that, “[t]he Department of Health shall conduct a minimum of 3 inspections per year of the environmental conditions at the Central Detention Facility. For the purposes of this subsection, the term “environmental conditions” shall include temperature control, ventilation, and sanitation.” The Code requires that the Department of Health submit the report of each inspection to the Council and the Mayor within 30 days of the inspection.<sup>23</sup>

In a review of health inspections of the D.C. Jail between 2014 and 2018 we found that DOH conducted the required three inspections in only two of the five years. DOH acknowledges that it had not conducted the required inspections in prior years and by email July 12, 2018, indicated that the three inspections were conducted in 2017, and would be in 2018. “The issues contributing to fewer inspections in prior years have been resolved,” DOH said. The agency recounted the email text in responding to our draft report and stated that the requirement was met in 2017 and 2018. Figure 6 on the following page presents an analysis of the number of inspections conducted per year. Health inspections conducted by DOH between 2007 and 2013 are included for historical purposes.

**Figure 6: DOH Inspections of the D.C. Jail**

Calendar Year	Number of DOH Inspections	Compliance with Required # of Inspections
2007	3	Yes
2008	2	No
2009	2	No
2010	3	Yes
2011	1	No
2012	3	Yes
2013	2	No
2014	2	No
2015	2	No
2016	2	No
2017	3	Yes
2018	3	Yes

Source: Department of Health Inspection Reports

23. See § 7-731 (a-1).

DOH officials cited several reasons why the agency did not conduct the correct number of inspections required under D.C. Code in previous years:

- DOH was tasked with conducting the inspections but was not provided adequate funding to cover the costs of the inspections.
- During some years, a contractor was conducting inspections and there were contracting delays.
- DOH waited for the Department of Corrections to respond to the prior inspection before scheduling the next inspection.
- DOH must coordinate the inspections with the Department of Corrections as the surveyor must be accompanied on the inspections of the correctional facility.

The inspections are designed to ensure the health and well-being of correctional officers and inmates. If the inspections are not occurring as regularly scheduled, there is a potential risk to the health and safety of officers and inmates.

**Recommendation:**

7. DOH should continue to comply with D.C. Code 7-731(a-1)(1) and conduct three inspections per year of the D.C. Jail to help ensure that environmental conditions meet required standards.

**DOC's health services contractor, Unity Health Care Corrections, complies with basic industry accreditation requirements for mental health screenings and suicide prevention, but DOC and Unity should update and clarify some internal written policies and procedures to ensure screenings remain consistent with accreditation requirements.**

In reviewing Unity and DOC health care policies, ODCA examined accreditation requirements of the American Correctional Association (ACA) and the National Commission on Correctional Health Care (NCCHC).

Both ACA and NCCHC require that the **mental health** intake screenings contain certain components, including information on whether an inmate has ever had a history of seizures or head trauma, as well as information on an inmate's orientation to time and space and overall appearance.

ODCA's review of DOC's Office of Health Administration (OHSA) shows that DOC is capturing all medical information required by ACA and NCCHC. However, OHSA is relying on requirements and information contained within its initial medical assessment to fulfill ACA and NCCHC requirements that are supposed to be part of the initial mental health assessment.

ODCA reviewed three policies and practices, specifically:

- Intake mental health screening
- Comprehensive mental health screening
- Suicide prevention

While ODCA observed that the procedures followed by DOC and Unity covered all the elements required by ACA and NCCHC, both DOC and Unity's mental health policies do not explicitly contain some of the ACA and NCCHC requirements with respect to intake mental health screenings of inmates. For instance, both ACA and NCCHC require that the **mental health** intake screenings contain components, including information on whether an inmate has ever had a history of seizures or head trauma, as well as information on an inmate's orientation to time and space. These

items are not contained within DOC's mental health screening requirements because they are practiced as part of DOC's intake *medical* screenings, conducted and recorded at intake immediately before the mental health screening and thus not repeated.

ODCA also reviewed accreditation documents provided by DOC demonstrating that NCCHC considered the components of the intake medical screenings to fulfill some of the mental health screening components during the most recent accreditation process. This demonstrates that DOC is not at risk of losing its accreditation simply because its mental health exam policies do not repeat those items.

DOC and Unity have not specifically written their policies and procedures to match them against ACA and NCCHC requirements. Unity's contract requires that Unity comply with ACA and NCCHC requirements but does not state how the contractor should do so (for instance, by maintaining policies and procedures that are consistent with those requirements).

Because DOC and Unity's policies for intake mental health examinations do not explicitly address all aspects of the ACA and NCCHC requirements, there is a risk that if the current intake medical exam process changes, DOC may no longer be in compliance with these ACA and NCCHC requirements in performing its intake mental health screenings.

**Recommendation:**

8. DOC should update their mental health intake screening policies and procedures to ensure they fully meet ACA and NCCHC accreditation requirements without having to rely on questions and procedures administered as part of the intake medical exam, ensure that the agency's health services contractor's (currently Unity) policies and procedures mirror those of DOC and are in full compliance with ACA and NCCHC accreditation requirements and see that OHSAs' and contractors written policies remain consistent with the standards.

**DOC's Office of Health Administration regular audits of Unity represent good internal control and monitoring practices that reduce the likelihood of noncompliance and the risk of negative outcomes.**

OHSA is a division within the DOC and is overseen by DOC's Deputy Director of Administration. Its primary responsibility is to oversee the effective implementation of the Agency's medical service contract with its current vendor, Unity Health Care, Incorporated (Unity). Since 2006, Unity has provided medical, dental, and mental health services to DOC's male, female, and juvenile population. In addition to assuring compliance with the contract, OHSA oversees the vendor's compliance with NCCHC and ACA standards to help assure re-accreditation every three years.

As previously stated, the CTF was not inspected as part of the 2015 ACA accreditation.<sup>24</sup> We understand from DOC's comments on our draft report that the ACA accreditation received in January 2019 included the CTF. We found, that OHSA had in place a system of audits to monitor Unity's performance in quality measures established by NCCHC, the ACA, Unity's contract, and District and federal laws and regulations. OHSA is scheduled to conduct 162 audits annually across 64 performance measures. Most of these audits are conducted two to three times per year, and DOC has developed a risk assessment process to determine how frequently audits should occur.

The audits themselves typically consist of reviewing a sample of electronic medical records of patients who have used specific programs and determining whether the records contain the required information or whether the patient has received the service indicated. DOC's OHSA compares the performance on these audits with the established performance benchmarks and issues corrective action plans if compliance is not met. OHSA then conducts a re-audit 60 days later to determine whether the Corrective Action Plan has been met.

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24. According to the 2015 ACA Accreditation report, dated January 25, 2016 the CTF has not yet been accredited since the facility has been under the management of DOC. The report indicates that the CTF initial accreditation will occur in 2018.

OHSA's performance was measured against the United States Government Accountability Office (GAO) Standards for Internal Control in the Federal Government which state: "Management establishes activities to monitor performance measures and indicators. These may include comparisons and assessments relating to different sets of data to one another so that analyses of the relationships can be made, and appropriate actions taken. Management designs controls aimed at validating the propriety and integrity of both entity and individual performance measures and indicators."<sup>25</sup>

Additionally, GAO's principles for monitoring state, in part: "Corrective actions are a necessary complement to control activities to achieve objectives. Management should establish and operate monitoring activities to monitor the internal control system and evaluate the results. Management should remediate identified internal control deficiencies on a timely basis."<sup>26</sup>

Moreover, ODCA reviewed DOC's auditing practices in the context of DOC's policies, which state: "DOC will audit Contractors [sic] provision of quality health care consistent with ACA, NCCHC, Federal and District regulatory standards, as noted in the 'DOC Performance Improvement Tool. DOC may utilize this tool to conduct independent and/or joint audits with Contractor."<sup>27</sup>

In summary, the practices employed by OHSA provide reasonable assurance that Unity is complying with established performance benchmarks and that OHSA is taking appropriate steps to address issues requiring corrective action. It is important to note that the scope of ODCA's assessment was narrow. ODCA did not assess whether mental health services provided to inmates are adequate. ODCA assessed DOC's compliance with the ACA and NCCHC requirements related to three items—the intake mental health assessment, the comprehensive mental health assessment, and suicide prevention policies. ODCA concluded that DOC's audits of Unity reduce the risk that the contractor will not comply with contractual or accreditation requirements. OHSA should continue its monitoring of Unity's service performance, including annual evaluations of risk to determine audit frequency as well as issuing and following up on corrective action plans when necessary to ensure services provided to inmates meet all established benchmarks.

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25. United States Government Accountability Office, Standards for Internal Control in the Federal Government, September 2014, Page 47.

26. United States Government Accountability Office, Standards for Internal Control in the Federal Government, September 2014, Page 64.

27. See Contract CW37196, dated October 1, 2015, section C.5.30.10

# Auditor's Concerns

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## **D.C. Code does not require the agency to inspect the Correctional Treatment Facility.**

Currently the Department of Health does not conduct inspections at the Correctional Treatment Facility because the D.C. Code does not require such inspections. Regular inspections should be conducted to ensure the health and well-being of correctional officers and inmates. If inspections are not occurring there is a potential risk to the health and safety of officers and inmates.

Although ODCA could not conclusively determine why the law does not require CTF inspections by DOH, one potential reason is that prior to January 30, 2017, the treatment facility was managed by a private, for profit company—the Corrections Corporation of America and the CTF was not a part of the federal court oversight. During receivership, the U.S. District Court required inspections and the provision in the Jail Improvement Amendment Act of 2003 may have been written to ensure the monitoring requirements during court oversight were maintained.

### **Recommendation:**

9. DOC and the Council should review this inspection requirement and make necessary adjustments to the Code in the best interest of inmates housed at the CTF.

## **Video Visitation Policy**

In 2012, DOC began video visitation at the D.C. Jail after in-person visitation was terminated. Currently, this is the primary way inmates communicate with their visitors. In-person visitations for inmates, however, were reinstated in 2015 at the CTF and the D.C. Jail for those with good behavior. DOC officials stated that video visitation has resulted in more communication between inmates and their families and fewer cancellations of visits.

However, as a new technology, the long-term effects of video visitation are not well understood. There is some research that suggests it may lead to a breakdown in an inmate's communication with family and a higher likelihood of recidivism down the line.<sup>28</sup> Other research suggests this is not the case.

### **Recommendation:**

10. DOC should closely study the effects of its video visitation systems and consider including sufficient space in the plans for the new jail for both in-person and video visitations for

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28. See: Prison Visitation Policies: A Fifty State Survey, Chesa Boudin, Trevor Stutz, and Aaron Litman. Yale Law & Policy Review, Volume 321, Issue 1, Article 5. <https://digitalcommons.law.yale.edu/cgi/viewcontent.cgi?referer=&httpsredir=1&article=1654&context=ylpr>

# Conclusion

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The D.C. Jail is an aging and deteriorating 40-year-old facility that must be operational 24 hours per day, 7 days per week. While age is a contributing factor, the conditions within the D.C. Jail could worsen if DOC is not able to address health and environmental citations issued by DOH. We found that DOC made requests for capital funds over and above what was provided by the Mayor and Council to address facility improvements. The Mayor and the DC Council must work in concert to appropriate sufficient capital for DOC to make necessary repairs to the facility. Not doing so would put the health and safety of inmates and DOC staff at risk and increase the risk of lawsuits against the District.

One of the goals of this audit was to identify areas for improvement in facilities and policies that could assist with planning for the construction of a new jail. By reviewing DOH's inspection reports, ODCA found that DOC was repeatedly cited by DOH for violations of industry standards related to environmental conditions. Some of the citations that were repeated throughout the scope of this audit included water penetration through the walls due to a leaking roof, mold growth on walls, damaged shower stalls, temperatures outside of allowable standards and other issues clearly associated with an aging facility. Aramark, DOC's food service provider, was cited by DOH for repeated violations of District regulations related to public health and food service such as unsanitary conditions in food preparation areas.

The persistence and seriousness of facility citations clearly point to the need for a new jail. According to Kevin Donahue, the Deputy Mayor for Public Safety and Justice, the earliest construction of a new facility could begin in 2025 and would likely take four to five years to complete the project. Further delay heightens the risks associated with the age and deterioration of the facility.

We are pleased to note that DOC accepted four of the six recommendations directed to them in our report.

# Agency Comments

On December 21, 2018, we sent a draft copy of this report to the Department of Corrections (DOC) and the Department of Health (DOH) for review and written comment. DOC responded with comments on January 30, 2019, and DOH responded with comments on January 18, 2019. The comments are appended in full to this report.



**GOVERNMENT OF THE DISTRICT OF COLUMBIA  
DEPARTMENT OF CORRECTIONS**



**Office of the Director**

**D.C. DEPARTMENT OF CORRECTIONS' RESPONSE TO THE AUDIT OF  
D.C. JAIL CONDITIONS BY THE OFFICE OF THE D.C. AUDITOR**

**I. The District's Demonstrated Performance in Achieving Established Standards Regarding Conditions of Confinement at the D.C. Jail**

The Office of the D.C. Auditor (ODCA) goes back decades to set the stage of the Audit of the D.C. Jail, but does not acknowledge or recognize that a long look-back in fact demonstrates the progress the D.C. government has made and the full and repeated recognition it has received as being compliant with national correctional standards. While the District of Columbia Jail was under Court supervision and receivership in the distant past, after years of demonstrated dedication to systemic reform by District officials, that *court oversight was terminated 16 years ago in 2003*. The United States District Court determined that the conditions of confinement met constitutional standards and no longer required judicial intervention and oversight, a status that continues to date.

In 2003, the D.C. Council passed the Jail Improvement Amendment Act of 2003 (Law 15-62), which required inspections, monitoring, and reporting. It further required that the Department of Corrections (DOC) initiate immediate changes in operating protocols including a classification system and housing plan; institute a population ceiling at the Jail; and required that the facility obtain accreditation by a national professional correctional organization in order to provide a safer institution. DOC complied with the requirements with changes in classification and housing protocols. It implemented and has adhered to population levels below the cap of 2,164 promulgated by DOC in DCMR 28-532. This cap was based on the rated capacity of the facility as determined by independent expert consultants Pulitzer/Bogard Associates. Consistent with D.C. Code § 24-211.02(b)(2), DOC submits Quarterly Jail Improvement Act reports to the D.C. Council, as required by the Act, relating to living conditions in the Central Detention Facility (CDF), including inmate grievances, in a Crystal report (a Windows-based tool that allows aggregate reporting of data from diverse sources). In addition, DOC produces and submits to the D.C. Council a monthly report on the Priority One environmental problems and the time to repair, a monthly report of the Environmental Safety Office, a monthly report on temperature control and ventilation, and a monthly report on the jail population that includes the number of people waiting for transfer to the Federal Bureau of Prisons and the average number of days that inmates waited for transfer.

The Act also required DOC to achieve American Correctional Association (ACA) accreditation, which, through hard work, commitment, and the dedication of staff and resources, we did. In addition, DOC

also achieved National Conference on Correctional Health Care (NCCHC) accreditation. ACA and NCCHC accreditations are considered the gold standards in correctional operational and medical/mental health care respectively. In order to be accredited by ACA, the D.C. Jail has to be one hundred percent compliant with all “mandatory standards,” and ninety percent compliant with all “non-mandatory standards.” In order to achieve and maintain NCCHC accreditation, the D.C. Jail has to be one hundred percent compliant with all “essential” NCCHC standards and eighty-five percent compliant with all “important” NCCHC standards. The D.C. Jail achieved initial ACA accreditation in August 2009, reaccreditation in January 2015 and was reaccredited again on January 12, 2019. D.C. Jail Medical and Mental Health Services were initially accredited by NCCHC in October 2001, and most recently in April 2018. Moreover, the D.C. Jail was originally certified as in compliance with the Prison Rape Elimination Act (PREA) on December 9, 2014, and most recently on November 24, 2017. The Correctional Treatment Facility (CTF) was accredited by ACA while under the management operation of Corrections Corporation of America (CCA) from 1997 until 2016 and reaccredited under DOC management on January 12, 2019. CTF Medical and Mental Health Services were accredited by the National Commission on Correctional Health Care (NCCHC), the initial accreditation in October 2004, and most recently in April 2018. The CTF is certified as compliant with the Prison Rape Elimination Act as of July 29, 2016.

The Department of Health (DC Health) conducts inspections of the D.C. Jail, as referenced throughout the Auditor’s report, using the Department’s Health Regulation and Licensing Administration Health Care Facilities Division (HCFD) standardized form to document compliance with environmental standards as defined by the American Public Health Association (APHA) and the American Correctional Association (ACA). In conducting this inspection, DC Health applies the APHA standards for correctional facilities, although D.C. Official Code § 7-731 (a-1) does not set out what standard(s) should be applied when conducting the inspections. Because the APHA is not an accrediting agency, it is APHA’s policy that correctional facilities should achieve accreditation with NCCHC as it is the gold standard in correctional health. According to the APHA, the NCCHC has established standards that align with APHA recommendations; therefore, achieving NCCHC accreditation is achieving substantial compliance with APHA standards. As mentioned above, the D.C. Jail was accredited by NCCHC in October 2001 and reaccredited in April 2018. Therefore, the Jail is in compliance with APHA Standards per the APHA.

For more than a decade, the DOC was well below the national average for suicides in correctional facilities. However, there was a sudden occurrence of a cluster of suicides in 2013, prompting the District to immediately bring in expert consultant Lindsay M. Hayes and establish a Suicide Prevention Task Force resulting in: (1) increasing the ability to identify high-risk inmates, (2) creating more suicide-resistant jail practices, (3) improving housing unit determination processes, and (4) strengthening DOC’s culture of suicide prevention as reflected in the agency’s implemented DOC Policy 6080.2G, Suicide Prevention and Intervention.<sup>1</sup>) In addition to the Task Force, the DOC regularly trains our staff in the identification of behaviors that may indicate a risk of suicide, and the appropriate protocols for suicide prevention and intervention. This response to a cluster of suicides further demonstrates the agency’s commitment and ability to identify and improve protections, services, and supports for inmate safety and well-being.

## **II. Official Action to Ensure that the Maintenance and Repair of the D.C. Jail Meet Industry and Constitutional Standards for Conditions of Confinement**

The mission of the D.C. Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording

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<sup>1</sup> <https://doc.dc.gov/publication/suicide-prevention-and-intervention>

those in custody meaningful rehabilitative opportunities for successful community reintegration. This mission is articulated repeatedly to staff, and is carried out through the implementation of numerous programs.<sup>2</sup>) In addition to providing an environment that promotes safety for inmates, staff, visitors and the community at large, the DOC facilities are a place where we provide programs and services to improve inmate education and job skill levels, and facilitate successful community reintegration. DOC also provides inmate physical and mental health treatment through Inmate Health Services, a premiere and respected community healthcare provider, that includes daily access to sick call, 24/7 urgent care, in-house and outside specialty care, full pharmaceutical services and hospital services, dental care, and HIV/AIDS prevention education. Mental health care includes psychiatric and psychological care, clinical social workers, group therapy and individual counseling, substance abuse programs, an Intensive Mental Health Unit, and a Peer Support Mental Health Unit. DOC provides education programs which include adult basic education, DD, college courses, and vocational programs, as well as job readiness services. The Department also provides religious programs and accommodations for inmate religious beliefs including services, religious diets, clothing and other items for the practice of faith consistent with the safety and security of the facility. Inmates have recreation, out of cell activity, television, library cart reading materials, commissary, social visitation, 24/7 legal visitation, telephone services (social and legal calls), mail services (regular and legal), case management services, laundry services, grooming services, and inmates may grieve any concerns or complaints through the Inmate Grievance Procedures (medical and regular). Demonstrating our commitment to reintegrating the Jail's population with the larger community, the DOC's investment in college programming and facilitation of voting by inmates are recognized nationally as path-breaking and progressive.

All of these services are provided in facilities where there was an average daily population of 1173 to 1300 from 2015 to 2018. An average of twelve thousand (12,000) inmates come through the D.C. Jail annually, resulting in the need for a robust preventative maintenance plan for the physical plant and a priority triaging system for maintenance repairs. The population activity volume, high turnover, and 24/7 occupation of the D.C. Jail translates to a facility whose physical plant is under constant usage and strain as reflected in the reoccurrence of faulty plumbing fixtures and other maintenance and repairs which, once fixed, reoccur elsewhere in the facility. The D.C. Jail has a large and complex plumbing system that supports all aspects of the building, including the common areas of the housing units, such as showers, as well as individually supporting 13 cells, each with its own sink and toilet. This extensive footage of plumbing and large number of fixtures is reflected in the proportionate ratio of plumbing repairs cited in DC Health inspections and the fact that similar problems reoccur in different cells after repair. As such, the number of plumbing fixtures needing repair does not reflect a failure to repair them in a timely manner, but rather that another need occurred in another cell in the facility, requiring constant maintenance and upkeep and reoccurring maintenance citations.

Notably, if the plumbing in a cell is not operable, or if the roof leaks into a cell, the cell is not occupied by an inmate until fixed; therefore, inmates are not subjected to any deprivations or harm while fixtures are inoperable or there is a leak.

At the D.C. Jail, most of the 18 housing units have 8 showers, totaling 132 showers, similarly reflecting a proportional number of needed shower repairs at any given time. Therefore, on each unit, if a shower fixture requires repair, inmates have up to 7 other showers to use, thus meeting their needs. Shower repairs are immediately prioritized by maintenance staff and repairs are targeted for completion within 24-hours (actual completion time of course depends upon the complexity of the repair). There are some

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<sup>2</sup> <https://docd.covdc.gov/page/c-program-statements>

showed areas that suffer from a number of structural and mechanical deficiencies, such as original plumbing systems that are peeling and aging.

The current HVAC system has significant design problems that inhibit proper airflow and temperature controls. In light of the HVAC issues identified in the summary of 2016, DOC in consultation with the D.C. Department of General Services (DGS), completed a needs assessment and feasibility study to evaluate and/or upgrade the existing HVAC equipment and associated mechanical systems as a result prior to the summary of 2017. The DOC installed two new water chillers and replaced the rooftop lag air supply ducts. DOC was able to begin the process of moving the system from a manual control to real-time digital control. DOC in consultation with DGS, continues to work towards HVAC improvements to increase the flow of air throughout the facility. Moreover, DOC monitors indoor temperature daily and when temperatures are appropriate in an unoccupied area the DOC maintenance staff starts the temperature. Per the ACA Eett e Prati ce ALDF-1A-20, the temperature at DOC facilities is as close or lower to acceptable conditions, thus the average daily temperature in the Jail is within normal ranges.

Per ACA permitted Practice 4-ALDF-4A-02 the food preparation area includes space and equipment for food preparation based on population size, type of food preparation, and methods of meal service. See a sanitation, temperature-ventilation and food storage. Weekly meetings and daily inspections are conducted to ensure that cleanliness and sanitation are maintained in the kitchen. Culina<sup>9</sup> staff has an assigned environmental officer and environmental details on each shift. Some inmates even participate in ulina<sup>9</sup> training leading to nationally-recognized certification and achievement upon their release.

Consistent with ACA Mandatory practice 4-ALDF-4A-11, the disinfection program by an independent source, D.C. Health Department facilities and equipment meet established governmental health and safety codes. When the area is any deficiencies corrective action is taken immediately. DC also utilizes the food section of the HCFD inspection report to inspect the Culina<sup>9</sup> area and officers' dining room. All broken equipment is repaired within 8 hours if only repair is when equipment parts are unavailable and the maintenance vendor must rely on the manufacturer to supply new parts.

DOC has a well-maintained pest control plan that includes monthly inspections<sup>9</sup> and a quality inspection and utilizes the food section of the DC inspection report as a measure to inspect the Culina<sup>9</sup> area and officers' dining room. DOC has an environmental detail dedicated exclusively to the ulina<sup>9</sup> team on each shift daily. This team maintains cleanliness and sanitation. As an additional level of protection, DOC has had a contract with a pest control company for several years. In the past when a pest control company has been unable to obtain satisfactory results the agency has terminated that contract and instituted a new one with a different company with a favorable results.

In 2017, in response to issues identified in the DC health report related to food service the DOC undertook a several weeks' long renovation of the food service area that includes the replacement of all equipment and the implementation of a new pest control vendor, which has yielded positive results.

In 2018, the DOC completed installation of new roofing. Even with a new roof, however, leaks can still occur, including those from sources other than the roof. In 2019, the DOC is working with GS to continue structural repairs to address water penetration into the facility<sup>9</sup> way out the area and windows. In the roof leaks are repaired in a timely manner but have occurred in different areas of the facility due to the age of the building, despite ongoing diligent maintenance. In general,

superannuated buildings requiring more roof leaks than new buildings; however repairs are made quickly, and the effects of deterioration on the building structure and the environment, such as the frequent occurrence of mold, are identified and addressed immediately.

### III. Certified Accredited Medical and Mental Health Care Meet Correctional Standards of Excellence

DOC Medical and Mental Health Services are both ACA and CHC accredited. Both accrediting agencies require that the mental health intake screenings contain certain components including information on whether an inmate has ever had a history of seizures or head traumas as well as information on an inmate's orientation to time and space and overall appearance. The information is collected during the medical intake process immediately prior to the mental health intake process and is recorded in the patient chart for medical and mental health's reference and use. Because of this, the same information is not solicited in the mental health intake questions that immediately follow medical intake's requests and documentation. While the Auditor states that problems could arise in the hypothetical situation where the medical intake screening changes such a scenario is purely speculative and unlikely to occur given DOC's close oversight of healthcare services, as noted by the Auditor, and due to the fact that the practice has been reviewed and meets NCC and ACA standards. Noteworthy is that DOC automatically screens all inmates at intake for voluntary HIV testing serving as one of the largest screeners in the District and provides HIV/AIDS medical treatment as well as HIV/AIDS counseling and education.

### IV. Budgeting for a New Jail

The Mayor, Deputy Mayor for Public Safety and Justice, Director of DOC and the D.C. Council all have acknowledged that a new correctional facility is desirable. As the DC Auditor's report noted, the District is looking at all options of how to fund a new DC Jail, including the possibility of a Public Private Partnership (P3). As part of the FY 2019 budget development process it was determined that a P3 project is not the most prudent course of action. The Administration instead chose to fund a study that will document the needs for a new facility including: how many people it will be designed to house, programming space and other building and capital considerations. In addition in October 2018, the Office of Community Services and Justice Affairs (OVSJG) issued a request for Applications for a grant award of up to \$150,000 to engage an organization to build stakeholder engagement and solicit feedback related to the design and construction of a new correctional facility in the District of Columbia.

At the same time the Mayor made it a funding priority to invest in improving and upgrading current systems at the DC Jail - both as part of regular maintenance and in response to more acute facility needs - while the administration waits for the results of the study in order to develop a proper budget estimate for the Capital Improvement Plan (CIP). In the CIP submitted in 2019 the Mayor's budget included \$13.5 million for improvements in the current fiscal year and \$6 million for additional improvements in FY 2020. The 2019 - FY 2020 funds are for general renovation sewer system upgrades exterior structural finishing and HVAC replacement work at the CDF.

The administration is committed to funding maintenance needs at the DC Jail while the study is occurring and the requirements for a new correctional facility are developed with community returning citizens and criminal justice reform experts' input. As a result the maintenance needs for DOC will be discussed and considered for additional funds in 2020 and beyond as is done for all municipal facilities as part of the annual budget process. From 2000 - 2020 DOC has been awarded a total of \$1.3 million for facility improvements in both the DOC and DGS budgets.

The desire to build a new jail has been reflected by DOC in their initial budget submission. Agencies are encouraged to submit any and all ideas, regardless of fiscal constraints, so the administration has a full view of all ideas to improve programs and services. Agencies are given preliminary numbers, and then they make clear what is absolutely necessary to fulfill their statutory duties and meet priority goals. They then engage in further rounds of discussion with the Office of Budget and Performance Management and other senior officials who must balance the needs and new requests across government against available resources. As the Deputy Mayor explained in his interview with the Auditor, requests from agencies can sometimes be two to three times the amounts that they would ultimately receive. If all of these requests were fulfilled, the District would not be able to submit a balanced budget and financial plan, as required by law.

The Mayor transmits her budget to the D.C. Council, which in turn decides which programs and projects to fund, having the benefit of oversight hearings, budget hearings with Directors, Deputy Mayors, and the City Administrator, numerous reports, as well as all the community input they receive as candidates and legislators. Together, the Council and Mayor determined that funding for maintenance, rather than a new facility, was preferable at this time and legally sound. No respect should an initial, internal, “blue sky” request for deliberative consideration be equated with a final determination that a new facility is immediately necessary to protect the health of inmates or respect their legal rights.

## V. District Responses to ODCA Recommendations

### Recommendations:

1. DOC should take all steps necessary, including requesting additional funding if necessary, to achieve and maintain full compliance with all ACA and AHA requirements.  
**Response:** DOC accepts this recommendation and will continue to take the steps necessary to remain in compliance with ACA and CHC accreditation requirements.
2. DOC should conduct regular documented monitoring of Aramark’s compliance with all requirements of its contract and District food safety laws and regulations and sanction the contractor appropriately if necessary.  
**Response:** DOC accepts this recommendation and will continue to ensure the food vendor’s daily compliance with the contract and District food safety laws and regulations.
3. When considering a new correctional facility, DOC should analyze DO violations to ensure that the design of the new facility minimizes the challenges of complying with standards and regulations that DO frequently cites as having been violated.  
**Response:** DOC accepts this recommendation and will analyze past DC all citations for consideration in the design of a new facility.
4. The Mayor and Council should provide a capital budget for DOC that considers the risk of failure to address health and safety hazards identified by the DO including the risk to the safety of inmates and staff and the risk of additional litigation.  
**Response:** The Mayor and Council always consider risks when building both capital and operating budgets, and will continue to do so.

5. EOM should, working with other members of the District's Capital Budget Team, develop policies and procedures for the capital budgeting process that ensure the plan accurately reflects the known capital needs of agencies including DOC, over the entire six-year capital budgeting period.

**Response:** The capital budget is projected as accurately as possible over the six-year capital budget period based on available information and against available resources. Each year the capital budget is revisited to ensure that new information about the condition of our assets can be assessed and considered. Funding is then allocated based on available resources, existing commitments and the time it takes to repair and/or construct new assets.

6. DOC should comply with D.C. Code §7-731(a-1)(1) and conduct three inspections per year of the D.C. Jail to help ensure that environmental conditions meet required standards.

**Response:** DOC accepts this recommendation and will continue to comply with DC Health inspections as requested and scheduled by the agency.

7. DOC should update their mental health intake screening policies and procedures to ensure they fully meet ACA and NCCHC accreditation requirements without having to rely on questions and procedures administered as part of the intake medical exam, ensure that the agency's health services contractor's (currently Unity) policies and procedures mirror those of DO and are in full compliance with ACA and NCCHC accreditation requirements and see that OHSA's and contractors' written policies remain consistent with the standards.

**Response:** DOC accepts this recommendation, and we have conferred with Unity Healthcare regarding it. We are currently in the process of updating those respective policies and procedures to reflect the noted revisions. DOC expects to have these updates formally completed by April 2019.

8. DOC and the Council should review this inspection requirement and make necessary adjustments to the Code in the best interest of inmates housed at the CTF.

**Response:** DOC recognizes this recommendation and defers this to the Council's legislative powers.

9. DOC should closely study the effects of its video visitation systems and consider including sufficient space in the plans for the new jail for both in-person and video visitations for all inmates depending on which room visitation families prefer.

**Response:** DOC has taken this recommendation under advisement.

January 30, 2019

January 18, 2019

Via email: [Kathy.Patterson@dc.gov](mailto:Kathy.Patterson@dc.gov)

Kathy Patterson  
District of Columbia Auditor  
Office of the District of Columbia Auditor  
717 14<sup>th</sup> Street, NW, Suite 900  
Washington, DC 20005

Re: Department of Health's Comments on Draft Report entitled "District Fails to Address Poor Conditions at the D.C. Jail"

Dear Ms. Patterson:

Thank you for providing the opportunity to the District of Columbia Department of Health (DC Health) to comment on the draft report prepared by the Office of the District of Columbia Auditor (ODCA) concerning the D.C. Jail.

On behalf of DC Health and Director Nesbitt, I respectfully request that the draft report be modified at pages 18-19 to fairly and accurately report the DC Health's current compliance with its obligations pursuant to D.C. Code § 7-731(a-1)(1).

Missing Paragraphs from DC Health's Response

Two paragraphs of text from my July 12, 2018 email to Matthew Separa (ODCA's Program Analyst) should be reflected in your final report.<sup>1</sup>

In the middle of page 19 of the draft report, ODCA included one paragraph of text from my email but did not include the paragraph immediately above the included paragraph or the paragraph immediately below the included paragraph. The absence of the two paragraphs materially misrepresents the current facts. In the first missing paragraph, I stated:

I start by noting that DC Health is currently in compliance. DC Health did inspect three times in calendar year 2017. This indicates that the issues contributing to fewer inspections in prior years have been resolved. For calendar year 2018, one inspection has been completed, another inspection

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<sup>1</sup> For your convenience, I have included a copy of the DC Health email to Mr. Separa dated July 12, 2018 as an attachment to this letter.



is in progress now, and a third inspection will soon be scheduled. This further indicates the issues have been resolved. Working collaboratively with the Department of Corrections, DC Health is committed to making sure the inspections for environmental conditions occurs three times a year.

The second missing paragraph stated:

To comply with the statutory mandate, DC Health made a number of changes including:

1. DC Health absorbed the costs of the inspections into its operating budget.
2. DC Health hired the person conducting the inspections as a while actually employed (WAE) employee so that contracting delays are avoided and the person can start the inspections on time.
3. DC Health no longer waits for the Department of Corrections to respond to the prior inspection before scheduling the next inspection.
4. DC Health actively coordinates with the Department of Corrections to assure inspections occur three times per year.

Without the missing paragraphs, the draft report reports misrepresents that DC Health is continuing to violate the statutory mandate. However, DC Health did take action in calendar year 2017 to comply with the statutory mandate. Because of that action, DC Health is now compliant with the statutory mandate as evidenced by:

1. DC Health completed three inspections in calendar year 2017 as indicated in Figure 6 on page 19 in the draft report.
2. DC Health also completed three inspections in calendar year 2018 on March 19, 2018 to April 2, 2018, July 2, 2018 to July 17, 2018, and October 22, 2018 to November 2, 2018.

#### Recommendation 6

ODCA's Recommendation No. 6 on page 19 of the draft report implies that DC Health still needs to take action in calendar year 2019 to start its compliance. However, as explained above, DC Health already took action in calendar year 2017; moreover, DC Health demonstrated compliance in calendar years 2017 and 2018.

As such, Recommendation 6 should be amended to reflect that DC Health is currently in compliance and is expected to stay in compliance.

I am available to discuss. Please contact me at [Phillip.Husband@dc.gov](mailto:Phillip.Husband@dc.gov) or (202) 442-5970 for any follow-up on these comments.

Sincerely,



Phillip L. Husband  
General Counsel

Attachment

cc: Lawrence Perry  
Betsy Cavendish  
LaQuandra S. Nesbitt  
Sharon Lewis

## Husband, Phillip (DOH)

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**From:** Husband, Phillip (DOH)  
**Sent:** Thursday, July 12, 2018 5:03 PM  
**To:** Separa, Matthew (ODCA)  
**Cc:** Nesbitt, LaQuandra S. (DOH); Cavendish, Betsy (EOM)  
**Subject:** Compliance with Section 2 of the District of Columbia Jail Improvement Amendment Act of 2003, effective January 30, 2004 (D.C. Law 15-62, D.C. Official Code § 7-731(a-1))

Tracking:	Recipient	Delivery	Read
	Separa, Matthew (ODCA)	Delivered: 7/12/2018 5:03 PM	Read: 7/12/2018 5:02 PM
	Nesbitt, LaQuandra S. (DOH)	Delivered: 7/12/2018 5:03 PM	Read: 7/12/2018 5:49 PM
	Cavendish, Betsy (EOM)	Delivered: 7/12/2018 5:03 PM	
	Lewis, Sharon (DOH)		
	sharon.lewis@dc.gov	Delivered: 7/12/2018 5:03 PM	

Mr. Separa,

You asked that the Department of Health (DC Health) provide information on why it did not conduct the required number of inspections each year in seven of the ten years between 2007 and 2016.

I start by noting that DC Health is currently in compliance. DC Health did inspect three times in calendar year 2017. This indicates that the issues contributing to fewer inspections in prior years have been resolved. For calendar year 2018, one inspection has been completed, another inspection is in progress now, and a third inspection will soon be scheduled. This further indicates the issues have been resolved. Working collaboratively with the Department of Corrections, DC Health is committed to making sure the inspections for environmental conditions occurs three times a year.

DC Health recognizes that it was not fully complying with section 2 of the District of Columbia Jail Improvement Amendment Act of 2003, effective January 30, 2004 (D.C. Law 15-62, D.C. Official Code § 7-731(a-1)) for several reasons:

1. DC Health was tasked with conducting the inspections but was not provided additional funding to cover the costs of the inspections.
2. The person who conducted the inspections was previously engaged as a contractor where contracting delays occurred.
3. DC Health waited for the Department of Corrections to respond to the prior inspection before scheduling the next inspection.
4. DC Health must coordinate the inspections with the Department of Corrections as the surveyor must be accompanied on the inspections of the correctional facility.

To comply with the statutory mandate, DC Health made a number of changes including:

1. DC Health absorbed the costs of the inspections into its operating budget.
2. DC Health hired the person conducting the inspections as a while actually employed (WAE) employee so that contracting delays are avoided and the person can start the inspections on time.
3. DC Health no longer waits for the Department of Corrections to respond to the prior inspection before scheduling the next inspection.

4. DC Health actively coordinates with the Department of Corrections to assure inspections occur three times per year.

I remain available to discuss.

**Phillip L. Husband**

General Counsel

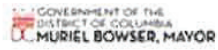
Office of the General Counsel (OGC)

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899 North Capitol Street NE, 6<sup>th</sup> Fl, Washington, DC 20002

[dchealth.dc.gov](http://dchealth.dc.gov)



# ODCA's Response to Agency Comments

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We thank the Department of Corrections, the Department of Health, the Executive Office of the Mayor and the Office of the Chief Financial Officer for their cooperation and assistance during our audit. We are pleased that DOC and DOH concurred with most of our recommendations. We will follow-up with the agencies on the implementation status of these recommendations as part of our annual recommendation compliance monitoring process.

Based on the items discussed during the exit conference and comments received from the agencies, we made changes to the report where applicable. Most important, we made explicit our recommendation that the District should move forward with building a new jail to address the risks identified in the audit. With regard to the Department of Health, we revised our description of the inspection reports completed during the scope of the audit to acknowledge the completion of the three required inspections in 2018.

At the request of the Department of Corrections we added information from an interview with Deputy Mayor Kevin Donahue pertaining to the initial capital funding requests made as a part of annual budget deliberations.

We also note the extensive description provided in the DOC comments on the improvements made in the operations of the D.C. Jail in the years following the enactment of the Jail Improvement Act of 2003. Although the government's compliance with the terms of the legislation are in many respects outside the scope of this audit, we acknowledge that there have been improvements in the conditions of confinement from the severe overcrowding of the facility that occurred prior to the enactment of the law.

# Summary of Report Recommendations

Most of the recommendations in this report can be implemented without any additional costs to the agencies, have the potential to generate revenue and/or cost savings to the District, and/or help to advance or support the mission and/or the strategic objectives of the Department of Corrections (DOC), the Department of Health (DOH), as well as the Mayor and the Council.

Recommendation	Is There a Cost to the Agency to Implement?	Potential to Generate Revenue or Savings for the District?	Specific Agency or District-Wide Goal Advanced by Recommendation
<p>1. DOC should take all steps necessary, including requesting additional funding if necessary, to achieve and maintain full compliance with all ACA and APHA requirements.</p>	<p>Yes</p>	<p>No</p>	<p>DOC’s Mission Statement: The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community re-integration.</p> <p>DOC’s Strategic Objective #2: Foster Environment That Promotes Safety for Inmates, Staff, Visitors and the Community at Large.</p>
<p>2. DOC should conduct regular documented monitoring of Aramark’s compliance with all requirements of its contract and District food safety laws and regulations and sanction the contractor appropriately if necessary.</p>	<p>Yes, if this requires an additional FTE.</p>	<p>No</p>	<p>DOC’s Mission Statement: The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community re-integration.</p> <p>DOC’s Strategic Objective #2: Foster Environment That Promotes Safety for Inmates, Staff, Visitors and the Community at Large.</p>
<p>3. The District should move forward with a new D.C. Jail.</p>	<p>Yes</p>	<p>Yes</p>	<p>DOC’s Mission Statement: The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community re-integration.</p> <p>DOC’s Strategic Objective #2: Foster Environment That Promotes Safety for Inmates, Staff, Visitors and the Community at Large.</p>

Recommendation	Is There a Cost to the Agency to Implement?	Potential to Generate Revenue or Savings for the District?	Specific Agency or District-Wide Goal Advanced by Recommendation
<p>4. When considering a new correctional facility, DOC should analyze DOH violations to ensure that the design of the new facility minimizes the challenges of complying with standards and regulations that DOH frequently cites as having been violated.</p>	Yes	Yes – reducing health violations reduces the chance of a lawsuit and a potential settlement.	<p>DOC’s Mission Statement: The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community re-integration.</p> <p>DOC’s Strategic Objective #2: Foster Environment That Promotes Safety for Inmates, Staff, Visitors and the Community at Large.</p>
<p>5. The Mayor and Council should provide a capital budget for DOC that considers the risk of failure to address health and safety hazards identified by the DOH including the risk to the safety of inmates and staff and the risk of additional litigation.</p>	Yes	Yes – reducing risks reduces the chances of a lawsuit and a potential settlement.	N/A
<p>6. EOM should, working with the other members of the District’s Capital Budget Team, develop policies and procedures for the capital budgeting process that ensure the plan accurately reflects the known capital needs of agencies, including DOC, over the entire six-year capital budgeting period.</p>	No	No	N/A
<p>7. DOH should continue to comply with D.C. Code 7-731(a-1)(1) and conduct three inspections per year of the D.C. Jail to help ensure that environmental conditions meet required standards.</p>	No	No	<p>DOH’s mission to promote health, wellness and equity, across the District, and protect the safety of residents, visitors and those doing business in our nation’s Capital. DOH’s responsibilities include identifying health risks; educating the public; preventing and controlling diseases, injuries and exposure to environmental hazards; promoting effective community collaborations; and optimizing equitable access to community resources.<sup>29</sup></p>

29. Information about the Department of Health and a list of their responsibilities can be found here: <https://dchealth.dc.gov/page/about-dc-health>

Recommendation	Is There a Cost to the Agency to Implement?	Potential to Generate Revenue or Savings for the District?	Specific Agency or District-Wide Goal Advanced by Recommendation
<p>8. DOC should update their mental health intake screening policies and procedures to ensure they fully meet ACA and NCCHC accreditation requirements without having to rely on questions and procedures administered as part of the intake medical exam, ensure that the agency's health services contractor's (currently Unity) policies and procedures mirror those of DOC and are in full compliance with ACA and NCCHC accreditation requirements and see that OHSAs and contractors written policies remain consistent with the standards.</p>	No	No	<p>DOC's Mission Statement: The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community re-integration.</p> <p>DOC's Strategic Objective #2: Foster Environment That Promotes Safety for Inmates, Staff, Visitors and the Community at Large.</p>
<p>9. DOC and the Council should review this inspection requirement and make necessary adjustments to the Code in the best interest of inmates housed at the CTF.</p>	No	No	<p>DOC's Mission Statement: The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community re-integration.</p> <p>DOC's Strategic Objective #2: Foster Environment That Promotes Safety for Inmates, Staff, Visitors and the Community at Large.</p>
<p>10. DOC should closely study the effects of its video visitation systems and consider including sufficient space in the plans for the new jail for both in-person and video visitations for all inmates, depending on which form of visitation families prefer.</p>	Yes	No	<p>DOC's Mission Statement: The mission of the Department of Corrections (DOC) is to provide a safe, secure, orderly, and humane environment for the confinement of pretrial detainees and sentenced inmates, while affording those in custody meaningful rehabilitative opportunities for successful community re-integration.</p> <p>DOC's Strategic Objective #2: Foster Environment That Promotes Safety for Inmates, Staff, Visitors and the Community at Large.</p>



## About ODCA

The mission of the Office of the District of Columbia Auditor (ODCA) is to support the Council of the District of Columbia by making sound recommendations that improve the effectiveness, efficiency, and accountability of the District government.

To fulfill our mission, we conduct performance audits, non-audit reviews, and revenue certifications. The residents of the District of Columbia are one of our primary customers and we strive to keep the residents of the District of Columbia informed on how their government is operating and how their tax money is being spent.

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# **EXHIBIT D**

District of Columbia  
Corrections Information Council



District of Columbia  
**Department of Corrections**

2018 Inspection Report



*Correctional Treatment Facility (CTF)*



*Central Detention Facility (CDF)*

May 21, 2019



## District of Columbia Corrections Information Council

Charles Thornton, Board Chair  
Katharine A. Huffman, Board Member  
Calvin Woodland Jr., Board Member  
Nkechi Taifa, Board Member  
Charlie Whitaker, Board Member

### *About the District of Columbia Corrections Information Council*

The District of Columbia Corrections Information Council (CIC) is an independent oversight body mandated by the United States Congress and the Council of the District of Columbia to inspect, monitor, and report on the conditions of confinement in correctional facilities where residents from the District of Columbia are incarcerated. This includes facilities operated by the Federal Bureau of Prisons (BOP), the District of Columbia Department of Corrections (DOC), and private contractors.

The CIC reports its observations and recommendations to the District of Columbia Representative in the United States Congress, the Mayor of the District of Columbia, the Council of the District of Columbia, the District of Columbia Deputy Mayor for Public Safety and Justice, the Director of the BOP, the Director of the DOC, and the community.

Although the CIC does not handle individual complaints or provide legal representation or advice, individuals are still encouraged to contact the CIC. Reports, concerns, and general information from incarcerated DC residents and the public are very important to the CIC, and they greatly inform our inspection schedule, recommendations, and reports. However, unless expressly permitted by the individuals or required by law, names and identifying information of residents, corrections staff not in leadership, and members of the general public will be kept anonymous and confidential.

### **DC Corrections Information Council**

441 4<sup>th</sup> Street, NW  
Suite 270N  
Washington, DC 20001  
Phone: (202) 478-9211  
Email: [dccic@dc.gov](mailto:dccic@dc.gov)  
Website: <https://cic.dc.gov/>

# Executive Summary

## Correctional Treatment Facility Profile

<b>Dates of Inspection:</b> September 20, 2018	<b>Rated Capacity:</b> 1,400
<b>Location:</b> SE, Washington, DC	<b>10/01/18 Population:</b> 685
<b>Security Level:</b> Minimum, Medium	<b>Resident-to-Staff Ratio:</b> 2.37:1

## Central Detention Facility Profile

<b>Dates of Inspection:</b> September 26, 2018	<b>Rated Capacity:</b> 2,164
<b>Location:</b> SE, Washington, DC	<b>10/01/18 Population:</b> 1,348
<b>Security Level:</b> Minimum, Medium, Maximum	<b>Resident-to-Staff Ratio:</b> 2.37:1

## DOC Population Profile (September 2018, Fiscal Year Totals)

<b>Women Daily Average Population:</b> 155 (CTF)	<b>Men Daily Average Population:</b> 1,849 (CDF/CTF)
<b>Modal Age Range:</b> 31-40	<b>Modal Age Range:</b> 21-30
<b>Average Length of Stay:</b> 44 days	<b>Average Length of Stay:</b> 79 days

## Key Findings

The CIC highlights the following programs and facility practices:

- The DOC made notable efforts to expand educational offerings to those in custody, particularly within the CTF facility, developing a model college and career readiness department.
- All of the juvenile offenders charged as adults who were in DOC custody were transferred to New Beginnings prior to October 1, 2018.
- The CIC received numerous reports that case managers were not regularly available on units at CDF.
- During the fiscal year there were reported incidents of possible miscalculations in custody scores and subsequent security level classifications that prompted the CIC to look into the DOC classification process.
- The CIC received complaints from at least 11 DOC residents regarding their medical care.
- In FY 2018 the DOC revised its policies on medical assisted treatment (MAT). It now continues suboxone treatments for individuals who were receiving treatment in the community, and identifies individuals to begin MAT while in custody.
- There is little to no programming outside of the GED unit for individuals who are housed at

the CDF, particularly those who are maximum security residents.

- Residents on the administrative housing unit raised concerns about the informal disciplinary process on that unit.
- The CIC received numerous reports throughout FY 2018 about conditions on the government witness unit regarding the lack of programming, extended investigations, and concerns about daily life.
- From September to October 2018, the CIC received concerns from residents on the Special Management Unit (SMU) of CTF, regarding changes in recreation practices on the unit.
- The CIC received frequent resident concerns regarding deficiencies in the DOC grievance process, mainly that residents seldom receive any response to grievances that they submit.
- The CIC received numerous comments from residents that they did not receive documentation of disciplinary incidents.
- The CIC received complaints about access to religious programs and services as well as religious diet trays, ongoing throughout the fiscal year.
- Throughout the fiscal year the CIC received numerous reports about recreation – including comments about not having outdoor recreation or opportunities for recreation in general.
- The DOC has two employees that are trained to provide ADA services to residents who are referred for services, via the DOC medical department.
- Many residents reported to the CIC that their personal property was never returned after they transferred units.
- Throughout the fiscal year, individuals in both the CTF and CDF reported concerns about the physical conditions of the facilities.

## Recommendations

Based on the inspection of the CTF and CDF, the CIC makes the following recommendations:

- The DOC should expand educational and vocational programming options generally to those housed at the CDF with a focus on safe and innovative provision of services and programming to maximum security residents, restrictive housing residents, and residents with a classification status other than general population.
- The DOC should ensure that case managers are in fact available on every unit on a daily basis; offer office hours or easily scheduled appointments; and effectively assist residents with their needs.
- The DOC should ensure that case managers are accurately distinguishing between types of convictions and the offense severity points that are assigned to each conviction. The DOC should assess data systems to verify that case managers are accurately interpreting other documents assessed in calculating the custody score. The DOC should provide training to address common errors.
- The DOC should clearly communicate medical decisions and care updates to residents and ensure all appropriate follow-up care is scheduled and completed in a timely manner.
- The DOC should ensure that unit officers are allowing residents to access approved medical accommodations.
- Mental health staff should ensure that daily evaluations of psychiatric status, cell amenities, and life activities are fully completed and documented every day.
- The DOC should ensure that restrictions are only being placed on residents after documentation of a legitimate violation of DOC policy and a fair hearing.
- The DOC should ensure that there is a clear policy that applies to decisions made regarding

individuals with a special handling status, and should clearly communicate the restrictions, reasons for them, and process for having the restrictions lifted.

- The DOC should ensure that residents are always provided with documentation stating why they are under investigation.
- The DOC should work to provide residents on the government witness unit with adequate programming opportunities and recreation time.
- The DOC should ensure that the government witness unit operates in a sanitary and safe manner, including the delivery of cleaning supplies and the proper sealing, labeling, and handling of meals.
- The DOC should ensure that correctional staff is appropriately equipped with schedules for resident's detail assignments in order to preserve safety while ensuring that residents can report for their duties.
- The DOC should provide residents timely answers to all filed grievances in a manner that comports with its stated policies and procedures.
- The DOC should provide residents with documentation of all filed disciplinary actions that are formally charged or result in sanctions.
- DOC staff should ensure that the process for reviewing and approving religious diet requests is timely and efficient.
- DOC staff should ensure that all individuals have access to adequate recreation time and opportunities.
- DOC staff should clearly communicate the process for transferring property when a resident transfers units, document and inform the resident of any property that has been confiscated and will not be returned, and communicate to residents a clear process for addressing missing property complaints.
- The DOC should ensure that the physical premises of both the CDF and CTF are clean and safe at all times.



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# Introduction

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The DC Department of Corrections (hereinafter, DOC) operates two jail facilities: the Correctional Treatment Center (hereinafter, CTF), and the Central Detention Facility also known as the DC Jail (hereinafter, CDF). The facilities are adjacent to one another and are located in Southeast Washington, D.C.

The CTF complex typically houses residents who are minimum or medium security, including female and juvenile<sup>1</sup> DC residents, as well as male residents who have specialized confinement needs. The CDF houses male residents, a majority of whom are held pending adjudication of a criminal case or are sentenced to a period of incarceration following conviction for a misdemeanor offense.<sup>2</sup> Other CDF residents include those held on United States Parole Commission (USPC) warrants, those awaiting transfer to the Federal Bureau of Prisons (BOP), and those held due to a writ or hold typically awaiting transfer to another jurisdiction.

Throughout the 2018 fiscal year, CIC staff met with residents housed at the CTF and CDF in order to interview them about conditions and experiences in custody. The CIC conducted its onsite inspection of the CTF on September 20, 2018, and the CDF on September 26, 2018. Following the inspection of facility grounds, the CIC submitted a document request to DOC staff on October 9, 2018. For a complete explanation of the report methodology, see Appendix A: Methodology.

## Facility Overviews

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As part of the DOC inspection, the CIC toured areas of both the CTF and CDF, including units about which the CIC received resident concerns; units or programs that the CIC had experienced change since the FY2017 CIC inspection; or that the DOC choose to include in the 2018 inspection. For a complete list of the units that the CIC requested to visit and the units that the DOC included as part of the tour, see Appendix A: Methodology. The names of the units toured, information about the population and staff on each unit, as well as a brief description of daily life on each unit can be found in the chart below.

### CTF Inspection Summary

#### Medical 96

**Unit Capacity:** 36

**Population September 20:** 36

**Unit Population:** Residents who require close, but not constant, monitoring. Typically houses individuals in need of ambulatory accommodations.

**Unit Staff:** Corrections officers; case management rotation;<sup>3</sup> nurses

Medical 96 houses residents with ongoing medical needs. The unit does not have medical staff on the unit at all times; however, there is regular medication delivery and medical staff is available on call and during rounds. Dialysis occurs on the unit Monday, Wednesday, and Friday. The cells on this unit have hospital-type beds with wheels and safety rails. Residents on this unit have recreation for one hour per day in an area on the unit, and staff said that they are able to have outdoor recreation, weather permitting. Residents are also able to leave the unit to go to education, programs, barbering, and more. Staff explained that higher security residents have access to programming on the unit, although

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<sup>1</sup> See DOC Operational Highlights (II) for more information about the transfer of juvenile offenders from CTF to New Beginnings.

<sup>2</sup> See *id.*

<sup>3</sup> “Case management rotation” refers to case management staff being available on units at the CTF and CDF, but on a rotating basis. The CIC received resident reports throughout the 2018 fiscal year saying that case managers are not available on their units daily, with the exception of specific units. While many residents reported that case managers are infrequently available on the unit and the same case managers are not always staffing their units, during the 2018 CIC tour of the CTF and CDF, DOC staff said that case managers are available on each unit every day. See DOC Operational Highlights (III) for more information about case management.

<p>complete rounds 3x/day; physical therapy; and medical staff (on call 24/7).</p>	<p>most higher security residents are housed on Medical 82.</p>
<p><b>Medical 82</b></p>	
<p><b>Unit Capacity:</b> 40  <b>Population September 20:</b> 29  <b>Unit Population:</b> Male and female residents with acute medical needs.  <b>Unit Staff:</b> Corrections officers; case manager rotation; two or more nurses at all times; and medical provider on call.</p>	<p>Medical 82 houses residents with acute medical needs. There are various cell layouts on the unit, including 25 single cells, three dorm cells (fitting up to four people), two female cells (one single, one dorm), three safe cells (two in operation), and one isolation cell (for residents with tuberculosis or other contagious diseases). Staff explained that dorm cells are used for intake before residents are classified but have a medical need, or general population security level residents can be housed in dorm cells if needed. Staff explained that medical status is taken into account in deciding whether a resident can be in a cell with others. Pregnant residents are housed on Medical 82 at eight months into their pregnancy, or sometimes at six months if there are specific issues. Residents are not free to leave their cells and walk around the unit because the residents are different genders and security levels. There are four stall showers, and a television room on the unit. Residents do not go to outdoor or off-unit recreation due to the level of their medical needs.</p>
<p><b>Women’s Mental Health Unit</b></p>	
<p><b>Unit Capacity:</b> 50 (Single cells; two safe cells)  <b>Population September 20:</b> 34  <b>Unit Population:</b> Women who have acute mental health needs, a special housing status, or women completing the intake process.  <b>Unit Staff:</b> Corrections officers; case manager; 24 hour nurse (Monday-Friday); medical staff (on call on weekends); and mental health clinician (9:00am-5:00pm).</p>	<p>Staff explained that when women come into the facility and report that they are taking psychotropic medication, DOC staff coordinates with DC Department of Behavioral Health (DBH) to obtain records of prescriptions, or – if the woman was formerly in DOC custody – look within DOC records for verification of prescriptions. Staff also explained that the DOC pharmacy is typically able to give individuals at least a generic version of their medication, but medication can be delayed if the facility pharmacy does not have the required medication. Women on the mental health unit meet with liaisons from the DBH when they are going through intake and are cross-matched for DBH services. If they are not already linked to DBH services, DBH liaisons will determine what their needs are so that they can be linked to community service providers. When women are approaching release from DOC custody, DBH liaisons are also able to schedule appointments for them prior to their release. In terms of daily life, women are out of their cells between 9:00am and 3:00pm for programming, therapy, treatment team meetings, group counseling, recreation on and off unit, and a therapeutic arts program (offered on Thursdays). Residents who are taking medication meet with a psychiatrist every 30 days. Once every week women have a treatment team meeting; the treatment team determines if women are stable on their medication, and can be transferred to a general population unit.</p>
<p><b>Young Men Emerging Unit (YME)</b></p>	
<p><b>Unit Capacity:</b> 25  <b>Population September 20:</b> 23  <b>Unit Population:</b> Men 18-25 years old who have been screened into the program, based on mentor and staff interviews and evaluations, as well as a clear record of behavioral conduct.  <b>Unit Staff:</b> Corrections officers; case manager; program analyst; and mental health specialist (9:00am-5:00pm).</p>	<p>The Young Men Emerging Unit (YME) opened on June 30, 2018. The ideology behind the unit, as well as its structure, is based on science regarding the developing mind, and the average age at which the brain reaches maturity – typically at about 25 years old. The YME unit was created to positively influence young men and create a sense of community and partnership. Staff and residents described to the CIC that the goal is to create a community within the unit, and also with the larger DC area, with the idea that if one feels connected to a community, they will also contribute to that community. The unit consists of young residents as well as six older mentors. The mentors on the unit are men who were incarcerated between the ages of 18-25, who provide guidance for the younger men on the unit. At the time of the CIC inspection, all six mentors on the unit were enrolled in college programs. Daily life on</p>

the unit consists of a room inspection, community conversation, group session, lunch, educational programs, Street Law, and recreation. Community providers instruct different groups and programs on the unit. Programs include yoga, meditation, substance abuse groups, entrepreneurship, industrial banking, information sessions with MORCA, life skills, and parenting. Additionally, residents on the unit are able to earn spending money based on completion of special assignments and positive behavior. This money goes into YME bank accounts and can be spent on commissary or privileges such as use of a Bluetooth radio, air hockey, X-Box, etc.

**Work Readiness Unit**

**Unit Capacity:** 25

**Population September 20:** 20

**Unit Population:** Minimum and medium security men within four to six weeks of release (either sentenced misdemeanants or individuals coming back from the BOP), who are DC residents, do not have pending charges or warrants, do not have any Class I disciplinary infractions, and who are otherwise screened into the program.

**Unit Staff:** Corrections officers, group facilitator, case manager, work force development specialist (employee of Department of Employment Services (DOES), and Information Technology instructor.

The Work Readiness programs consists of two components – the first takes place while participants are in DOC custody and spans five weeks, and the second takes place post release, so that participants can continue the program in the community, where there is subsidized participation for up to eight months. The goal of work readiness is for participants to be employed upon release, or shortly after. The unit moved from CDF to CTF in 2017, and opened in the CTF in October 2017.

In each of the five weeks of the program, the daily instruction has a different topical focus – including resume creation, mock interviews, answering questions about criminal records, attitude sessions, etc. There is also computer instruction in the evenings. Other programs that take place on the unit are yoga, substance abuse sessions with the Hope Foundation, informational sessions with representatives from the Department of Health (DOH), computer basics, and Inside Out.

While in the five-week CTF component, participants meet weekly with a work force development specialist, who assesses their work skills, any additional needs such as mental health, and resources that they may require during the community component. The specialist then creates recommendations for the community component, including but not limited to, additional education, GED attainment, additional training, subsidized work, and involvement in an entrepreneurship program. The work force development specialist also helps participants create resumes and forwards those resumes to prospective employers.

The day after each participant is released from DOC custody, the work force development specialist meets with them in the community to assist with the transition either into work or educational programs. Typical job placements post-release include: the Department of Health and Mary’s Center, as well as positions in the fields of construction, entrepreneurship, food service, and IT.

Finally, the case manager on the unit assists participants with finding housing and clothing, and working through other barriers to employment. DOC staff explained that one such barrier can be the conditions of supervised release, and that while DOC case management does attempt to work with the Court Services and Offender Supervision Agency (CSOSA) prior to release in order to arrange a plan that will help participants meet supervision requirements, it can still be difficult for participants to work and meet supervision requirements – particularly requirements that are scheduled during work hours.

**General Population Housing Unit**

<p><b>Unit Capacity:</b> 43</p> <p><b>Population September 20:</b> 42</p> <p><b>Unit Population:</b> Individuals involved in college programs (Georgetown, Ashland, UDC, and Howard).</p> <p><b>Unit Staff:</b> Corrections officers and case manager rotation.</p>	<p>Staff explained that this particular unit was in the process of becoming a programming unit, meaning that all or most of the male residents on the unit are supposed to be enrolled in an educational program. These educational programs include college courses through Ashland University, Georgetown University, Howard University, or the University of the District of Columbia. Individuals enrolled in Ashland University courses are instructed through tablets; the majority of residents enrolled in Ashland are housed on this unit so that the tablets can be kept in one secure area, but also remain accessible for use. See DOC Operational Highlights (I) for more information about educational programming.</p>
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## CDF Inspection Summary

<b>Intake Reception Center (IRC)</b>	
<p>N/A</p>	<p>The Intake Reception Center (IRC) is the filter for processing people who are coming into DOC custody or returning to the CDF or CTF, and tracking those who are leaving the facility – for court, transfer, or release. The intake reception center has separate admission areas for males and females, where people are searched, exchange clothes, store clothing and property, shower with delousing shampoo, are photographed and fingerprinted, and receive an identifying wristband. Incoming individuals also receive a “wing card,” which tracks completion of the remaining steps of the intake process. In a common area (male and female), individuals wait to complete the intake process, which includes a series of intake questions; measuring of vitals; TB, HIV, and syphilis tests; an assessment of medical needs and medication; a mental health screening; completion of an emergency contact form; and completion of a Prison Rape Elimination Act of 2003 (PREA) questionnaire.</p> <p>The mental health screening consists of 20 questions, and if those questions indicate a need for a full evaluation, a mental health clinician is able to complete a full evaluation later that day. Staff explained that certain people are flagged for full evaluations, including those who are incarcerated for the first time, have high-profile cases, have previously documented mental health needs, or are at risk of suicide. There are separate holding cells in the reception center for individuals who need to be kept away from the general population until they receive a full evaluation.</p> <p>Residents then go to the transition center, a specialized housing unit, or the CTF. Residents leaving the facility for release or transfer also exit through the IRC. Individuals being released receive a DC ID, library card, a 30-day supply of medication if HIV positive, and for general medications a three-day supply plus a prescription for a 30-day refill. Individuals being transferred to BOP custody receive a seven-day supply of their medication.</p>
<b>Transition Center</b>	
<p><b>Unit Capacity:</b> 140</p> <p><b>Population September 26:</b> 121</p> <p><b>Unit Population:</b> Residents who are going through the process of intake.</p> <p><b>Unit Staff:</b> Corrections officers and case manager rotation.</p>	<p>Following the IRC process, unless otherwise specified, male residents in the CDF go to the Transition Center where they wait to be classified (in terms of security level and permanent housing unit), have orientation, and complete an interest list of programs in which they would like to be involved while in custody. Orientation is offered on every Wednesday. Residents receive information about case management, accessing phones, visits, etc., and learn about different programs that are offered. Each individual fills out an “interest list” indicating the programs/services in which they would like to participate, in the event that they meet the</p>

	<p>custody and other criteria for those programs. The programs for which each person is eligible will depend on their security level; the facility in which they are housed; and other factors, such as eligibility for a Pell Grant, which is a prerequisite for taking college courses through Ashland University. Classification is typically completed within 72 hours (not counting weekends, holidays, or days that a resident spends at court).</p>
<p><b>Acute Mental Health Unit</b></p>	
<p><b>Unit Capacity:</b> 80  <b>Population September 26:</b> 37  <b>Unit Population:</b> People who are a threat to themselves, or do not take their medication and those who medical staff has determined need to be on suicide watch or precaution.  <b>Unit Staff:</b> Corrections officers, case manager, clinician (Monday-Friday, 8:00am-5:00pm hours); Psychologist (Monday-Sunday, evening hours); a psychiatric nurse (24/7), and nurse on call (24/7).</p>	<p>The Acute Mental Health Unit (Acute Unit) is split into two sides: the intake side and the general population side. When residents experiencing a mental health crisis first arrive on the unit they are housed on the intake side, typically for two weeks to one month. On the intake side, individuals are out of cell for two hours every day. The cell lights on the intake side also remain on 24/7, so that the officers are able to see into the cells at all times. The lights can only be dimmed if ordered by a mental health professional. Individuals are assessed daily, and depending on their progress, they can be stepped down to the general population side. On the general population side individuals are out of cell for five hours per day, have more opportunities to interact with other residents on the unit, can participate in yoga or art, and the lights in their cells are turned off at night. Nurses walk the unit every two hours, and officers make rounds every 15 minutes. There are six suicide safe cells on the unit (See Operational Highlights (VII) for more information on safe cells). If a resident is stable and on their medication after being on the intake and then general population side of the Acute Unit, they can either be stepped down to the Mental Health Step-Down Unit, or sent back to general population.</p>
<p><b>Mental Health Step-Down Unit (MHSDU)</b></p>	
<p><b>Unit Capacity:</b> 25  <b>Population September 26:</b> 4  <b>Unit Population:</b> Minimum and medium custody residents with mental health needs.  <b>Unit Staff:</b> Corrections officers, case manager, and mental health staff.</p>	<p>The Mental Health Step Down Unit (MHSDU) incorporates a nine-week program, where participants progress through three program levels. If needed, people may stay on the unit for more than nine weeks. Residents are able to progress through the levels by attending and participating in weekly group sessions. With each level of progression comes increased “unstructured” time, as well as increased access to commissary. Groups offered on the unit include Men’s Group (led by a DBH liaison), art therapy, anger management (led by a clinician three times per week), narcotics anonymous, trauma management, and yoga. Individuals on the unit are able to request to meet individually for therapy. All residents on the unit meet with a clinician once a month for medication adjustments. In addition to on-unit programming, those who are able may walk to other units in the facility in order to participate in programs that are off-unit, and go to outdoor recreation when it is offered. During “unstructured” time, residents can play games, watch TV, read, exercise, etc. Residents are able to move off the unit and back to a general population, programming, or other status unit if they are stable and compliant in taking their medications.</p>
<p><b>GED Unit</b></p>	
<p><b>Unit Capacity:</b> 150  <b>Population September 26:</b> 47 (16 Ashland)  <b>Unit Population:</b> Medium or maximum custody residents who are also GED students, GED tutors, or those enrolled in college courses students.</p>	<p>The GED unit is a programming unit designed to house residents studying for the GED – in English or Spanish – and in 2018 expanded to include individuals taking college courses. Additionally, a number of ABE and vocational courses are offered. The unit schedule includes morning and afternoon academic periods and afternoon and evening groups. The GED test is offered the last full week of every month. When individuals pass the GED, they are often able to stay on the unit and continue their education by enrolling in college courses. Currently, for college courses, qualifying residents (qualification depends on Pell Grant</p>

<p><b>Unit Staff:</b> Corrections officers, case manager, volunteer Spanish GED instructor, and seven to eight academic volunteers.</p>	<p>eligibility) are able to take courses through Ashland University. Ashland offers courses through tablet software, and the tablets are available to residents on the GED unit daily from 8:00am – 11:00pm. In addition to course information, the tablets allow residents to have access to Khan Academy, TED talks, and radio programs. See Operational Highlights (I) for more information about educational programming.</p>
<p><b>Administrative Housing Unit / Special Management Unit</b></p>	
<p><b>Unit Capacity:</b> 40 (single cells)  <b>Population September 26:</b> 23  <b>Unit Population:</b> Maximum security residents who need to be segregated, have high profile cases, require total separation, are on administrative segregation, have a history of staff assault, are on disciplinary segregation, or have special handling status.  <b>Unit Staff:</b> Five Corrections officers per shift and case manager rotation.</p>	<p>The administrative housing/special management unit is the highest security unit in the CDF. There is limited movement and programming available to residents on the unit. Regular movement outside of cells includes recreation time, which is two hours long. In the two-hour time period, residents have recreation by themselves in a recreation cage, can take a shower, and use the phone to make social calls. Residents on the unit are escorted within and outside of the unit in full restraints: leg irons, handcuff box, and belly chains.</p>
<p><b>Restrictive Housing Unit</b></p>	
<p><b>Unit Capacity:</b> 72  <b>Population September 26:</b> 43  <b>Unit Population:</b> Residents with a history of sexual misconduct, protective custody residents who have separations, those on administrative segregation, and those on disciplinary segregation.  <b>Unit Staff:</b> Corrections staff (five officers on shift during the day, three overnight) and case manager rotation.</p>	<p>The restrictive housing unit is broken into quadrants by tier, each housing residents with a specific status, including one tier for people with separations, one for administrative segregation, and one that acts as the sexual misconduct unit. Residents on this unit have limited movement, which typically includes only two hours for recreation. In the two-hour time period, residents can have recreation with another resident, take a shower, and use the phone for social calls. There are limited programs on the unit, and when the CIC asked unit officers about programming opportunities on the unit, one responded “there are no programs.” Residents are, however, supposed to have access to the law library and mobile library, and in particular instances they are referred to counseling with a social worker. There is a classroom on the unit for students entitled to education under the Individuals with Disabilities Education Act (IDEA). While staff said that they would not necessarily describe the space as a classroom, there are four desks available for students to meet with DCPS teachers. Staff said that a DCPS teacher goes to the unit daily to meet with students, but it is a different teacher every day.</p>

# DOC Operational Findings

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The following section highlights aspects of DOC operations, which may be department-wide or specific to the CTF or CDF. These findings relate to the daily operations and functions of the facility, with particular focus on the perception of residents and the treatment they receive. The information in this section was primarily gathered during interviews with residents throughout the 2018 fiscal year, discussions with DOC executive staff, discussions with facility staff during the tour, as well as information sent to the CIC from the DOC as part of an information request. The CIC interviewed roughly 40 individuals during the fiscal year. Their comments and concerns are noted in the following sections:

## ***I. Educational Programming***

*The DOC made notable efforts to expand educational offerings to those in custody, particularly within the CTF facility, developing a model college and career readiness department.*

In 2017, the DOC began to revamp its educational programming in an effort to increase the number and types of educational programs that are available to residents. The revitalized department, now known as the Department of College and Career Readiness, offers courses in the areas of Career and Technical Education (CTE), academic education, post-secondary education, cognitive intervention courses, master classes, work readiness, and legislative theatre. See the list of courses offered in each area below:

### Career and Technical Education (CTE)

- Network Cabling Copper-Based Systems
- Applied Systems Integration: Grounding and Bonding Copper Connectivity Systems
- Network Cabling Fiber Optic Systems
- Introduction to Telecommunications Technologies
- Introduction to Home Entertainment Audio/Video Systems
- Guest Services Professional
- Connecting to Business
- Reentry Ventures Entrepreneur Course
- Graphic Design/Journalism
- Commercial Cleaning
- Digital Literacy

### Academic Courses

- Literacy Courses – Levels I, II, III
- GED™ Preparatory
- English as a Second Language (ESL) Literacy and GED™ Preparatory
- Individualized GED™ Preparatory Courses (supported by the Petey Greene Program)\
- Free Minds Book Club

### Post-Secondary Courses

- For-credit courses leading to an Associate's or Bachelor's degree are offered by Ashland University
- Georgetown University Martin Tankleff Prison Scholars Program – for-credit college courses that lead to a Liberal Arts Associate's Degree and ultimately a Bachelor's Degree
- College level courses that do not result in college credit are offered by Georgetown University
- Music Production and the Carceral Soundscape (Georgetown University)
- Georgetown University Street Law



- Howard University – college-level courses that do not result in college credit through the Inside Out Prison Exchange Program

### Cognitive Intervention

- Thinking for a Change

The courses offered are widely available to qualifying residents<sup>4</sup> – both female and male – at the CTF, but only selectively available to those at the CDF, which also houses the GED unit. From those who are able to participate, the CIC has heard overwhelmingly positive feedback about the quality and value of the educational opportunities. Throughout FY 2018, the CIC had the opportunity to attend a number of graduation ceremonies that the DOC arranged in order to congratulate participants for their accomplishments, while creating a space for peers to praise and encourage each other – in addition to receiving support from family members, who are also invited to the graduation ceremonies.

Additionally, the CIC received feedback from individuals at the CDF, particularly those in maximum security general housing population units and restrictive housing (or status) units, expressing their desire to participate in educational programming. There are still very limited options for programming at the CDF, leaving those DOC residents who are higher security and in DOC custody for the longest durations of time with virtually no educational opportunities, outside of the GED unit.

### *CIC Recommendations*

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- The DOC should expand educational and vocational programming options generally to those housed at the CDF with a focus on safe and innovative provision of services and programming to maximum security residents, and residents with a classification status other than general population.

## ***II. Title 16 Transfer of Juvenile Offenders Charged as Adults***

*All of the juvenile offenders charged as adults who were in DOC custody were transferred to New Beginnings prior to October 1, 2018.*

Pursuant to the *Comprehensive Youth Justice Amendment Act of 2016*, DC juveniles charged as adults were to move from the CTF adult facility to New Beginnings, which is operated by the Department of Youth Rehabilitation Services (DYRS), by October 1, 2018. Prior to the transfers of the juveniles, DOC staff along with staff from DYRS, held meet and greets with family members of the individuals, as well as town halls, Q&A sessions, and transition conferences. During the CIC's 2018 inspection, the DOC reported that the first individuals were transferred from CTF to New Beginnings on the weekend of September 15, 2018. On the second day of the inspection, September 26, 2018, the DOC reported that all the transfers would be completed that day.

## ***III. Case Management***

*The CIC received numerous reports throughout the fiscal year, the majority of which came from those housed in the CDF, that case managers were not regularly available on their units.*

During FY 2018, the CIC received at least seven complaints about lack of regular access to case managers. Multiple individuals explained that there was a shortage of case managers (a concern that was heard

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<sup>4</sup> Most courses have a number of requirements that individuals must meet in order to enroll in the program. Example requirements include CASAS scores, literacy retirements (GED programs), GED/high school diploma (post-secondary courses), minimum stay to finish course work, and no Class I or II disciplinary violations.

particularly from individuals on maximum security general population and status units at the CDF), so case managers had multiple units assigned to them. As a result, many units went for weeks at a time without being visited by a case manager. Additionally, when a case manager did go to the unit, it was typically for a short amount of time and only to meet with a few select individuals on the unit. According to some reports, case managers would go to the unit during a count when residents must remain in their cells, or they would simply log in and out of the log book without actually meeting with anyone.

When DOC staff was asked to address the shortage of case managers, they said that every unit has case manager, there is always a backup case manager, and case managers are available Monday-Friday on their assigned unit(s). Staff clarified that some case managers have multiple units in their purview. The staff vacancy list that the DOC sent to the CIC as part of the 2018 information request shows that as of September 20, 2018, there were nine vacant case management positions.

### *CIC Recommendations*

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- The DOC should ensure that case managers are in fact available on every unit on a daily basis; offer office hours or easily scheduled appointments; and effectively assist residents with their needs.

#### **IV. Classification**

*During the fiscal year there were reported incidents of possible miscalculations in custody scores and subsequent security level classifications that prompted the CIC to look into the DOC classification process.*

The DOC system of classifying residents gives each resident a total custody score that corresponds to a specific security level. This score is based a number of factors that, at a basic level, account for the individual's current offense, prior convictions, institutional history, drug/alcohol history, age, education, and employment prior to arrest. Within each of these categories, individuals are given a number of points, corresponding to the level of severity or the degree of positive adjustment in that category. These points are added to create the total custody score. A custody score that is above 12 points corresponds to maximum custody; 5-11 points corresponds to medium; four points with additional restrictions corresponds to medium; and four points without additional restrictions corresponds to minimum.

Multiple residents in FY 2018, who felt that their total custody scores had been miscalculated from anywhere between four and six additional points, contacted the CIC. For example, if the original charge of a felony was erroneously counted, instead of the ultimate conviction for a lower misdemeanor offense, then that error would result in a higher sub-score. Even in situations where the correction of a presumed mistake would not necessarily lead to a lower classification score, it is still in a resident's best interest to assure accuracy and achieve the lowest possible point score, because the DOC warden has the discretion to make a custody override based on the particular circumstances of the individual.

When the CIC contacted executive staff at the DOC about particular cases and the classification process in general, the DOC was responsive. Staff reviewed the cases and met with CIC staff to provide information about the classification process.

During the 2018 inspection, the CIC asked for further clarification about the classification process, and whether residents may have their total custody scores reevaluated if they believe an error exists within their score calculation. DOC staff explained that case managers complete the initial classification form using the Prism system to conduct a case search. Staff said that each case should show the conviction, rather than the original charge. While each resident is to be reclassified 90 days after coming into DC custody, residents can ask their case managers to reassess their classifications. However, residents who do not have regular access to their case manager cannot reasonably request such a reclassification.

## *CIC Recommendations*

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- The DOC should ensure that case managers are accurately distinguishing between types of convictions and the offense severity points that are assigned to each conviction. The DOC should assess data systems to verify that case managers are accurately interpreting other documents assessed in calculating the custody score. The DOC should provide training to address common errors.

### **V. Medical Concerns**

*Throughout the fiscal year, the CIC received complaints from at least 11 DOC residents regarding their medical care.*

Multiple residents expressed the feeling that their medical needs were not seriously evaluated, and that the predominating determination of their medical care was based on DOC administration recommendations, not medical staff recommendations. Residents said they were told that they would receive a reference to either meet with a specialist or receive outside care, but they did not receive this additional treatment in a timely manner, and were not given clear updates about the status of their appointments or next steps. Also, there were reports of unit officers failing to accommodate the physical needs of residents which had been approved by medical staff, such as the use of canes, access to bottom bunks, and dietary requirements.

## *CIC Recommendations*

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- The DOC should clearly communicate medical decisions and care updates to residents and ensure all appropriate follow-up care is scheduled and completed in a timely manner.
- The DOC should ensure that unit officers are allowing residents to access approved medical accommodations.

### **VI. New Policies for Opioid MAT**

*In FY 2018 the DOC revised its policies on medical assisted treatment (MAT). It now continues suboxone treatments for individuals who were receiving treatment in the community, and identifies individuals to begin MAT while in custody.*

DOC medical staff described FY 2018 changes to the practice of providing medication-assisted treatment (MAT) for opioid dependence. In January 2018, the DOC began a new initiative whereby individuals may commence suboxone treatment while in custody. Previously, all residents, except for pregnant females (who were able to continue on methadone or suboxone treatments), were tapered off of treatment after intake. The determination of whether to provide this treatment to a particular individual rests on multiple factors, including personal history, withdrawal symptoms, etc. As of September 26, 2018, the DOC had initiated treatment for 30 people.

While the policy has not changed as to pregnant women, the DOC now maintains treatment for people who are already receiving suboxone. However, if a non-pregnant person was receiving methadone treatments in the community prior to their entry into DOC custody, they will be tapered off of those treatments. Additionally, the DOC does not initiate treatment for individuals who are going to the BOP, because the BOP will not continue it. If an individual receiving suboxone treatment prior to entering the DOC is going to the BOP, the individual will be tapered off of treatment.

## VII. *Safe Cells and Suicide Watch and Precaution*

*The CIC received concerns from incarcerated individuals and attorneys about the conditions of safe cells. The CIC spoke to DOC staff to gain clarification on the 2017 DOC policy on Suicide Prevention.*

Safe cells are cells designed to be suicide resistant. They have specific amenities, such as plastic beds, for individuals whom the DOC medical team places on suicide watch or precaution. In the CDF, there are safe cells on the medical unit and acute mental health unit. Additionally, the administrative housing unit contains suicide-resistant cells, which means that there are no points from which someone could hang himself while inside of the cell. In the CTF, there are safe cells on the Medical 82 unit and the women's mental health unit.

Individuals may be held in a safe cell either when they are on suicide watch or precaution. Suicide watch consists of 24-hour observation of the individual, while suicide precaution involves frequent, staggered spot checks of the individual being evaluated. According to DOC staff, individuals on both suicide watch and precaution are assessed daily by a psychiatrist. Individuals on suicide watch, who are evaluated by a psychologist, may be stepped down to suicide precaution for at least 24 hours; however, the amount of time one spends on watch or precaution is a decision that is always made by a psychiatrist. One individual with whom the CIC spoke said that they had been living in a safe cell for four months, and DOC staff confirmed this time period.

According to DOC policy,<sup>5</sup> safe cells are equipped with certain amenities, and individuals on suicide watch or precaution have restricted access to the usual conveniences available to residents. Such amenities and conveniences include running water in cells, safe mattresses and blankets, dim lighting, use of phones, access to legal visits, access to personal property, etc.<sup>6</sup> DOC staff explained that there is a presumption that residents on suicide watch or precaution can have running water in their cells, unless the individual has a history of drowning attempts or using the water to destroy their cell.

DOC staff explained its policy that each individual on suicide watch or precaution *may* have access to the listed amenities and conveniences, and that access is to be evaluated by a psychiatrist every day. Restrictions for amenities and conveniences must be signed by the psychiatrist, and posted on the outside of the door to each resident's cell.

Throughout FY 2018, the CIC had the opportunity to speak with individuals who had been placed on suicide watch or precaution for varying periods of time. They described the daily evaluations by mental health staff as sporadic, and lasting for durations of less than one minute. They also described the evaluations as comprised of simple questions, such as "are you ok?", and "are you suicidal?" One individual with whom the CIC spoke detailed his amenity and convenience restrictions, which DOC policy states are discretionary. They included the following: no phone, no recreation, no visits, no eating utensils, no curtains over the window, no socks, and no shoes; additionally, he was allowed a mattress and blankets, a smock, continuous bright lights in his cell, showers every three days, and access to running water in cell. His access to running water depended on which corrections officers were on duty, because the officers control the water. Some would turn the water in his cell on and some would not.

During the September 26, 2018 inspection of the CDF, the CIC observed safe cells on the acute mental health unit. Two of the cells that were in use had signed lists stating relevant restrictions, which were signed and individually dated for September 21, 2018 and September 23, 2018. It appeared as though DOC mental health staff were not in compliance with the departmental policy requiring a daily review of

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<sup>5</sup> See D.C. DEP'T OF CORR., SUICIDE PREVENTION AND PRECAUTION 6080.2G 5 (2017), available at <https://doc.dc.gov/sites/default/files/dc/sites/doc/publication/attachments/PP%206080.2G%20Suicide%20Prevention%20and%20Intervention%208-9-2017.pdf>.

<sup>6</sup> See *id.* at 21-22.

restrictions; however, it is possible that those individuals were evaluated, regardless of the lack of updates to their lists.

As part of the CIC request for information, the DOC provided data that there were four resident deaths in FY 2018, two of which were medical in nature, and two of which were suicides by hanging. There were additionally 112 documented suicide attempts, the majority of which were attempted by tied sheet, swallowed pills, or swallowed batteries.

### *CIC Recommendations*

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- Mental health staff should ensure that daily evaluations of psychiatric status, cell amenities, and life activities are fully completed and documented every day.

## **VIII. Maximum Security Programming**

*There is little to no programming outside of the GED unit for individuals who are housed at the CDF, particularly those who are maximum security residents.*

The CIC received comments from at least five individuals about the about the lack of programming options at CDF, particularly on maximum security general population units and restrictive housing units. Throughout FY 2018, residents on maximum security and restrictive housing units continually expressed that they are unable to participate in programs. Some were also on units where the radios were removed around October 2017, and not returned.

One resident noted the impact of not having programming, saying that most issues between inmates occur on the maximum units because people are bored and have nothing to do, nothing to work for, and no privileges to maintain. He explained that the DOC classification system and levels “weren’t built for long-term inmates,” and that there are no benefits to staying out of trouble once you are at maximum security, because there is essentially no way to move down custody levels.

Some maximum security residents reported that they participate in counseling with DOC staff. DOC staff explained that a DOC employed counselor provides long-term counseling to residents mostly in restrictive housing units. The counselor works with residents on a variety of issues, including anger management.

There is also an informal DOC project called the CARE team, which consists of social workers and licensed therapists who work with residents, particularly on restrictive housing units, to identify ongoing needs and connect individuals with mentors when possible. The project is in the early stages, and selections for participation in the program are based on the individual therapy list.

DOC staff stated that it is more difficult to have programs at CDF, as compared to CTF, due to the layout of CDF. However, they explained that they try to be creative and still offer CDF residents programs by moving them to empty housing units for programming. Staff also said, when possible, they bring CDF residents over to CTF for job fairs and other events, and they can participate before CTF residents participate.

### *CIC Recommendations*

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- The DOC should work to provide meaningful programming opportunities for residents at CDF, particularly for maximum security units and restrictive housing units.

## ***IX. CDF Administrative Housing / Special Management Unit***

*The CIC spoke with a number of people residing on the administrative housing unit, who raised concerns about its informal disciplinary process.*

During FY 2018, at least four residents contacted the CIC about conditions on the administrative housing unit. All reported no consistent case management services on the unit for months. Additionally, others reported instances where they were subject to restrictions that did not result from a disciplinary hearing.

One individual explained that after failing to get out of the shower when told to do so, he was sprayed with a chemical agent, and restrictions were imposed. The CIC viewed the memorandum stating the restrictions, which was posted outside of his cell. It appears verbatim below:

“The below steps are to be made in regards to (resident) and his out of cell movement:

Effective immediately:

1. A supervisor will be present for all movement of (resident) until he is behind a secured door.
2. A cell door, officer doors, shower doors, closets and housing unit doors are to remain in the closed and locked position at all times. When opening any of these doors, they should be immediately closed upon inmate and/or staff entry or exit.
3. At the completion of the strip search each time inmate (resident) comes out of the cell, the hand wand will be used to scan him once on the tier.
4. A cell search is to be completed once inmate (resident) is secured for any out of cell activity. Any items such as bowls, cups, or anything that can be used to store substances is to be removed from his cell and documented as nuisance contraband and discarded.
5. All (resident’s) activities will be conducted on shift #2, to include education, showers, recreation, case management, and medical when possible.
6. All (resident’s) out of cell activity will be conducted in handcuffs and waist chain restraints, with the exception of a shower.
7. These steps are to remain in effect until further notice.”

### ***CIC Recommendations***

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- The DOC should ensure that restrictions are only being placed on residents after documentation of a legitimate violation of DOC policy and a fair hearing.

## ***X. Special Handling***

*Special handling status is a high security status that places individualized, additional restrictions on particular residents.*

The special handling status is a security status that places specific restrictions on inmates who the DOC determines require security restrictions in addition to their residency inside of the restrictive housing unit. They may leave their cells for recreation, legal visits, medical emergencies, and other specialized needs. There are very few people with a special handling status, and all are housed in CDF on the administrative housing unit.

Special handling requires that any time a resident is moved out of cell, there must be at least one lieutenant present to escort them, and no other residents can be out of cell on the unit tiers. When a special handling resident leaves their unit, they are typically escorted by four officers. Staff was unable to provide a general explanation of the decision-making process for categorizing an individual as special handling; however, every decision made about a resident on special handling must be approved by the warden. Individuals with whom the CIC spoke stated that their interactions with the warden are few and infrequent. One resident estimated that he saw the warden two times across the span of one year.

According to DOC policy, there is to be a written memorandum designating each individual's specific restrictions. During the September inspection, DOC staff explained that the restrictions are determined on an individual basis. One resident explained that he knew that there was a memorandum detailing his restrictions because he could hear officers reference it; however, he never saw or received a copy of the memorandum.

### *CIC Recommendations*

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- The DOC should ensure that there is a clear policy governing individuals with a special handling status; DOC should clearly communicate to such individuals any restrictions, the reasons for them, and the process for having the restrictions lifted.

### ***XI. Government Witness Unit***

*The CIC received reports throughout FY 2018 about the government witness unit regarding the lack of programming, extended investigations, and daily conditions.*

During FY 2018, the CIC spoke with seven individuals housed on the government witness unit.

- *Programming* – The general policy of the DOC is to keep government witness residents separate from general population residents in order to preserve the identity and safety of government witnesses. As a result, government witnesses spend most of their time in the unit, because the facility common areas need to be clear of other residents in order for the government witnesses to access them. This also prevents government witnesses from participating in programming with the general population residents.

Government witnesses commented on the lack of programs that are offered on their unit. Programs offered include life skills, commercial cleaning, industrial banking, and a drug program, as well as opportunities to rent books from the mobile library and participate in on-unit chapel. However, residents are not allowed to participate in life skills if they already participate in commercial cleaning, and vice versa. It was also reported that no programs are offered for the Spanish speakers, and the programs offered in English are not translated.

During an interview with the CIC, one individual communicated that unit residents would like to have access to GED classes; barbershop and cosmetology classes; parenting classes; abusive relationship classes; foreign language classes, particularly for Spanish speakers; and business classes.

- *Investigations* – Residents reported that they have been under investigations that last for over 90 days and suspend their social visits during that time. All of the residents subjected to these investigations reported that they never received any documentation regarding the investigation or its reason; they were only made aware of the suspended visits when families called to schedule in-person visits and were informed that the residents' visits were suspended.

During FY 2018, the CIC contacted the case manager on the unit seeking insight regarding the origin and scope of the investigations, and subsequent restrictions; however, the case manager was unaware of the source and reasons for the investigations.

During the September 2018 inspection, the CIC asked executive staff whether the investigations were internal to the DOC or coming from an outside agency. The CIC also inquired about residents' lack of notice and documentation regarding the investigations. In response, DOC staff members explained that they were not aware of any internal or outside investigations that are unaccompanied by documentation and suspend social visits for government witness unit residents.

According to staff, a resident only has visits suspended if he receives a disciplinary violation with loss of visits as part of the sanction, or if he is sent to the Secure Management Unit. If the suspension is due to a disciplinary violation, residents are informed by the Adjustment board and receive documentation of the process.

- *Recreation* – Residents reported that they do not have recreation regularly throughout the week. They reported that they have recreation once or twice a week, and sometimes not at all.
- *Unit* – Residents reported that the unit is not provided with adequate cleaning products, supplies, or tools. Residents have to ask officers to call and request that supplies, including toilet paper, be delivered. Residents have also said that they have requested remotes for the televisions on the unit. When they do not receive remotes, they change the channel with a broom or mop handle.
- *Detail* – Residents reported that some corrections officers say that there are too many people working detail. Reportedly, some officers do not want to let the people working detail out of their cells at the designated times for their shifts.
- *Visits* – The CIC received reports about visitors being turned away for various reasons, such as wearing a work uniform or smelling like marijuana.
- *Mail* – Residents reported incidents where they have not received mail that was sent to them. Also, family members have reported not receiving mail sent to them by residents.
- *Food* – Residents explained that they do not always eat food that is delivered to the unit, because there is a history of food tampering by individuals working the kitchen, who know that particular trays will be delivered to the government witness unit. Most said that residents on the unit never drink from juice or milk jugs; they only drink beverages delivered in sealed single servings, because some past jug deliveries contained urine.
- *Staff* – Residents reported insults and profanity from staff due to their status as government witnesses.

### *CIC Recommendations*

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- The DOC should ensure that residents are always provided with documentation stating why they are under investigation and the basis of the investigation.
- The DOC should work to provide residents on the government witness unit with access to adequate programming opportunities and recreation time.
- The DOC should ensure that the government witness unit operates in a sanitary and safe manner, including the delivery of cleaning supplies and the proper sealing, labeling, and handling of meals.
- The DOC should ensure that correctional staff is appropriately equipped with schedules for resident's detail assignments in order to preserve safety while ensuring that residents can report for their duties.

## **XII. CTF Special Management Unit**

*From September to October 2018, the CIC received concerns from residents on the Special Management Unit (SMU) of CTF, regarding changes in recreation practices on the unit.*

At least five residents of SMU contacted the CIC to discuss the change in recreation practices on the unit, which caused difficulty in communicating with family members. In mid-September the recreation time changed from starting at 8:00am and lasting until 12:00pm, to starting at 5:00am and lasting until 9:00pm.



Recreation is the only time that the phones are available for residents to make social calls. Each resident on the SMU is allowed to have recreation for two hours within the recreation time (i.e. a two-hour time slot between the hours of 5:00am and 9:00pm). Despite the extended hours, after the change, those with recreation at 5:00am could not reach their families because they were unlikely to be awake at that time. There were also reports that recreation no longer occurs on the weekends.

In September 2018, the CIC reached out to the DOC about these issues. The DOC responded that it would look into the unit issues, particularly the availability of recreation on the weekends.

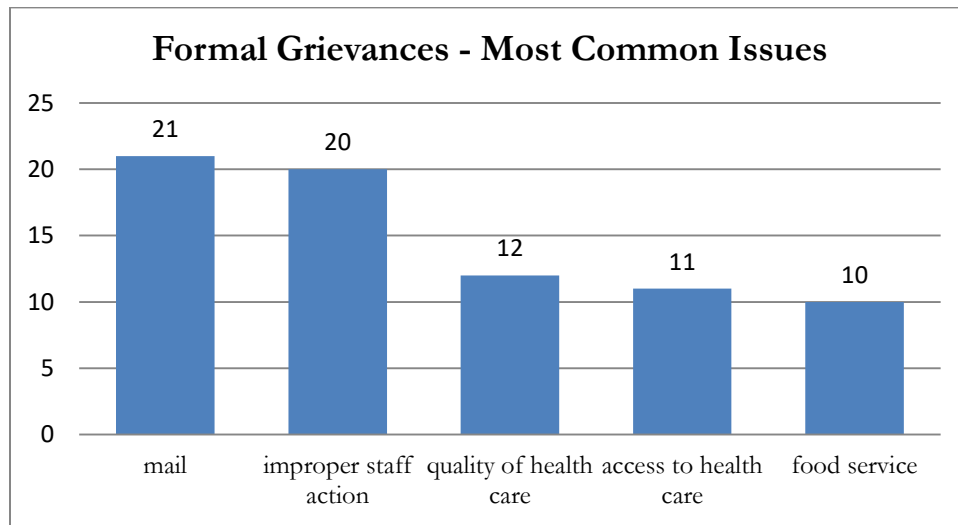
### **XIII. Grievances**

*The CIC received resident concerns regarding deficiencies in the DOC grievance process, mainly that residents seldom receive any response to grievances that they submit.*

Throughout FY 2018, the CIC received complaints from residents explaining that the DOC grievance process is inadequate. During interviews with individuals, at least nine individuals raised concerns about grievances. The most frequent concern is that residents do not receive responses to their written and submitted grievances.

As part of the information request made by the CIC to DOC, the DOC provided documentation about informal and formal grievances in FY 2018. Informal grievances are complaints communicated to the unit case manager. Formal grievances are complaints filed with the grievance coordinator.

- *Informal Grievances:* There were 810 informal grievance complaints filed in FY 2018, 727 of which were resolved in less than 30 days.
- *Formal Grievances:* There were 144 formal grievance complaints filed in FY 2018, 140 of which were resolved in less than 30 days.



During the 2018 inspection, the CIC asked DOC for clarification on the recently changed grievance process. Staff explained that residents can still ask their case managers to resolve an issue, but communication with a case manager is not part of the formal process. Instead, all grievances are now delivered to the grievance coordinator, who then sends the complaints to the corresponding department. Following an inquiry, the relevant department sends a response to the coordinator, and then the coordinator sends the grievance back to the resident.

## *CIC Recommendations*

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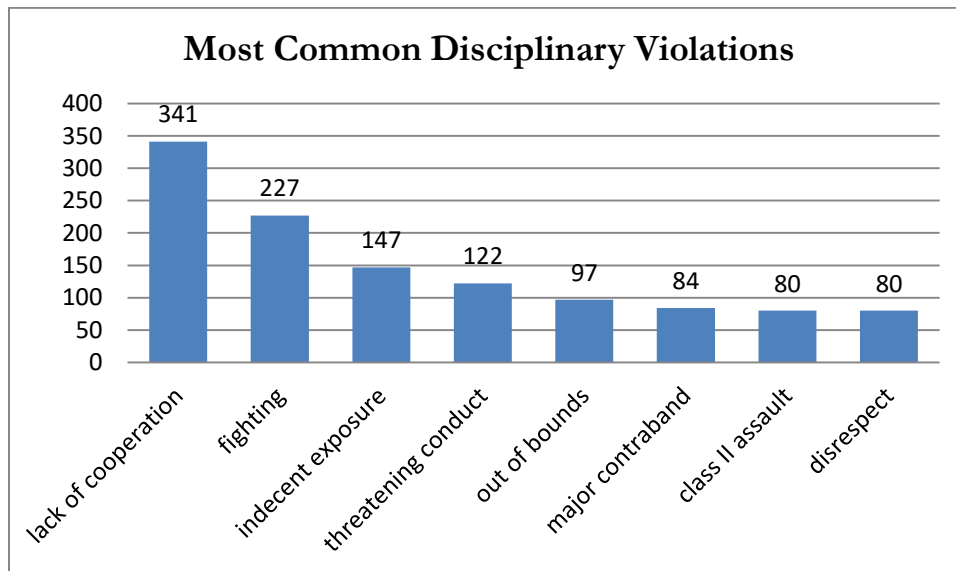
- The DOC should provide residents timely answers to all filed grievances in a manner that comports with its stated policies and procedures.

### ***XIV. Disciplinary Process***

*The CIC received numerous comments from residents that they did not receive documentation of disciplinary incidents.*

Throughout FY 2018, multiple DOC residents raised concerns regarding the lack of documentation for disciplinary incidents that resulted in sanctions. For example, one individual described being placed on a seven-day cell restriction, which prohibited showers, recreation, and any property in his cell. The individual reported that they requested written documentation on the fourth day of the seven-day restriction period, and were released from restricted status on the following day, but no explanation of why the restriction was issued or removed was ever provided.

In response to the CIC’s request, DOC provided information about disciplinary actions in FY 2018. In total there were 1,402 violations, 1,387 of which were sanctioned. During the fiscal year, there was one “not guilty” finding during the disciplinary hearing process.



## *CIC Recommendations*

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- The DOC should provide residents with documentation of all filed disciplinary actions that are formally charged or result in sanctions.

### ***XV. Religious Programming and Meals***

*The CIC received complaints about access to religious programs and services as well as religious diet trays, ongoing throughout the fiscal year.*

During FY 2018, the CIC received reports regarding residents’ inability to access religious services and accommodations, such as prayer observance. Five individuals reported times when they were not allowed to come out of their cells to pray or when officers failed to take them to the chapel area to participate in religious services or programming.

Additionally, at least five individuals reported issues with accessing their religious meals. Some residents experienced weeks to months of delays in the process of being approved to receive a religious diet tray. Once individuals were approved for the diet, some reported that religious diet trays were not always brought to the units. Also, there are ongoing concerns about certain food items not being sealed or labeled. In particular, persons observing a kosher diet are not able to distinguish if the food is in fact kosher because it is not labeled.

In November 2018, the CIC received reports that the DOC had recently begun providing a Halal diet for Muslim individuals who had previously been given kosher trays. The Halal diet consists of one hot meal per day and lower quality than the kosher diet, which has two hot meals per day. Similar to the kosher trays, not all items are sealed or labeled, so residents are unable to determine if the items do in fact meet Halal standards.

### *CIC Recommendations*

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- DOC staff should ensure that all residents have the opportunity to regularly attend religious services and programs in a manner that comports with its stated policies and procedures.
- DOC staff should ensure that the process for reviewing and approving religious diet requests is timely and efficient.
- DOC staff should ensure the proper sealing and labeling of specialized dietary trays in order to assure quality control.

## **XVI. Recreation**

*Throughout FY 2018, the CIC received reports about recreation, including no outdoor recreation or opportunities for indoor recreation.*

The CIC received reports from residents on particular units that they do not have opportunities for indoor recreation, and other comments that the majority of residents do not have regular opportunities for outdoor recreation.

At the CTF, residents on the medical units and government witness reported issues with access to indoor recreation. Additionally, residents in one discrete CTF unit have weekly outdoor recreation, whereas other housing units in CTF reported that they have not received any outdoor recreation for at least the past 5-7 months. At least five individuals from CDF expressed that they do not have meaningful opportunities for recreation, both indoor and outdoor.

### *CIC Recommendations*

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- DOC staff should ensure that all individuals have regular access to indoor recreation, and adequate access to outdoor recreation time that comports with stated policies and procedures.

## **XVII. ADA Specialists**

*The DOC has two employees that are trained to provide Americans with Disabilities Act (ADA) services to residents who are referred by the DOC medical department.*

There are two DOC employees who have specialty training for providing services to residents under the Americans with Disabilities Act of 1990 (ADA). According to DOC staff, residents can be referred by the medical department to receive ADA services. If they are referred for services, one of the two trained DOC employees are able to meet with them according to individual service needs. The amount of time an individual spends with a specialist is dependent on the requirements of their services. At the time of the inspection, there were reportedly three residents who were utilizing ADA services.

## **XVIII. Property**

*Many residents reported to the CIC that their personal property was never returned to them by DOC after they transferred units.*

Throughout FY 2018, the CIC received at least five complaints regarding lost property. Many of the reports involved residents who had to transfer units, whose their property was never delivered from the old unit to the new unit; or, residents who never received their property after temporary property restrictions were lifted.

During the 2018 inspection, the CIC asked DOC staff about the process for property transfer and retention while a resident transfers units or is subject to a property restriction. Staff explained that property is supposed to be sent with residents as they transfer units. DOC staff further explained the process for residents who go from general population to a status housing unit, and have their property restricted. Upon entry to a restrictive housing unit, a resident is placed in the holding cell at the entrance of the unit and strip-searched. Their personal property is also reduced to the limit allowed on the unit. Any property that is over the limit is sent to storage, and residents are supposed to receive it within 24 hours of release back to general population. Staff suggested that residents who spoke to the CIC about lost property and were transferred to a secure housing unit may have had property confiscated as part of an investigation by the DOC Office of Investigative Services (OIS). However, that possibility assumes there was an incident preceding their transfer that would have necessitated OIS involvement.

### ***CIC Recommendations***

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- Whenever a resident transfers units, DOC staff should clearly document a resident's property, and explain the process for moving, holding, storing, and returning property.
- DOC staff should clearly document property that has been confiscated and will not be returned.
- DOC staff should communicate to residents a clear resolution process if the resident has missing property.

## **XIX. Facility Physical Conditions**

*Throughout the fiscal year, individuals in both the CTF and CDF reported concerns about the physical conditions of the facilities.*

During interviews throughout FY 2018, at least six individuals raised concerns about the physical conditions of the CTF and CDF. The concerns are listed below:

- *Temperature concerns:* There is no circulation of air in the summer and freezing conditions in the winter since heat does not reach individual cells.
- *Flooding and sewage on bottom tier of a unit:* The DOC responded to this concern during the year and commenced maintenance on a number of units.
- *Mold in units, closets, and showers:* Residents informed the CIC that mold was painted over in preparation for inspections.
- *Cleaning supplies:* Residents are not provided sufficient cleaning supplies.
- *Rusty cage bearings:* Cage bearings are not secure.

### ***CIC Recommendations***

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- The DOC should ensure that the physical premises of both the CDF and CTF are clean and safe at all times.

***XX. Additional Concerns***

*The following list of resident comments includes the areas in which additional issues were raised to the CIC throughout FY 2018:*

- Assaults by staff
- Unprofessional staff conduct
- Staff retaliation following a grievance or other report
- Reported inmate on inmate conflict (including physical altercations and stabbings)
- Issues with good time credits
- Not enough detail jobs for women
- Law librarian requests unanswered and little assistance

## Appendix A: Methodology

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### ***CIC FY 2018 DOC Inspection: Methodology***

In accordance with D.C. Code § 24-101.01(d)(1)(2019), the Corrections Information Council (CIC) sent a request to tour the Department of Corrections (DOC) facilities, the Correctional Treatment Facility (CTF), and the Central Detention Facility (CDF). The CIC conducted an onsite inspection of the CTF on September 20, 2018, and an onsite inspection of the CDF on September 26, 2018. The CIC representatives on the inspection include Board Chair Charles Thornton; Program Analysts Laura de las Casas, Rebekah Joab, Nicole Ukaegbu, and Chrisiant Bracken; Communications Specialist Mabel Tejada, and interns Samantha Kramer and Justin Penik.

On each respective day, the onsite inspection processes included opening sessions with DOC executive staff, tours of the facility grounds, and closing remarks with the DOC executive staff.

The inspection request itinerary included a tour of select units and program areas, which were the locality of comments, both positive and negative, made by DC residents throughout the fiscal year. The units toured, along with those that the CIC requested to visit, but was unable, are listed below:

#### CTF

- Medical 96
- Medical 82
- Women's Mental Health Unit
- Young Men Emerging Unit (YME)
- Work Readiness Unit
- General Population Housing Unit

Areas that the CIC requested to tour but was not able to:

- Government Witness Unit
- Special Management Unit

#### CDF

- Intake Reception Center (IRC)
- Transition Center
- Acute Mental Health Unit
- Mental Health Step-Down Unit (MHSDU)
- GED Unit
- Administrative Housing Unit / Special Management Unit
- Restrictive Housing Unit

Areas that the CIC requested to tour but was not able to:

- Maximum security general population housing unit

Prior to the onsite inspection, the CIC communicated with residents at the CTF and CDF about conditions. During those interviews the CIC met with residents to discuss their concerns and ongoing issues. The concerns and remarks made by residents throughout FY 2018 informed the

areas that the CIC toured during the 2018 inspection, and were also included as resident feedback in the body of the report. The CIC met with more than 40 individuals in the fiscal year.

On October 9, 2018, the CIC sent the DOC a request for documents, including:

- Roster of residents including commitment date, race, age, facility, classification, projected release date, and inmate status
- Breakdown of facility units
- List of staff vacancies as of September 20, 2018
- Summary of FY 2018 disciplinary actions and sanctions
- Summary of FY 2018 Informal Grievance Logs
- Summary of FY 2018 Formal Grievance Logs
- List of community partners for programming
- Complete list and explanation of courses offered through the department of College and Career Readiness
- Data on restrictive housing population in FY 2018
- Inmate deaths in FY 2018
- Inmate suicide attempts in FY 2018
- Summary of FY 2018 significant incidents
- Summary of FY 2018 use of force incidents
- Information on food services and commissary

The CIC provided the DOC with a draft version of this report for review of factual information and an opportunity to respond to any information contained in the report. The DOC response can be found as an attachment.



## **Appendix B: DOC Response**

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# **EXHIBIT E**



**WASHINGTON LAWYERS' COMMITTEE**  
**FOR CIVIL RIGHTS AND URBAN AFFAIRS**

## **D.C. Prisoners: Conditions of Confinement in the District of Columbia**

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**June 11, 2015**

A report of the Washington Lawyers' Committee  
for Civil Rights & Urban Affairs  
11 Dupont Circle NW, Suite 400  
Washington, DC 20036  
[www.washlaw.org](http://www.washlaw.org)

**D.C. PRISONERS: CONDITIONS OF CONFINEMENT  
IN THE DISTRICT OF COLUMBIA**

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## PREFACE

The appalling conditions of confinement in D.C. prison facilities, especially in light of their disproportionate impact on African-Americans, are a key criminal justice and civil rights issue in Washington DC. This is the third in a series of reports focusing on criminal justice reform and civil rights issues by the Washington Lawyers' Committee for Civil Rights and Urban Affairs.

We want to express our appreciation for the invaluable assistance in researching and writing this report provided by a team of lawyers from Covington & Burling LLP: Kevin Glandon, Shelton Abramson, Brandon Myers, and Alan Pemberton, who were the principal authors of this report, as well as paralegals Kimberly Bickham and Eric Barros.

All these reports are dedicated to Judge Louis F. Oberdorfer, the distinguished jurist, who inspired the creation of the Washington Lawyers' Committee in 1968 while a partner at Wilmer, Cutler & Pickering. Judge Oberdorfer served on the Committee's Board of Trustees until his elevation to the bench in 1977. Throughout his long career, Judge Oberdorfer, who died in February of 2013, spoke eloquently in support of civil rights and criminal justice reform. In his memory, the Louis F. Oberdorfer Fund has been established to support the Committee's ongoing work on criminal justice reform and civil rights advocacy. We are pleased to note that one of the significant contributors to this report was Elliot Minberg, who is serving as the Louis Oberdorfer Senior Counsel on the Washington Lawyers' Committee staff. A stipend to support his work is provided by the Oberdorfer Memorial Fund.

The Washington Lawyers' Committee would also like to acknowledge with particular gratitude the service of the following retired and senior Federal and District of Columbia Judges who composed the Advisory Committee assisting with this study:

*John M. Ferren*, Senior Judge, District of Columbia Court of Appeals

*Rufus G. King III*, Senior Judge, Superior Court of the District of Columbia

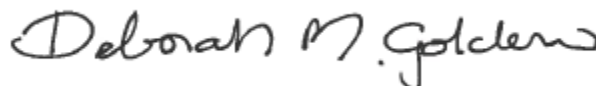
*James Robertson*, Retired Judge, United States District Court for the District of Columbia

*Ricardo M. Urbina*, Retired Judge, United States District Court for the District of Columbia

*Patricia M. Wald*, Retired Chief Judge, United States Court of Appeals for the District of Columbia Circuit



Roderic V.O. Boggs, Executive Director



Deborah M. Golden, Director, DC Prisoners' Rights Project

June 11, 2015

## EXECUTIVE SUMMARY

On average, the daily population of D.C. Department of Corrections (DCDOC) facilities exceeds 2,000 prisoners. About three-quarters of these individuals are detained at the Central Detention Facility, a nearly forty-year-old facility commonly referred to as the “D.C. Jail.” Just under one quarter are detained at the privately-run Correctional Treatment Facility (CTF). The rest are located at one of the District’s three halfway houses.

This report examines the conditions of confinement at the D.C. Jail and the CTF and discusses several recurring and serious problems that require the prompt attention of the DCDOC and District policymakers.

- **The D.C. Jail’s physical condition is alarming.** Inspection reports by the D.C. Department of Health (DOH) have identified numerous violations of established correctional and public health standards, as well as structural and mechanical problems that are “serious to extremely serious.” Some of the problems noted in recent inspections appear to be a matter of poor housekeeping and sanitation practices — e.g., an “active infestation of vermin/pests throughout the facility.” Others indicate more fundamental degradation of the D.C. Jail’s physical infrastructure. Inspectors found “openings in the wall” of several cells, “damaged concrete in several of the cell blocks,” “water penetration through the walls,” mold growth, and a leaking roof. In addition, inspectors have noted that “most of the plumbing fixtures were in different stages of disrepair.”
- **Suicide prevention practices in the D.C. Jail are “in need of immediate corrective action.”** An expert report (the “Hayes Report”) arrived at this conclusion in 2013 after being commissioned to examine the D.C. Jail conditions and policies following a ten-month period during which four prisoners in the D.C. Jail committed suicide. The Hayes Report found that the D.C. Jail did not have enough suicide-resistant cells, and that prisoners under observation were subject to “overly restrictive and seemingly punitive” precautionary measures. The Hayes Report also criticized the infrequency of monitoring, which demonstrated “complete unconcern for inmate safety.” In response to the Hayes Report, the DCDOC formed a Suicide Prevention Task Force, which took steps to correct some of the issues noted in the report. However, the Task Force has not published anything in more than one year, so it is difficult to assess whether all of the required remedial measures have been implemented.
- **Facilities for youth are inadequate and programming is insufficient.** A report conducted by an outside correctional consulting group (the “Ridley Report”) identified significant problems with the conditions of confinement for youth housed at the CTF and found that boys at the CTF “have needs far greater than the services currently provided.” The Ridley Report highlighted the

inadequacies of the juvenile facilities at the CTF, the excessive imposition of segregation and isolation, and the insufficient programming for boys held there. The report also notes that many boys may only visit with family members through video visitation, which can interfere with maintaining critical family bonds. The DCDOC reports that it has “worked diligently to implement the Ridley recommendations,” but we recommend further actions, including reducing the use of isolation and segregation among youth prisoners, further increasing and improving youth programming, and expanding access to in-person visitation for all youths.

- **The District should not renew its contract with CCA, the for-profit corporation running the CTF.** Since 1997, the CTF has been operated under contract by the Corrections Corporation of America (CCA). It appears that the District’s compensation to CCA was 31% higher than CCA’s reported average, at least as of 2014. During that year, CCA reported an operating margin of 29.7%. CCA’s contract is set to expire in 2017 and issues related to CCA operation of prisons around the country since the beginning of the contract indicate that it would not be in the District’s interests to continue to contract out the operation of the CTF.
- **The Secure Residential Treatment Program needs expansion.** The Secure Residential Treatment Program, operated out of the CTF by the Court Services and Offender Supervision Agency and the U.S. Parole Commission, offers a helpful alternative to incarceration for those suffering from addiction, but is unavailable to women and to many men due to the location of the facility and its limited size.
- **“Good time credit” policies deny early release based on arbitrary distinctions.** District policies regarding the availability of “good time credit” for academic, vocational, and rehabilitation achievement contain arbitrary restrictions with respect to certain offenses. Federal policy governs good time credits for inmates who will be transferred to the custody of the Bureau of Prisons.
- **Correctional officers may not have been provided sufficient training.** A theme running throughout prior reports is that some of the District’s correctional officers have not been provided modern, effective training.
- **Public records regarding the D.C. Jail and the CTF are difficult to obtain.** The process for obtaining public records regarding the District’s correctional system is complex, time-consuming, occasionally befuddling, and sometimes fruitless.

We conclude by offering seven proposed recommendations to address recurring issues outlined in the report. They would not solve all the issues facing the District’s correctional system, but we believe they would be important and tangible improvements.

Although we detail multiple areas of concern, it should be said at the outset that this report is not intended to find fault with any particular person, organization, or institution. Corrections officers face dangerous, even life-threatening, conditions on a regular basis. The job is stressful and can be thankless. Administrative staff who help run the Department of Corrections and its facilities and programs are often faced with competing safety, budgetary, time, practical, political, and legal pressures. None of this is to say that the District cannot make improvements in every area of concern. We can. But assigning blame is less productive than collaborating to advance practical, effective solutions.

## I. DISTRICT DEPARTMENT OF CORRECTIONS FACILITIES AND POPULATIONS

Individuals in the DCDOC system are generally subject to confinement at either of two facilities within the District, the D.C. Jail and the CTF,<sup>1</sup> or at one of the District's three contracted halfway houses. Prisoners convicted of a felony are transferred to a Federal Bureau of Prisons (BOP) facility and may become eligible for parole.

In FY14, the average daily population for all DCDOC facilities combined was 2,041.<sup>2</sup> Approximately three quarters (1,474) of those individuals were detained at the D.C. Jail. Twenty-four percent (489) of those in DCDOC custody were located at CTF, and the rest were in one of the contract halfway houses in the District.<sup>3</sup> While most youth charged with crimes in the District are held at Department of Youth Rehabilitation Services (DYRS) facilities, an average of sixteen boys were located at CTF (the only DCDOC facility that houses youth) in FY14.<sup>4</sup> Pursuant to the Revitalization Act of 1997, individuals convicted of felonies in D.C. are transferred to the custody of the BOP.

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<sup>1</sup> D.C. Dep't of Corr., *FY 2013 Performance Accountability Report*, 1.

<sup>2</sup> See D.C. Dep't of Corr., *DC Department of Corrections Facts and Figures*, <http://doc.dc.gov/sites/default/files/dc/sites/doc/publication/attachments/DC%20Department%20of%20Corrections%20Facts%20and%20Figures%20October%202014.pdf>

<sup>3</sup> See *id.*

<sup>4</sup> This number represents juveniles that the District tried as adults. See *id.*



## **A. Facilities**

### **1. D.C. Jail**

The DCDOC operates the D.C. Jail.<sup>5</sup> The D.C. Jail's population comprises solely adult men who: (1) are awaiting trial or a parole revocation decision and are subject to pre-trial detention, (2) have been convicted of a misdemeanor, or (3) have been convicted of a felony and are awaiting a transfer to a BOP facility.<sup>6</sup> The D.C. Jail houses all three categories (Low, Medium, and High) of the DCDOC's Inmate Classification System (ICS), which is used to categorize prisoners for housing purposes based on "a number of factors that include the nature of their current criminal charges, prior criminal history and prior incarceration history."<sup>7</sup>

We appreciate the willingness of the DCDOC to allow us to tour the D.C. Jail in February 2015. The D.C. Jail cell blocks include eighteen housing units, one of which is currently being used as an inmate receiving center. Each housing unit has eighty cells which, pursuant to current practices, hold up to two individuals each. The facility has of late been housing fewer District prisoners than the capacity of the D.C. Jail would allow. The tour was brief, we did not tour the entire facility, and we did not bring experts or otherwise attempt to audit the facility or assess shortcomings addressed in environmental inspection reports discussed in section III(A). Nonetheless, the tour was informative. The D.C. Jail is an aging facility and is visibly suffering from wear and tear.

### **2. Correctional Treatment Facility**

Unlike the D.C. Jail, the CTF is operated for the District by a contractor, the Corrections Corporation of America (traded as CXW on the New York Stock Exchange), pursuant to a 20-year contract that runs through January 30, 2017.<sup>8</sup> The CTF population comprises primarily adult men and women who: (1) are awaiting trial or a parole revocation hearing and are subject to pre-trial detention, (2) have been convicted of a misdemeanor, or (3) have been convicted of a felony and are awaiting a transfer to a BOP facility.<sup>9</sup> The CTF also houses youth of both sexes and some U.S. Marshals Service

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<sup>5</sup> Located at 1901 D Street, SE, Washington, DC 20003; *see also* D.C. Dep't of Corr., *FY 2013 Performance Accountability Report*, 1.

<sup>6</sup> D.C. Dep't of Corr., *DOC Frequently Asked Questions*, <http://doc.dc.gov/page/doc-frequently-asked-questions>.

<sup>7</sup> D.C. Dep't of Corr., *DOC Frequently Asked Questions*, <http://doc.dc.gov/page/doc-frequently-asked-questions>.

<sup>8</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America (effective Jan. 30, 1997, as modified); D.C. Dep't of Corr., *DOC Frequently Asked Questions*, <http://doc.dc.gov/page/doc-frequently-asked-questions>.

<sup>9</sup> D.C. Dep't of Corr., *DOC Frequently Asked Questions*, <http://doc.dc.gov/page/doc-frequently-asked-questions>.

prisoners, and is permitted to house up to 200 sentenced BOP prisoners.<sup>10</sup> Unlike the D.C. Jail, the CTF only houses prisoners with a “Low” or “Medium” ICS category.<sup>11</sup> The CTF’s operating capacity is between 1,400 and 1,500.<sup>12</sup>

### 3. Halfway Houses

Until recently, the DCDOC contracted with four separate, contractor-owned and operated halfway houses in the District, which are “often used as alternatives to incarceration”:<sup>13</sup> (1) Efforts From Ex-Convicts; (2) Extended House, Inc.; (3) Fairview; and (4) Hope Village.<sup>14</sup> The District no longer contracts with Efforts From Ex-Convicts.<sup>15</sup> Data from the first week in February 2015 indicate that the Fairview housed about ten women, Extended House held about twenty-six men, and Hope Village housed about thirty men.<sup>16</sup> These figures are lower than the average daily populations for FY 2014, which were as follows: Efforts From Ex-Convicts (15 individuals), Fairview (24), Extended House (40), and Hope Village (43).<sup>17</sup>

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<sup>10</sup> *Id.*; D.C. Dep’t of Corr., *DOC Official Population Counts by Facility* (Feb. 6, 2015), <http://doc.dc.gov/node/307122>; Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, Modification 10. As of January 1, 2003, the District permitted the CCA to fill empty beds at the CTF with prisoners from other jurisdictions, with the District receiving a per diem for any such prisoners. *Id.* Modification 3. As of November 4, 2008, the District’s contract with the CCA was expressly modified to permit the CCA to house USMS prisoners at the CTF. *Id.* Modification 9.

<sup>11</sup> D.C. Dep’t of Corr., *DOC Frequently Asked Questions*, <http://doc.dc.gov/page/doc-frequently-asked-questions>.

<sup>12</sup> The District reports that the operating capacity is 1,400; CCA filings cite a 1,500 figure. Compare D.C. Dep’t of Corr., *DOC Official Population Counts by Facility* (Feb. 6, 2015), <http://doc.dc.gov/node/307122>, with Corrections Corporation of America, *Annual Report (Form 10-K)*, 14, <http://www.sec.gov/Archives/edgar/data/1070985/000119312514072723/d664216d10k.htm>.

<sup>13</sup> D.C. Dep’t of Corr., *FY 2015 Performance Plan*, 1, <http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC15.pdf>.

<sup>14</sup> D.C. Dep’t of Corr., *FY 2013 Performance Accountability Report*, 1, [http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC\\_FY13PAR.pdf](http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC_FY13PAR.pdf)

<sup>15</sup> See D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, C-37, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_1\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_1_web.pdf); D.C. Dep’t of Corr., *FY 2015 Performance Plan*, <http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC15.pdf>.

<sup>16</sup> D.C. Dep’t of Corr., *DOC Official Population Counts by Facility* (Feb. 6, 2015), <http://doc.dc.gov/node/307122>.

<sup>17</sup> D.C. Dep’t of Corr., *DC Department of Corrections Facts & Figures October 2014*, <http://doc.dc.gov/node/344892>.

## **B. Policies Affecting Prisoner Population**

### **1. U.S. Bureau of Prisons**

Unlike each of America's fifty states, management of the District of Columbia is vested in a Mayor and City Council, but subject to control and oversight by the United States Congress.<sup>18</sup> One result of this control is that, pursuant to a federal law,<sup>19</sup> sentenced felons in the District have been required to be transferred to the BOP.<sup>20</sup> Before the enactment of the National Capital and Self-Government Act of 1997 (the "Revitalization Act"), many of those prisoners had been housed at the Lorton Correctional Complex<sup>21</sup> in Virginia; by December 31, 2001, the District had ceased operations at Lorton.<sup>22</sup>

Almost sixty percent of the District's prisoner population are accused or convicted of felonies: Within that population, nearly fifty percent of all District prisoners are standing trial on a felony charge and 10% have been convicted and are awaiting transfer to the BOP.<sup>23</sup> As a result, the District's prisoner population is highly transitory: Those awaiting trial for felonies will generally be released after the trial if acquitted or sent to the BOP if convicted, and misdemeanants will not be confined for more than one year.

### **2. Reason for Incarceration**

The majority of individuals in DCDOC custody are either awaiting trial or awaiting transfer to a BOP facility. Forty-eight percent have a felony legal matter pending, and another 10% have been sentenced to felony time (presumably awaiting transfer to a BOP facility). Seven percent have a misdemeanor legal matter pending and are held pre-trial. Only 11% of DCDOC prisoners are sentenced misdemeanants—individuals, not awaiting a transfer, who are serving imposed sentences in a DCDOC

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<sup>18</sup> The Constitution grants legislative authority over the District to Congress. U.S. Const. art. I, § 8, cl. 17.

<sup>19</sup> National Capital Revitalization and Self-Government Improvement Act of 1997, Pub. L. No. 105-33, 111 Stat. 712.

<sup>20</sup> D.C. Dep't of Corr., *Correctional Facilities*, <http://doc.dc.gov/page/correctional-facilities>.

<sup>21</sup> The conditions at Lorton were examined through a series of interviews with staff and prisoners in a 1990 article authored by Professor Robert Blecker of New York Law School. See Robert Blecker, *Haven or Hell? Inside Lorton Central Prison: Experiences of Punishment Justified*, 42 Stan. L. Rev. 1149 (1990).

<sup>22</sup> D.C. Dep't of Corr., *About DOC*, <http://doc.dc.gov/page/about-doc>.

<sup>23</sup> See *infra* D.C. Dep't of Corr., *D.C. Department of Corrections' PREA, Safety and Security Report 2014*, <http://doc.dc.gov/sites/default/files/dc/sites/doc/publication/attachments/DOCPREASafetyandSecurityReport2014.pdf>.

facility.<sup>24</sup> The remaining 24% of the prisoners are incarcerated for violation of parole, being held pursuant to a writ or hold, or for “other” reasons.

Of the male DCDOC prisoners, about 19% are classified as “Federal Inmates,” *i.e.*, prisoners accused of violating federal law who are temporarily held in a DCDOC facility. Sixteen percent of the men being held for a D.C. Code offense are incarcerated for assault or domestic violence, 13.6% are in for a parole violation, and 9.4% are incarcerated for a burglary/robbery/carjacking. The remaining prisoners are incarcerated for various offenses including property crimes (8.2%), drug offenses (6.6%), weapons possession (6.4%), and homicide (4%). Approximately 32% of male DCDOC prisoners are incarcerated for “violent” or “dangerous” crimes (“dangerous crimes” includes possession with intent to distribute a controlled substance).

The top two reasons for incarceration of female DCDOC prisoners are (1) assault/domestic violence and (2) parole violations, with each of the two categories accounting for 20% of the DCDOC female population. Of female DCDOC prisoners, 13.7% are federal inmates. Other reasons for incarceration include property crimes (7.9%), failure to appear in court<sup>25</sup> (6.5%), and white collar crimes<sup>26</sup> (5.8%). Twenty-six percent of female prisoners are incarcerated for violent or dangerous offenses.

### 3. Length of Stay and “Good Time” Credits

The average length of stay in a DCDOC facility is 179 days for male prisoners, and 94 days for female prisoners. Under District law, prisoners<sup>27</sup> may earn “Good Time Credits” for completion of academic and vocational programs or rehabilitation programs; for “exceptionally meritorious service”; or “performing duties of outstanding importance in connection with institutional operations”; and for “demonstrat[ing] successful participation in one or more rehabilitation programs, work details, or special projects.”<sup>28</sup>

One credit is equal to one full day of reduction in a sentence. Prisoners earn one credit for completing a “program, detail, or project” that lasts twenty days or less, two credits for completing a program that lasts between twenty and twenty-six days; and

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<sup>24</sup> *See id.*

<sup>25</sup> “Failure to appear” generally refers to an individual’s failure to appear before any court or judicial officer when ordered to do so. *See, e.g.*, D.C. Code §23-1327.

<sup>26</sup> “White collar crime” generally refers to financially-motivated, nonviolent crime that is often committed by government and business professionals. *See, e.g.*, DC Code §22-3225.02 (Insurance fraud).

<sup>27</sup> The District’s good time credit laws apply only to pretrial and subsequently sentenced misdemeanants. Inmates charged with felonies and sentenced to more than one year of incarceration will be transferred to BOP custody and can only earn good time credits in accordance with federal law and BOP policies.

<sup>28</sup> D.C. Code §§ 24-221.01 to 24-221.01c.

three credits if a program lasts twenty-six days or more.<sup>29</sup> There are a few limitations on the use of this policy. First, only prisoners serving sentences for misdemeanors are eligible (however, credits can begin to accrue pre-sentencing while the individual is incarcerated).<sup>30</sup> Second, credits may not reduce a prisoner's sentence by more than 15% if the conviction was for a crime of violence, and credits may not reduce the minimum sentence at all for a specified list of crimes, including certain crimes under the Controlled Substances Act.<sup>31</sup> There are limitations on how many credits a prisoner may earn per month.<sup>32</sup>

#### 4. Parole/Supervised Release

When a D.C. Code offender<sup>33</sup> has served his or her minimum felony sentence, s/he may be eligible for parole.<sup>34</sup> In addition to the standard parole system, the District also provides for medical parole for permanently incapacitated or terminally ill prisoners, and for geriatric release for prisoners at least sixty-five years old who have a chronic illness.<sup>35</sup>

The U.S. Parole Commission is vested with the authority to grant or deny parole to D.C. Code felony offenders and to revoke parole and supervised release for those under its supervision.<sup>36</sup> Before August 5, 1998, the D.C. Board of Parole was responsible for making parole decisions, but its authority was transferred by the Revitalization Act.<sup>37</sup>

Even though D.C. Code felony offenders are transferred into the federal BOP system, the Commission applies District (rather than federal) guidelines and procedures to all D.C. Code offenders.<sup>38</sup> However, the Commission has the authority to amend the

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<sup>29</sup> D.C. Dep't of Corr., Program Statement 4341.1, § 10(b) (Aug. 17, 2012) (Good Time Credits).

<sup>30</sup> See *id.* § 10(a)-(b).

<sup>31</sup> *Id.* § 13(a)-(b).

<sup>32</sup> *Id.* § 13(d).

<sup>33</sup> By "D.C. Code offender," we refer to an individual who has violated a section of the D.C. criminal code, as opposed to a District resident who violated a federal law.

<sup>34</sup> D.C. Code § 24-404(a).

<sup>35</sup> See *id.* §§ 24-464, 24-465.

<sup>36</sup> See U.S. Dep't of Justice, *FAQs*, <http://www.justice.gov/uspc/frequently-asked-questions#q46>.

<sup>37</sup> See *id.* The D.C. Board of Parole retained the authority to *revoke* parole until August 5, 2000, when that authority was also transferred to the Commission and the Board was abolished. *Id.*; D.C. Code § 24-131(a)(1).

<sup>38</sup> See Parole FAQs. Specifically, D.C. Code offenders are addressed in Subpart C of the Commission's manual, which is available at <http://www.justice.gov/uspc/documents/uspc-manual111507.pdf>.

rules, and it did so in 2000, potentially requiring prisoners to serve time beyond when they become eligible for parole until they are deemed “suitable” for parole.<sup>39</sup> The Commission’s guidelines have been subject to challenge. For example, a class action filed by D.C. Code offenders challenges the application of the Commission’s rules, arguing that the Commission’s rules unconstitutionally apply retroactively to extend the length of time before prisoners will receive parole.<sup>40</sup>

While D.C. Code offenders are on parole, they are subject to supervision by an independent federal agency, the Court Services and Offender Supervision Agency (CSOSA).<sup>41</sup> CSOSA also supervises pre-trial defendants who have been released to the community.

## 5. Secure Residential Treatment Program

The Secure Residential Treatment Program (SRTP) is a residential substance abuse treatment program for individuals who have violated the terms of their parole or supervised release and who have addiction needs.<sup>42</sup> The benefit of the SRTP is that the participants receive substance abuse counseling and earn street-time credit, meaning that they are still considered to be on supervised release for purposes of calculating the length of their supervised release. The SRTP started as “a joint collaboration of CSOSA, the DC Government, the United States Parole Commission, and the Bureau of Prisons (BOP)” to address the needs of “chronic substance abusing, and criminally-involved DC Code offenders” and “increase their chances of successful community reintegration.”<sup>43</sup> According to the DCDOC, the SRTP is currently operated by CSOSA and the U.S. Parole Commission, which control eligibility requirements and the content of the program.<sup>44</sup>

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<sup>39</sup> *Id.*; *Daniel v. Fulwood*, 766 F.3d 57, 59 (D.C. Cir. Sept. 12, 2014).

<sup>40</sup> See *Daniel v. Fulwood*, 766 F.3d 57; see also Spencer Hsu, *U.S. appeals court revives parole challenge by D.C. inmates imprisoned since 1985*, The Washington Post, Sept. 12, 2014, [http://www.washingtonpost.com/local/crime/us-appeals-court-revives-parole-challenge-by-dc-inmates-imprisoned-since-1985/2014/09/12/5c4a78ce-3a97-11e4-bdfb-de4104544a37\\_story.html](http://www.washingtonpost.com/local/crime/us-appeals-court-revives-parole-challenge-by-dc-inmates-imprisoned-since-1985/2014/09/12/5c4a78ce-3a97-11e4-bdfb-de4104544a37_story.html).

<sup>41</sup> Court Services and Offender Supervision Agency for the District of Columbia, *Mission and Goals*, <http://www.csosa.gov/about/mission.aspx>.

<sup>42</sup> See Court Services and Offender Supervision Agency for the District of Columbia, *FAQs: Supervision Programs and Initiatives* (“Describe CSOSA’s planned participation in the [SRTP]”), <http://www.csosa.gov/about/faqs/programs.aspx#faq19>.

<sup>43</sup> *Id.*

<sup>44</sup> D.C. Department of Corrections Response to Washington Lawyers’ Committee White Paper, May 5, 2015 (hereinafter “DCDOC Response”). A draft of this report was shared with the DCDOC and, on May 5, the DCDOC provided its comments. This draft incorporates DCDOC comments, along with analysis of those comments. The DCDOC Response is included as Appendix B to this report.

Participants in the SRTP are housed for up to 180 days in a special thirty-two-bed unit at the CTF. The SRTP is administered by Phoenix House, a third-party contractor.<sup>45</sup>

The SRTP makes a significant difference in the lives of many of its participants and can help reduce the rate of recidivism.<sup>46</sup> But currently, the number of individuals who could benefit from the program far exceeds the SRTP's capacity. The SRTP is available only to men, and its limited capacity means that many individuals who might benefit from the program are unable to participate.

Those who are unable to participate in the program are held in custody and can wait months to begin participating in the program. During that time, the individuals who are held for a violation of their supervised release but who are unable to participate in the SRTP do not receive street time credit. Also, because the SRTP is operated out of the CTF, it is not available to individuals with a "High" ICS rating. As a result, individuals with a High ICS rating are put in custody at the D.C. Jail instead of being able to participate in the SRTP and will likely have their parole or supervised release revoked. They may apply for a waiver, but those waivers can take months, if they are ever granted at all.<sup>47</sup>

### **C. Demographics and Budget**

As District leadership and community stakeholders confront the challenges presented by the conditions of confinement in the District, it is vital to consider two additional aspects of the correctional system: The system's disproportionate impact on certain populations, and its cost. In addition to the discussion in this section,

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<sup>45</sup> See Phoenix House, *Secure Residential Treatment Program*, <http://www.phoenixhouse.org/locations/metro-dc/secure-residential-treatment-program/>.

<sup>46</sup> See generally Press Release, *Norton Announces the Secure Residential Treatment Program for Non-Violent Offenders Will Continue*, Congresswoman Eleanor Holmes Norton, <http://norton.house.gov/media-center/press-releases/norton-announces-the-secure-residential-treatment-program-for-non>.

<sup>47</sup> The SRTP is distinct from the Residential Substance Abuse Treatment (RSAT) program, which is available to male and female inmates in DCDOC custody. See D.C. Department of Corrections, *Substance Abuse Treatment at DOC*, <http://doc.dc.gov/page/substance-abuse-treatment-doc>. According to the DCDOC, inmates may volunteer to participate in the RSAT, join via referral or self-report, or enroll if they "have violated the terms of their probation and otherwise meet the requirements of the program." See DCDOC Response. The RSAT is generally a 30- to 120-day program that includes services on relapse prevention, as well as "workshops on domestic violence, parenting, fatherhood, life skills, arts, behavior modification, vocational education and health education." *Id.*; D.C. Department of Corrections, *Substance Abuse Treatment at DOC*, <http://doc.dc.gov/page/substance-abuse-treatment-doc>. This curriculum has been "licensed by Addiction Prevention and Recovery Administration (APRA), the regulating body for policy for substance abuse, prevention, treatment, and recovery services." DCDOC Response. Individuals who successfully complete the RSAT program are reinstated to supervision and are generally placed in a 30- to 60-day aftercare program.

demographics and cost issues are addressed in more detail in the appendix to this report.

**Slightly less than half (49.5%) of the District's total population, but 91% of the District's prisoner population, is Black.**

The District's prisoner population is disproportionately Black and male as compared to the District's total population. Slightly less than half (49.5%) of the District's total population, but 91% of the District's prisoner population, is Black. By contrast, 43.4% of the District's total population, but only a small fraction (3%) of the District's prisoner population, is White.

**And, while 92% of the DCDOC population is male, only 47% of the District's total population is male.**

And, while 92% of the DCDOC population is male, only 47% of the District's total population is male.<sup>48</sup> When it comes to youth, the racial and gender disparities are even starker.

Since 2007, the District's prisoner population has declined significantly, and may well decline further as a result of District policies relating to the decriminalization of marijuana. A report studying the District's high incarceration rate identified a lack of affordable housing, high rates of homelessness, education deficiencies, lack of access to mental health and substance abuse treatment, and high unemployment as relevant factors.<sup>49</sup>

## **II. RECURRING ISSUES AND PROBLEMS INVOLVING THE D.C. JAIL AND CTF**

Despite the tremendous investments that the D.C. government has made in its prison system, discussed in more detail in the Appendix, the D.C. Jail and CTF continue to face significant problems. As the following sections explain:

- The D.C. Jail's physical infrastructure appears to be crumbling and multiple inspections have revealed unsanitary conditions and non-compliance with basic standards established by national correctional authorities;

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<sup>48</sup> United States Census Bureau, *State & County QuickFacts*, <http://quickfacts.census.gov/qfd/states/11000.html>.

<sup>49</sup> Justice Policy Institute, *A Capitol Concern: The Disproportionate Impact of the Justice System on Low-Income Communities in D.C.*, [http://www.justicepolicy.org/images/upload/10-07\\_EXS\\_CapitolConcern\\_AC-PS-RD-DC.pdf](http://www.justicepolicy.org/images/upload/10-07_EXS_CapitolConcern_AC-PS-RD-DC.pdf).



- An independent expert found that the suicide prevention program employed at the D.C. Jail has significant shortcomings despite the fact that four prisoners recently committed suicide in the span of less than one year; and
- The CTF is not adequately providing for the needs of juveniles who are incarcerated there.
- For nearly twenty years, the CTF has been operated under contract by CCA. Continued problems at the CTF, the fact that the District's compensation to CCA appears to be 34% higher than CCA's reported average, at least as of 2013, and CCA's operational track record around the country since the beginning of the contract all strongly indicate that it would not be in the District's interests to continue to contract out CTF operations when the CCA contract expires in 2017.

## **A. Crumbling physical infrastructure**

### **1. Recent reports**

The District's jail facilities are not new. The CTF was opened in 1992 and has now been in operation for over twenty years.<sup>50</sup> The D.C. Jail was opened in 1976 and has been in operation for nearly forty years.<sup>51</sup> Based on discussions with DCDOC staff, as well as testimony provided to the D.C. Council by DCDOC officials, maintenance and upkeep for the D.C. Jail will continue to consume resources and may require expensive upgrades.

Inspection reports prepared by the D.C. Department of Health (DOH) paint a troubling picture of the physical condition of the D.C. Jail. The two most recent inspection reports that are available account for a September 2013 to October 2013 inspection period (the "Fall 2013 Inspection") and a February 2014 to March 2014 inspection period (the "Spring 2014 Inspection").<sup>52</sup> A cover letter from the DOH to the Director of the DCDOC accompanies each of the inspection reports and explains that:

*This inspection identified areas of non-compliance with environmental requirements as defined by the American Correctional Association Standards for Adult Local Detention Facilities and the American Public Health Association Standards for Health Services Correctional Institutions.*<sup>53</sup>

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<sup>50</sup> D.C. Dep't of Corr., *Correctional Facilities*, <http://doc.dc.gov/page/correctional-facilities>.

<sup>51</sup> D.C. Dep't of Corr., *Correctional Facilities*, <http://doc.dc.gov/page/correctional-facilities>.

<sup>52</sup> Although additional inspections likely occurred since March 2015, prior requests to obtain access to any associated reports through the FOIA process were unsuccessful.

<sup>53</sup> Letter from Joxel Garcia, Director, D.C. Department of Health, to Thomas Faust, Director, D.C. Department of Corrections (May 20, 2014); Letter from Joxel Garcia, Acting Director, D.C. (continued...)

The inspection reports themselves raise grave concerns. Although some of the problems could conceivably be addressed through improvements in housekeeping and sanitation or maintenance practices, others speak to larger problems with the physical infrastructure of the D.C. Jail and indicate that the facility itself—which opened nearly forty years ago—may require significant renovations or need to be replaced.

In both the Fall 2013 Inspection and the Spring 2014 Inspection, the inspector found that “the cell blocks and several common areas were not maintained in a clean and sanitary manner and in good repair.”<sup>54</sup> In the reports accompanying each inspection, the inspector provided a list of more than 100 problems to support this conclusion.<sup>55</sup> Problems that pertain to sanitation failures and a lack of routine maintenance and that could possibly be corrected through significant improvements in housekeeping practices include, for example:

**“There is an active infestation of vermin/pests throughout the facility”**

- “There is an active infestation of vermin/pests throughout the facility;”<sup>56</sup>
- There were numerous sanitation issues in the kitchen, such as unsanitary equipment and improper temperature control for refrigerated foods;<sup>57</sup>
- “The showers throughout the housing units were not sanitarily maintained and in good working order;”<sup>58</sup>

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Department of Health, to Thomas Faust, Director, D.C. Department of Corrections (Dec. 4, 2013).

<sup>54</sup> See generally Ralph Spencer, *Central Detention Facility Inspection Report, February 18 to March 21, 2014* (Mar. 21, 2014) (hereinafter “Spring 2014 Inspection Report”); Ralph Spencer, *Central Detention Facility Re-Inspection Report, February 18 to March 21, 2014* (Mar. 21, 2014) (hereinafter “Spring 2014 Re-Inspection Report”); Ralph Spencer, *Central Detention Facility Inspection Report, September 17 to October 11, 2013* (Oct. 18, 2013) (hereinafter “Fall 2013 Inspection Report”); Ralph Spencer, *Central Detention Facility Re-Inspection Report, September 17 to October 11, 2013* (Oct. 18, 2013) (hereinafter “Fall 2013 Re-Inspection Report”).

<sup>55</sup> See Spring 2014 Re-Inspection Report, 2 - 6; Spring 2014 Inspection Report, 2 - 6; Fall 2013 Inspection Report, 2 - 6; Fall 2013 Re-Inspection Report, 2 - 7.

<sup>56</sup> Memorandum from Ralph Spencer, Safety and Environmental Health Specialist, to Senior Deputy Director, Health Regulation and Licensing Administration, 6 (Feb. 18, 2014 to Mar. 21, 2014) (hereinafter “Spring 2014 Spencer Memorandum”); Memorandum from Ralph Spencer, Safety and Environmental Health Specialist, to Feseha Woldu, Senior Deputy Director, Health Regulation and Licensing Administration (Sept. 17, 2013 to Oct. 11, 2013) (hereinafter “Fall 2013 Spencer Memorandum”)

<sup>57</sup> Spring 2014 Spencer Memorandum, 6; Fall 2013 Spencer Memorandum, 4 - 5.

- Numerous parts of the medical facility were deemed “dirty” or “damaged” and there was “a sewer odor” in some of the rooms;<sup>59</sup> and
- “[T]he lighting was not functioning properly” in many of the cell blocks.<sup>60</sup>

**Department of Health:  
“structural and mechanical  
deficiencies were more  
prominent than  
environmental deficiencies”**

By contrast, other problems cited in the reports could be remedied only through significant renovations to the facility or by replacing the D.C. Jail entirely. Indeed, the Department of Health noted in its Fall 2013 Inspection that “structural and mechanical deficiencies were more prominent than environmental deficiencies,”<sup>61</sup> and many of those issues remained uncorrected during the Spring

2014 Inspection.<sup>62</sup> Structural or mechanical deficiencies observed during the inspections, included, for example:

- “There were openings in the wall” of several cells<sup>63</sup> and “damaged concrete in several of the cell blocks;”<sup>64</sup>
- “Most of the plumbing fixtures were in different stages of disrepair;”<sup>65</sup>
- The roof was leaking;<sup>66</sup>
- There was “water penetration through the walls;”<sup>67</sup>

<sup>58</sup> Spring 2014 Spencer Memorandum, 7; Fall 2013 Spencer Memorandum, 6.

<sup>59</sup> Fall 2013 Inspection Report, 4 - 5; Fall 2013 Re-Inspection Report, 4 - 5; *see also* Spring 2014 Inspection Report, 4 - 6; Spring 2014 Re-Inspection Report, 4 - 5.

<sup>60</sup> Fall 2013 Re-Inspection Report, 11; *see also* Spring 2014 Inspection Report, 11 (“There were several blown fluorescent tubes throughout the cell blocks and in the stairwells.”).

<sup>61</sup> Fall 2013 Spencer Memorandum, 2.

<sup>62</sup> Spring 2014 Spencer Memorandum, 2.

<sup>63</sup> Fall 2013 Re-Inspection Report, 8; Fall 2013 Inspection Report, 2; *see also* Spring 2014 Spencer Memorandum, 9 (identifying “Openings in the wall” as a general maintenance concern).

<sup>64</sup> Spring 2014 Re-Inspection Report, 2; Spring 2014 Inspection Report, 2; Fall 2013 Re-Inspection Report, 8; Fall 2013 Inspection Report, 2.

<sup>65</sup> Fall 2013 Re-Inspection Report, 4, 12.

<sup>66</sup> Spring 2014 Spencer Memorandum, 2; Fall 2013 Spencer Memorandum, 2.

<sup>67</sup> Spring 2014 Spencer Memorandum, 2; Fall 2013 Spencer Memorandum, 2.

- Mold was growing on many of the walls;<sup>68</sup>
- There was “concrete separating at the corners in the gym;”<sup>69</sup>
- The floors, walls, and ceilings in many rooms were “damaged;”<sup>70</sup>
- Floor tiles in many of the rooms were missing or damaged;<sup>71</sup>
- There were rusted areas in the ceiling and the wall;<sup>72</sup>
- There were damaged and rusted windows and “damaged and missing caulk from around the window in several of the cell blocks;”<sup>73</sup>
- There was “peeling paint on the walls throughout the facility;”<sup>74</sup> and
- There was damage to the “concrete walkway around the exterior premises.”<sup>75</sup>

The DOH emphasized the seriousness of the water leakage in particular, which it blamed for mold growth and described as “a health and safety issue which can have serious effects.”<sup>76</sup>

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<sup>68</sup> Spring 2014 Spencer Memorandum, 2; Fall 2013 Spencer Memorandum, 2.

<sup>69</sup> Spring 2014 Inspection Report, 2; Fall 2013 Re-Inspection Report, 2.

<sup>70</sup> *See generally* Spring 2014 Inspection Report; Spring 2014 Re-Inspection Report; Fall 2013 Inspection Report; Fall 2013 Re-Inspection Report.

<sup>71</sup> *See generally* Spring 2014 Inspection Report; Spring 2014 Re-Inspection Report; Fall 2013 Inspection Report; Fall 2013 Re-Inspection Report.

<sup>72</sup> Spring 2014 Inspection Report, 12; Spring 2014 Re-Inspection Report, 12; Fall 2013 Inspection Report, 12; Fall 2013 Re-Inspection Report, 12.

<sup>73</sup> Spring 2014 Inspection Report, 3; Fall 2013 Re-Inspection Report, 2.

<sup>74</sup> Spring 2014 Spencer Memorandum, 8; Fall 2013 Spencer Memorandum, 7.

<sup>75</sup> Spring 2014 Spencer Memorandum, 2; Fall 2013 Spencer Memorandum, 2.

<sup>76</sup> Fall 2013 Spencer Memorandum, 3; *see also* 2014 Spencer Memorandum, 10.

## 2. Persistent problems

A review of reports from ten recent inspections indicates that many issues have not been adequately addressed after being noted in prior reports.<sup>77</sup> For example, in the report accompanying the Spring 2014 Inspection, the inspector noted that “[s]everal of the structural and mechanical deficiencies observed in previous inspections . . . remained outstanding.”<sup>78</sup> These issues include: “leaking roof, water penetration through the walls, mold growth on the walls,” and “leaking damaged and or inoperable plumbing fixtures.”<sup>79</sup> In past reports, the Department of Health described these problems as “serious to extremely serious” and explained that some of these problems “can have a negative impact on the health and safety of the inmates and staff if they are not addressed in a timely manner.”<sup>80</sup>

**The Department of Health described these problems as “serious to extremely serious”**

However, despite the seriousness of these and other concerns, many of the same problems persisted—and in some cases deteriorated—between reports.<sup>81</sup> Thus, while it is helpful that the DOH inspects the D.C. Jail on a regular basis, it is not clear that these reports are being translated into actions that significantly improve the condition of the facility.

It is standard for periodic inspections to consist of an initial inspection and a follow-up inspection to determine compliance with corrective action plans submitted by

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<sup>77</sup> The ten inspections were conducted in February 2014 - March 2014; September 2013 - October 2013; April 2013 - May 2013; November 2012; May 2012 - June 2012; January 2012 - February 2012; May 2011; May 2010 - June 2010; June 2009; January 2009.

<sup>78</sup> Spring 2014 Spencer Memorandum, p. 2.

<sup>79</sup> *Id.*

<sup>80</sup> See, e.g., Memorandum from Milton Anderson and Ralph Spencer to Feseha Woldu, Senior Deputy Director, Health Regulation and Licensing Administration, 6 (May 18, 2010 to June 2, 2010); Memorandum from Milton Anderson and Ralph Spencer to Feseha Woldu, Senior Deputy Director, Health Regulation and Licensing Administration, 18 (June 8, 2009 to June 19, 2009); Memorandum from Milton Anderson and Ralph Spencer to Feseha Woldu, Senior Deputy Director, Health Regulation and Licensing Administration, 17 (Jan. 12, 2009 to Jan. 30, 2009).

<sup>81</sup> See, e.g., Memorandum from Milton Anderson and Ralph Spencer to Feseha Woldu, Senior Deputy Director, Health Regulation and Licensing Administration, 6 (May 18, 2010 to June 2, 2010); Memorandum from Milton Anderson and Ralph Spencer to Feseha Woldu, Senior Deputy Director, Health Regulation and Licensing Administration, 18 (June 8, 2009 to June 19, 2009); Memorandum from Milton Anderson and Ralph Spencer to Feseha Woldu, Senior Deputy Director, Health Regulation and Licensing Administration, 17 (Jan. 12, 2009 to Jan. 30, 2009).

the facility based on the initial inspection. The DCDOC states that it is “committed to performing preventative and day to day maintenance of the CDF and CTF facilities in order to provide a clean and safe environment,” and, typically, the DCDOC will have addressed or fixed at least some of the problems identified in the initial inspection by the time the follow-up inspection occurs. The DCDOC did not dispute the findings from these past reports, but noted that DOH had recently cited “noticeable improvements in the facility,” and observed that “areas that were in need of repair and in poor condition during the initial inspection have improved significantly.”<sup>82</sup> Further, the DCDOC maintained that 87% of the items identified in a March 2015 inspection have already been “abated.”<sup>83</sup> Nonetheless, as DCDOC acknowledged, some of the issues noted in DOH inspection reports are, “due to the age and deterioration of the physical structure,” “outside of DOC’s control.”<sup>84</sup>

During our tour of the D.C. Jail, we did not attempt to re-evaluate the facility or verify the findings of the environmental inspection reports. Nonetheless, we did observe that, although many parts of the facility were painted and appeared to be maintained, in other areas of the facility, paint was peeling or scratched, metal frames and hinges were rusted, the ceiling was stained and missing ceiling tiles, and piping insulation was frayed or damaged. We observed flies in the culinary area, though not to such an extent that we would term it an “infestation.” We also learned that mice posed an occasional problem in dry storage. We did not observe any mice during the tour.

**The DCDOC acknowledged that it has not been able to fix certain shortcomings “due to the age and deterioration of the physical structure.”**

### **3. Addressing these structural deficiencies**

These DOH reports underscore the urgent need for improvements to the D.C. Jail. Many of these issues may not be easily remedied. Indeed, the DCDOC acknowledged that it has not been able to fix certain shortcomings “due to the age and deterioration of the physical structure.”<sup>85</sup> But if District prisoners are to be housed in a facility that is clean, sanitary, and up to the minimum standards that have been established by national correctional authorities, then they must be addressed. A full examination of the potential remedies—including physical plant issues such as the replacement of aging facilities with one or more new, modern facilities—is necessary. The status quo is unacceptable.

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<sup>82</sup> DCDOC Response.

<sup>83</sup> *Id.*

<sup>84</sup> *Id.*

<sup>85</sup> DCDOC Response.

#### 4. Additional Concerns

In a recent hearing before the Committee on the Judiciary and Public Safety, Director Thomas Faust suggested that the D.C. Jail's lack of program space makes it difficult to provide significant programming.<sup>86</sup> Director Faust also stated that the facility is well-worn and that it will continue to need significant repairs.

During our tour, we discussed a number of structural issues at the facility that appear to pose current risks and may require costly improvements.

First, the D.C. Jail has four electrical generators, three of which are as old as the D.C. Jail itself, and a fourth that was transferred from the Lorton facility and was likely not new when that facility closed in 2001. Although the generators are reportedly all functioning and able to provide emergency back-up power, the current generator system is unable to provide enough power to meet all of the facility's electricity needs. In particular, the system would not be able to support full operation of the air chillers on a hot day. There is no indication of a security risk, but the result could be an inability to maintain reasonable temperatures in the facility. Additionally, due to the age of the generators, parts are increasingly unavailable on the market, driving up the cost of maintenance and repair.

Second, the air handlers that control air flow and help balance temperatures in the cells were moved nearly two decades ago in an effort to increase efficiency. Unfortunately, it appears that there were fairly severe problems in the implementation of the system redesign and the current system has not been able to maintain consistent temperatures in the cellblocks. Moreover, the air handlers are reportedly nearing the end of their useful life and replacement and attendant redesign of the structure may cost millions.

Third, the D.C. Jail relies on the D.C. General steam plant for steam, which is used for heating. When the steam plant goes offline, as it has in the past, the D.C. Jail does not have an alternate source of steam for heat and has no control over the repair of that facility. This lack of a contingency is concerning, particularly if a failure results in an inability to adequately heat the facility in winter.

Fourth, the D.C. Jail contains a number of structural flaws that limit its utility and cost-effectiveness. For example, during the tour, DCDOC staff noted that one of the elevators was designed to skip a floor in the facility. Staff were uncertain as to the original intent behind the design, but the feature is apparently no longer necessary. Nevertheless, staff must contend with an elevator that does not stop at all floors. Additionally, the 1970s facility was not designed with energy efficiency in mind, a concept that would be expected to save costs in a modern facility.

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<sup>86</sup> D.C. City Council, *Public Hearing, Committee on the Judiciary* (Feb. 19, 2015), [http://208.58.1.36:8080/DCC/February2015/02\\_19\\_15\\_Judici.mp4](http://208.58.1.36:8080/DCC/February2015/02_19_15_Judici.mp4) (video) (testimony of Director Faust).

Fifth, although the cell blocks we toured contained common areas, the D.C. Jail appeared to have limited space available for programming.

## **B. Mental Health and Suicide Prevention Practices at the D.C. Jail**

**Between November 2012 and August 2013, four prisoners at the D.C. Jail committed suicide, bringing the suicide rate to three times the national average.**

Prisoners at the D.C. Jail receive on-site mental health services from the D.C. Department of Mental Health,<sup>87</sup> and clinicians from Unity Health Care, an outside vendor that provides a range of health care services to prisoners in DCDOC facilities.<sup>88</sup> In recent years, prisoner suicides at the D.C. Jail have highlighted potential shortcomings in this mental health system.<sup>89</sup> Between November 2012 and August 2013, four

prisoners at the D.C. Jail committed suicide, bringing the suicide rate to three times the national average.<sup>90</sup> At the time, the DCDOC's contract with Unity Health Care provided for certain suicide-prevention measures, including safe cells, a suicide intake protocol, and periodic suicide screening.<sup>91</sup>

In the midst of the dramatic increase in suicides at the D.C. Jail, the DCDOC engaged an outside consultant in mid-2013 to draft a report on suicide prevention practices within the D.C. Jail.<sup>92</sup> The DCDOC also formed a Suicide Prevention Task Force (the "Task Force"), whose membership includes representatives of the Department of Corrections, Unity Health Care, the Department of Mental Health, and the Corrections Corporation of America.<sup>93</sup> The Task Force took steps to correct some of

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<sup>87</sup> D.C. Dep't of Corr., *Mental Health Services at DOC*, <http://doc.dc.gov/page/mental-health-services-doc>. Mental health services may also be provided by "community service agencies." *See id.*

<sup>88</sup> *See* Lindsay M. Hayes, *Report on Suicide Prevention Practices within the District of Columbia, Department of Corrections' Central Detention Facility* (Sept. 13, 2013) (hereinafter "Hayes Report").

<sup>89</sup> Aaron C. Davis, *Report: Rash of suicides at D.C. Jail points to deep problem with inmates' mental health care*, *The Washington Post*, Nov. 7, 2013, [http://www.washingtonpost.com/local/dc-politics/report-rash-of-suicides-at-dc-jail-points-to-deep-problem-with-inmates-mental-health-care/2013/11/07/caecf39c-47e5-11e3-b6f8-3782ff6cb769\\_story.html](http://www.washingtonpost.com/local/dc-politics/report-rash-of-suicides-at-dc-jail-points-to-deep-problem-with-inmates-mental-health-care/2013/11/07/caecf39c-47e5-11e3-b6f8-3782ff6cb769_story.html).

<sup>90</sup> *Id.*

<sup>91</sup> D.C. Contract, DCFL-2006-D-6001 & Modifications (Unity Health Care, Inc.), Contract §§ C.3.2.3, C.3.12; *id.* at Modification 8, §§ C.3.2.4, C.3.12.7.

<sup>92</sup> *See generally* Hayes Report.

<sup>93</sup> D.C. Dep't of Corr., Office of Health Administrations, *Suicide Prevention Task Force Report* (Oct. 14, 2013) (hereinafter "Task Force Report").



the issues noted in the Hayes Report, but,<sup>94</sup> after an initial report in October 2013, the Task Force has not published anything further in more than a year. Nevertheless, Director Faust characterized the Task Force as “very active” in a recent hearing before the Committee on the Judiciary and Public Safety.<sup>95</sup>

## 1. Hayes Report

The DCDOC engaged Lindsey Hayes, an expert in the area of suicide prevention in correctional facilities, to assess the policies and practices at the D.C. Jail and to issue a report summarizing his findings (the “Hayes Report”).<sup>96</sup> The Hayes Report found that, while the DCDOC and Unity Health Care had policies that “more than adequately cover the required components of a suicide prevention program . . . the suicide prevention *practices* for many of these required components [were] lacking in varying degrees and in need of immediate corrective action.”<sup>97</sup> The D.C. Jail was particularly lacking in the following areas:

- *Lack of Suicide-Resistant Cells.* Hayes found that the D.C. Jail included an inadequate number of suicide-resistant cells.<sup>98</sup> Such cells do not include protrusions that could serve as an anchoring device for a prisoner trying to commit suicide by hanging. At the time of the assessment, the D.C. Jail included only nine suicide-resistant cells, but “there were more than nine (9) inmates on observation status each day.”<sup>99</sup> As a result, several prisoners who were under observation for potentially suicidal behavior were housed in non-suicide resistant cells that contained “dangerous anchoring devices.”<sup>100</sup> In response, the Hayes Report “strongly recommended that DOC officials embark upon an inspection program to ensure that prisoners on suicide precautions are housed in ‘suicide-resistant’ cells.”<sup>101</sup>
- *Punitive Conditions.* Hayes found that the “precautions” taken with respect to prisoners who were possibly suicidal were “overly restrictive and seemingly punitive.”<sup>102</sup> When prisoners were designated for “behavioral observation,” they

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<sup>94</sup> *See id.*

<sup>95</sup> D.C. City Council, *Public Hearing, Committee on the Judiciary* (Feb. 19, 2015), [http://208.58.1.36:8080/DCC/February2015/02\\_19\\_15\\_Judici.mp4](http://208.58.1.36:8080/DCC/February2015/02_19_15_Judici.mp4) (video) (testimony of Director Faust).

<sup>96</sup> *See generally* Hayes Report.

<sup>97</sup> *Id.* at 6.

<sup>98</sup> *See id.* at 23.

<sup>99</sup> *Id.*

<sup>100</sup> *Id.*

<sup>101</sup> *Id.* at 26.

<sup>102</sup> *Id.* at 24.

were isolated and “stripped of all clothing and possessions, and given only a paper gown without undergarments.”<sup>103</sup> While under behavioral observation, prisoners were permitted to leave their cells only for showers and legal visits, and were prohibited from using the telephone or having family visits.<sup>104</sup> Hayes noted that many of these measures were counterproductive: “Confining a suicidal inmate to their cell for 24 hours a day only enhances isolation and is anti-therapeutic.”<sup>105</sup> The “seemingly punitive” conditions also decreased the chances that prisoners would honestly report suicidal ideations.<sup>106</sup> According to Hayes, it was “obvious” that the punitive measures were premised on “a misguided belief that most inmates who threaten suicide and/or engage in self-injurious behavior are simply manipulative” and that the overly “restrictive and punitive aspects of Behavioral Observation [are meant] to deter such behavior.”<sup>107</sup>

- *Insufficient Supervision of Potentially Suicidal Prisoners.* Hayes was most critical of the DCDOC’s use of a monitoring protocol called “behavioral observation” for suicidal prisoners instead of “Suicide Watch” or “Suicide Prevention.” Hayes found it “incredibl[e]” that, “*there were not any inmates on either Suicide Watch or Suicide Prevention status during the three-day on-site assessment.*”<sup>108</sup> This is significant because while prisoners who are on Suicide Watch or Suicide Prevention would be monitored continuously or once every fifteen minutes, respectively, prisoners placed on “behavioral observation” were monitored only once every *30 to 60 minutes*.<sup>109</sup> Hayes even suggested that this irregular monitoring of suicidal prisoners showed “complete unconcern for inmate safety,”<sup>110</sup> and that it was “obvious” that behavioral observation was being used to by-pass more regular monitoring.<sup>111</sup> The Hayes Report cites one case of a prisoner who had attempted suicide on several occasions in the previous two months but was nevertheless placed on behavioral observation where he might be monitored only once every sixty minutes.<sup>112</sup>
- *Inadequate Training.* Hayes found that “correctional officers that are assigned to the mental health unit do *not* receive any specialized mental health and/or

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<sup>103</sup> *Id.* at 23.

<sup>104</sup> *Id.* at 24.

<sup>105</sup> *Id.*

<sup>106</sup> *Id.*

<sup>107</sup> *Id.* at 33.

<sup>108</sup> *Id.* at 31.

<sup>109</sup> *Id.* at 30, 32.

<sup>110</sup> *Id.* at 33.

<sup>111</sup> *Id.*

<sup>112</sup> *Id.* at 34 - 35.

suicide prevention training.”<sup>113</sup> The suicide prevention training program for all employees was “a 39-slide PowerPoint presentation . . . encompassing only one hour of instruction.”<sup>114</sup> Overall, the report concluded that “the number of hours devoted to both pre-service and annual suicide prevention training for correctional, medical, and mental health staff is inadequate, and the content of the training curricula is in need of improvement.”<sup>115</sup>

## 2. Suicide Prevention Task Force

In response to the increase in prisoner suicides, the DCDOC formed the Suicide Prevention Task Force to review “custodial practices related to medical and mental health issues in DOC facilities.”<sup>116</sup> On October 14, 2013, the Task Force published a report summarizing a recent meeting and other steps taken by the Task Force to date.<sup>117</sup> In the report, the Task Force noted that, as of the date of the report, the suicide rate for the DCDOC as a whole, when accounting for the average daily population of its facilities, was “more than 3 times the average” of local jails nationwide.<sup>118</sup>

The report also described positive steps that the Task Force had taken to address some of the concerns outlined in the Hayes Report. For example, the following Task Force recommendations were implemented:

- Increase the frequency of “Segregation and Intake unit checks” to at least once every fifteen minutes.<sup>119</sup>
- Ensure that “NO ONE is placed in a single cell unless there’s an overwhelmingly compelling reason to do so.”<sup>120</sup>
- Include a dedicated booking supervisor at intake to “monitor all high-risk inmates . . . to determine if an expedited referral to a mental health clinician is warranted.”<sup>121</sup>
- Implement a new “Razor plan” that prohibits prisoners from accessing razors.<sup>122</sup>

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<sup>113</sup> *Id.* at 9.

<sup>114</sup> *Id.*

<sup>115</sup> *Id.* at 10.

<sup>116</sup> *See* Task Force Report, 2.

<sup>117</sup> *See generally id.*

<sup>118</sup> *Id.* at 4.

<sup>119</sup> *Id.* at 6.

<sup>120</sup> *Id.*

<sup>121</sup> *Id.* at 5.

<sup>122</sup> *Id.* at 6.

- Institute a more robust four-hour suicide prevention training curriculum.<sup>123</sup>

In its report, the Task Force also described a set of “long-term suicide prevention strategies undergoing consideration.”<sup>124</sup> For example, in order to improve suicide-resistance in prisoner cells, the “DOC’s Facilities team” worked on and had “nearly completed a ‘suicide-resistant’ cell prototype.”<sup>125</sup>

Although the Task Force report signaled some improvements in the DCDOC’s suicide prevention program, more work needed to be done beyond what was described in the October 2013 report. The DCDOC has reported that, as of May 2015, it has taken the following additional steps to improve its suicide prevention practices.

- The DCDOC reports that, as of October 2014, “all 40 designated cells have been completed and retrofitted as follows: to decrease ligature points, handles have been removed from toilets and desks, vents are covered with anti-ligature grade mesh, pick-proof, penal-grade caulking has been used in the cells, and doors have been replaced to allow for 2 clear panels that provide enhanced vision into and out of the cells.”<sup>126</sup>
- As of May 2015, the DCDOC “provides suicide-resistant smocks and blankets.”<sup>127</sup> In addition, “more time out of cells and the provision of more family visits and telephone access is under review.”<sup>128</sup>
- The DCDOC reports that it “immediately discontinued “Behavior Management” status...upon receipt of the Hayes Report.” As of May 2015, the DCDOC reports that there are “two categories of observation: Suicide Watch for inmates thought to be actively suicidal and Suicide Precaution for inmates who are at risk of suicide, but less acutely compared to inmates on Suicide Watch.”<sup>129</sup> The DCDOC states that “Inmates on Suicide Watch are placed in a safe cell on 3rd floor medical and are provided one-to-one constant monitoring by a healthcare professional,” whereas “Inmates on Suicide Precaution are monitored by officers every fifteen (15) minutes in staggered intervals.”<sup>130</sup>

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<sup>123</sup> *Id.*

<sup>124</sup> *Id.* at 7.

<sup>125</sup> *Id.* at 8.

<sup>126</sup> DCDOC Response.

<sup>127</sup> *Id.*

<sup>128</sup> *Id.*

<sup>129</sup> *Id.*

<sup>130</sup> *Id.*

- The DCDOC also reports that “Suicide Prevention training has been significantly enhanced.”<sup>131</sup> As of May 2015, “[a]ll correctional officers, other DOC staff and healthcare vendor staff must undergo four (4) hours annually of Suicide Prevention training.”<sup>132</sup> The DCDOC also stated that it “brought in national expert Dr. Dean Aufderheide to conduct a full day of training on self-injurious behavior issues which involved inmates with mental health issues as well as those exhibiting ‘bad behavior.’”<sup>133</sup> That training was attended by “[c]orrectional officers on the mental health and segregation units, as well as mental health staff.”<sup>134</sup>

The DCDOC reports that the recommendations of the Hayes Report “have been implemented.” However, the WLC was unable to verify that this is the case. Based on the information provided, none of these improvements make clear how prisoners who were isolated for exhibiting suicidal behavior would be treated in a manner that is less restrictive or punitive than before. Hayes had noted that these seemingly punitive conditions were based on false assumptions and that they were actually counterproductive. Moreover, it is not clear that the 40 designated safe cells are being made available to inmates in all cases where there may be a need. For example, although a new inmate processing center currently under construction at the D.C. Jail contains a suicide-resistant cell, or safe cell, the existing inmate processing center (a converted housing unit) does not. It also is not clear whether a best-practices standard has been applied to confirm that safe cells are in fact adequately suicide-resistant. On our tour, a cell at the D.C. Jail deemed to be a safe cell appeared to be little different from a regular cell and included potentially dangerous fixtures, such as bunk beds.

Even though the D.C. Jail’s recent District inmate population is well below operating capacity, the DCDOC has a practice of double-celling, or holding two people in a single cell, for the general population. This practice was described as a suicide prevention measure. Individuals in the mental health unit, however, are generally housed one to a cell.

### **C. Issues Confronting Youth**

Significant problems with the conditions of confinement for youth who are housed at the CTF were identified in a 2013 report prepared by a criminal justice consulting firm (the “Ridley

**“Juveniles at CTF have needs far greater than the services currently provided.”**

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<sup>131</sup> *Id.*

<sup>132</sup> *Id.*

<sup>133</sup> *Id.*

<sup>134</sup> *Id.*

Report”).<sup>135</sup> The DCDOC operates the Incarcerated Juvenile Program at CTF, which includes youth who “have been charged in the court system as adults and are awaiting trial or currently serving their sentence.”<sup>136</sup> According to the Ridley Report, approximately one girl and seventy boys are processed through CTF each year, and approximately 26% of these youth have mental health issues.<sup>137</sup> At the time of the onsite assessments by the Ridley Group, the CTF was housing twenty-five youth, all but one of whom were boys.

The Ridley Report found that “juveniles at CTF have needs far greater than the services currently provided.”<sup>138</sup> The report highlights the inadequacies of the facilities at the CTF, the excessive imposition of segregation and isolation, and the insufficient programming for juveniles. The report also notes that boys may only visit with family members through video visitation, which can interfere with maintaining critical family bonds. As the following analysis suggests, many of these problems are exacerbated by the fact that the DCDOC is housing an average of twenty-five youth at a facility that also houses hundreds of adults.<sup>139</sup>

## 1. Inadequate Facility

The Ridley Report concluded that the youth’s “Unit Space is inadequate for the population served.”<sup>140</sup> Units for youth should include “sufficient space for adequate physical exercise; provision of regular, special, and vocational education; and therapeutic programming.”<sup>141</sup> However, the Ridley Report found that at the CTF, the on-site “school is cramped and the unit does not have dedicated programming or recreation space.”<sup>142</sup> Some of the issues appear to arise from the fact that the small number of youth must be separated from the adult prisoner

**The on-site “school is cramped and the unit does not have dedicated programming or recreation space.”**

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<sup>135</sup> Walter B. Ridley, Francis Mendez, and Ghia Ridley Pearson, *The District of Columbia Department of Corrections Correctional Treatment Facility Juvenile Unit Assessment* (2013). Mr. Ridley is a former Director of the DCDOC.

<sup>136</sup> *Id.* at 6.

<sup>137</sup> *Id.*

<sup>138</sup> *Id.* at 47.

<sup>139</sup> D.C. Dep’t of Corr., *DOC Official Population Counts by Facility*, <http://doc.dc.gov/node/307122>; D.C. Dep’t of Corr., *Quarterly Population Statistics October 2014*, <http://doc.dc.gov/node/344902>.

<sup>140</sup> Walter B. Ridley, Francis Mendez, and Ghia Ridley Pearson, *The District of Columbia Department of Corrections Correctional Treatment Facility Juvenile Unit Assessment* (2013), 9.

<sup>141</sup> *Id.* at 13.

<sup>142</sup> *Id.* at 9.

population by both sight and sound. For example, the juveniles are permitted to use the gym and outdoor recreation facilities only when the adults are not using those facilities. The Ridley Report recommended that the DCDOC “explore whether there are unused spaces in the complex that can be used for recreation activities and or to move the unit to a larger space.”<sup>143</sup>

## 2. Use of Segregation

The Ridley Report, which was released in 2013, suggests that the use of isolation and segregation for youth at CTF is excessive. Generally, youth in D.C. are not supposed to be subject to isolation for more than five days, and a hearing of the housing board is required to extend the isolation of a juvenile beyond five days.<sup>144</sup> However, the Ridley Group found that in some cases “segregation had been extended multiple times,” causing the “juveniles to remain in segregation for longer periods of time.”<sup>145</sup> Indeed, “a few juveniles reported being placed in segregation for 2 months with 1 hour of recreation daily.”<sup>146</sup> In response, the Ridley Report recommended that DOC adopt a

[W]ritten policy which reflects clearly the process and procedure which ensures (a) juveniles are returned to the general population within the mandated five days of segregation/the duration of the segregation is as short as possible to address the risk to the other juveniles or (b) clear guidelines are defined and followed for segregation extensions.<sup>147</sup>

In addition, the report recommended that “segregation should be revoked as soon as it is considered no longer reasonable and necessary for the purpose for which it was implemented.”<sup>148</sup> In March 2014, Daniel Okonkwo, the Executive Director of D.C. Lawyers for Youth testified before the D.C. City Council Committee on Public Safety and the Judiciary, asserting that, as of that time, the DCDOC had “still not established and published” a written policy “that defines the procedure and requirements for imposing solitary confinement.”<sup>149</sup> As a result, it appeared “that there [still] is no upper limit to how long a youth can be held in solitary.”<sup>150</sup>

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<sup>143</sup> *Id.* at 13.

<sup>144</sup> *Id.* at 36.

<sup>145</sup> *Id.* at 37.

<sup>146</sup> *Id.* at 15

<sup>147</sup> *Id.* at 37.

<sup>148</sup> *Id.*

<sup>149</sup> Testimony of R. Daniel Okonkwo, Executive Director, Committee on Public Safety & the Judiciary, Performance Oversight Hearing on the Department of Corrections (Mar. 19, 2014), [http://www.dcly.org/cpsj\\_doc\\_2014#\\_ftn2](http://www.dcly.org/cpsj_doc_2014#_ftn2).

<sup>150</sup> *Id.*

Excessive use of solitary confinement is troubling because of the effect that it can have on juveniles' development. A joint report by the American Civil Liberties Union and Human Rights Watch found that "solitary confinement of young people often seriously harms their mental and physical health, as well as their development," and called for the practice to be abolished.<sup>151</sup> Clinical studies of the use of solitary confinement have "shown that adult prisoners generally exhibit a variety of negative physiological and psychological reactions to conditions of solitary confinement,"<sup>152</sup> and the "American Academy of Child and Adolescent Psychiatry has concluded that, due to their 'developmental vulnerability,' adolescents are in particular danger of adverse reactions to prolonged isolation and solitary confinement."<sup>153</sup>

**"Solitary confinement of young people often seriously harms their mental and physical health, as well as their development"**

While the inadequate facilities and excessive use of isolation are significant problems in their own right, the Ridley Report suggested that they may be linked. Inadequate space might require corrections staff to use isolation and segregation on youth more frequently than they would if they were housed in a more appropriate facility: "Based on the fact that all juveniles are housed on one unit, at CTF, it is difficult to separate them without the use of segregation."<sup>154</sup>

The DCDOC has provided information on the use of administrative segregation subsequent to the release of the Ridley Report. The following chart provided by DCDOC shows the number of juveniles who were segregated over the past year (either because they were "awaiting a disciplinary hearing or placed in administrative segregation"):

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<sup>151</sup> Human Rights Watch and American Civil Liberties Union, *Growing Up Locked Down: Youth in Solitary Confinement in Jails and Prisons Across the United States*, <https://www.aclu.org/files/assets/us1012webwcover.pdf>.

<sup>152</sup> American Civil Liberties Union, *Alone and Afraid*, <https://www.aclu.org/files/assets/Alone%20and%20Afraid%20COMPLETE%20FINAL.pdf>.

<sup>153</sup> *Id.* (internal citations omitted).

<sup>154</sup> Ridley Report, 37.



Month	Number of juveniles
May 2014	Six (6)
June 2014	Zero (0)
July 2014	Three (3)
August 2014	Three (3)
September 2014	Two (2)
October 2014	Six (6)
November 2014	Two (2)
December 2014	Zero (0)
January 2015	Zero (0)
February 2015	Zero (0)
March 2015	Five (5)
April 2015	Two (2)
May 2015	Zero (0)

Further, the DCDOC provided the following information about how, as of May 2015, it uses isolation and segregation with juveniles.

- Juveniles in administrative segregation are “housed in a separate cell on the lower tier within the juvenile unit.”<sup>155</sup>
- According to the DCDOC, those juveniles are able to “attend school through DCPS and have access to legal services, programming, counseling services, and meaningful contact with the other juvenile inmates.”<sup>156</sup>
- In addition, “[i]f a juvenile is placed in administrative segregation . . . officers are required to do a visual security check of the juvenile every fifteen (15) minutes,” and the “juvenile receives individual recreation for two (2) hours per day.”<sup>157</sup>

As of May 2015, the DCDOC reports that, over the past year, “the average stay in segregation” is “approximately two (2) days.”<sup>158</sup> The DCDOC states that “[j]uveniles will not be placed in segregation for longer than five (5) days unless extenuating circumstances exist.”<sup>159</sup> It is unclear what these extenuating circumstances are or how frequently they are deemed to exist.

### 3. Insufficient Programming and Staffing

Insufficient programming for youth is a significant problem. According to the Ridley Report, “programming at the Juvenile Unit is insufficient and needs to be

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<sup>155</sup> *Id.*

<sup>156</sup> *Id.*

<sup>157</sup> *Id.*

<sup>158</sup> *Id.*

<sup>159</sup> *Id.*

expanded.”<sup>160</sup> The lack of programming left youth “without structured activity for the majority of the weekend.” Feedback from youth cited in the Ridley Report indicated that there “is too much down time with nothing structured to do.”<sup>161</sup> In addition, youth who completed their GED or high school diploma did not have any academic programming provided and were instead “assigned to detail duty for extended periods of time.”<sup>162</sup> With regard to re-entry programming, the Ridley Report recommended that CTF “incorporate evidence-based reentry planning as early as possible for all juveniles from the moment they are admitted.”<sup>163</sup> Such programming can be critical for helping to ensure that problems do not carry over into adulthood and that the youth are best equipped to succeed when they re-enter their communities. While the Ridley Group acknowledged that the DCDOC had “significantly expanded programming” in recent months, it stated that “there is still an opportunity to increase programming even further.”<sup>164</sup> According to the DCDOC, it has further expanded the juvenile program since the Ridley Report.<sup>165</sup> For example, the DCDOC expanded an after-school program so that it now operates Monday through Friday.<sup>166</sup>

The Ridley Report also raised concerns with the staffing of the juvenile unit for youth prisoners, finding that many of the corrections officers lacked proper training. In response, the Ridley Group recommended that “Personnel on all shifts should be trained to work with juveniles.”<sup>167</sup> As a recent report by D.C. Lawyers for Youth and Youth Justice acknowledged, staffing issues were at least partially attributable to the fact that a small number of boys and girls are housed at an otherwise adult facility: “Given that youth are such a small fraction of CTF’s population, it is perhaps unsurprising that CTF does not have a separate position description for hiring corrections officers to the Juvenile Unit and that the Unit sometimes utilizes relief staff who normally work with adults.”<sup>168</sup> The DCDOC reports that, “[a]s of September 2014, all correctional officer recruits are trained in Positive Youth Development (PYD), as well as the operational and disciplinary procedures of the Juvenile Unit.”<sup>169</sup> The DCDOC states that its PYD training “emphasizes building skills and assets in youth in addition to preventing

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<sup>160</sup> Ridley Report, 11.

<sup>161</sup> *Id.*

<sup>162</sup> *Id.* at 12.

<sup>163</sup> *Id.* at 21.

<sup>164</sup> *Id.* at 11.

<sup>165</sup> DCDOC Response.

<sup>166</sup> *Id.*

<sup>167</sup> Ridley Report, 14.

<sup>168</sup> Campaign for Youth Justice and D.C. Lawyers for Youth, *Capital City Correction: Reforming D.C.’s Use of Adult Incarceration Against Youth*, 18, [http://www.campaignforyouthjustice.org/images/pdf/Capital\\_City\\_Correction.pdf](http://www.campaignforyouthjustice.org/images/pdf/Capital_City_Correction.pdf).

<sup>169</sup> DCDOC Response.

negative outcomes.”<sup>170</sup> For existing correctional staff, this PYD training will be “phased into” annual training.<sup>171</sup>

#### 4. Shortcomings of Video Visitation

**Not surprisingly, boys said that the video visitations “make it hard for [them] to communicate with their family members.”**

Contrary to the American Bar Association’s Standards for Treatment of Prisoners, youth housed at the CTF are generally restricted to video visitation in lieu of in-person visitation. Although there may be benefits to the selective implementation of video visitation, the ABA has taken the position that video

visitation should not be used as a replacement for in-person visitation.<sup>172</sup> However, it appears that, for youth at the CTF, video visitation *has* replaced in-person visitation, even though CTF offers in-person visits to *adults*. The use of video visitation is particularly problematic for youth because “[a] key part of working with juveniles is being able to engage the families and help to strengthen the relationship between the juveniles and their parent/guardian.”<sup>173</sup> Moreover, according to a report by the Campaign for Youth Justice and D.C. Lawyers for Youth, the “visitation monitors are located in a common space, so youth have no privacy while speaking with their family members.”<sup>174</sup> Not surprisingly, boys said that the video visitations “make it hard for [them] to communicate with their family members.”<sup>175</sup>

In its Standards for Treatment of Prisoners, the American Bar Association states that video visitation should not be used as a replacement for in-person visitation.<sup>176</sup> And while video visitation is sometimes cited as a means to reduce the introduction of contraband into a prison, a recent study in Texas found that, after a county replaced in-

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<sup>170</sup> *Id.*

<sup>171</sup> *Id.*

<sup>172</sup> *ABA Standards for Criminal Justice, Treatment of Prisoners*, [http://www.americanbar.org/content/dam/aba/publications/criminal\\_justice\\_standards/Treatment\\_of\\_Prisoners.authcheckdam.pdf](http://www.americanbar.org/content/dam/aba/publications/criminal_justice_standards/Treatment_of_Prisoners.authcheckdam.pdf).

<sup>173</sup> Ridley Report, 45.

<sup>174</sup> Campaign for Youth Justice and D.C. Lawyers for Youth, *Capital City Correction: Reforming D.C.’s Use of Adult Incarceration Against Youth*, 18, [http://www.campaignforyouthjustice.org/images/pdf/Capital\\_City\\_Correction.pdf](http://www.campaignforyouthjustice.org/images/pdf/Capital_City_Correction.pdf).

<sup>175</sup> Ridley Report, 15.

<sup>176</sup> *ABA Standards for Criminal Justice, Treatment of Prisoners*, [http://www.americanbar.org/content/dam/aba/publications/criminal\\_justice\\_standards/Treatment\\_of\\_Prisoners.authcheckdam.pdf](http://www.americanbar.org/content/dam/aba/publications/criminal_justice_standards/Treatment_of_Prisoners.authcheckdam.pdf).

person visitation with video visitation, there was an increase in contraband and disciplinary infractions.<sup>177</sup>

The DCDOC began providing some limited in-person visitation for minors in March 2014, but it appears that this has been made available to fewer than 50% of youths.<sup>178</sup> While applauding this development, the Committee on the Judiciary and Public Safety has requested “that the Department consider providing in-person visits to all juveniles, given the unique nature of their confinement.”<sup>179</sup> The DCDOC reports that, as of May 2015, “juveniles who have reached the Gold Tier in the Juvenile Unit are given contact visitation with their parent or guardian once per month.”<sup>180</sup> Whether a youth is eligible for Gold Tier is “based on several factors such as behavior, program

**The DCDOC reports that, as of May 2015, “juveniles who have reached the Gold Tier in the Juvenile Unit are given contact visitation with their parent or guardian once per month.”**

participation, and educational factors.”<sup>181</sup> As of May 5, 2015, the DCDOC reported that eight juveniles had attained “Gold Tier” status, and that two more were expected by May 7, 2015.<sup>182</sup> In addition, youth inmates “are given contact visits during the holiday season in December.”<sup>183</sup>

The use of video visitation is not confined to youth at the CTF. Since 2012, in-person visitation for prisoners at the D.C. jail has been limited to visits with lawyers or with clergy, or with others in exceptional circumstances. By all indications, the availability of video visitation is not, in itself, a concern. Indeed, it appears to provide additional opportunities for adult prisoners at the D.C. Jail to communicate with family and friends. However, video visitation should not come at the cost of heavy restrictions on in-person visitation.

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<sup>177</sup> Texas Criminal Justice Coalition & Grassroots Leadership, *Video Visitation: How Private Companies Push for Visits by Video and Families Pay the Price*, <http://grassrootsleadership.org/sites/default/files/uploads/Video%20Visitation%20%28web%29.pdf>.

<sup>178</sup> Report and Recommendations of the Committee on the Judiciary and Public Safety on the Fiscal Year 2015 Budget for Agencies Under Its Purview, May 14, 2014, [http://dccouncil.us/files/performance\\_oversight/Committee\\_on\\_the\\_Judiciary\\_and\\_Public\\_Safety.pdf](http://dccouncil.us/files/performance_oversight/Committee_on_the_Judiciary_and_Public_Safety.pdf).

<sup>179</sup> *Id.*

<sup>180</sup> DCDOC Response.

<sup>181</sup> *Id.*

<sup>182</sup> *Id.*

<sup>183</sup> *Id.*

### III. COSTS OF CONFINEMENT & CONTRACTED SERVICES

Over the past decade, the DCDOC's budget has averaged \$141.7 million. As discussed in more detail in the Appendix, a significant percentage of the Department of Corrections budget is devoted to payment for contractual services, including the DCDOC's contracts with CCA, with the private halfway houses, and for prisoner health care.

#### A. The CTF & Corrections Corporation of America

Since January 30, 1997, the District has been paying CCA both a management fee to operate the CTF and a lease payment for the CTF facility. The management fee has varied over time pursuant to a twenty-year contract, which is set to expire in 2017, but appears to have been \$14.4 million for 2014.<sup>184</sup> When the District entered into the operations contract with CCA, the District and CCA also entered into an agreement to sell the CTF facility to CCA for \$52 million.<sup>185</sup> The CCA was required to make initial improvements to the facility and, over the duration of the operations contract, the District agreed to pay \$2.8 million each year (and a lesser amount in the final year of the contract, 2017) in lease payments in addition to paying any real estate taxes imposed on CCA as a result of ownership of the CTF.<sup>186</sup> At the end of the operations contract, ownership of the CTF will revert to the District.<sup>187</sup>

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<sup>184</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America (effective Jan. 30, 1997, as modified). We were able to review a copy of the operations contract and fourteen modifications, as well as the lease agreement. We were initially unable to obtain other contract documents such as the lease agreement or any modifications after modification number nine. The DCDOC referred our request for contract documents to the Office of Contracting and Procurement (OCP), which initially failed to provide any documents. Under the District's freedom of information law, we appealed that non-response to the Mayor's office. After over nine weeks and a series of follow-up communications with the Mayor's General Counsel Office, and the Mayor's Office of Legal Counsel, OCP ultimately provided documents. It should not be so difficult to obtain public documents.

<sup>185</sup> Lease Agreement by and between Corrections Corporation of America as Lessor and The District of Columbia as Lessee; Stephanie Mencimer, *Let's Make a Deal*, Wash. City Paper (May 9, 1997), <http://www.washingtoncitypaper.com/articles/12631/lets-make-a-deal>.

<sup>186</sup> *See id.*

<sup>187</sup> *See id.*; Corrections Corporation of America, *2009 Letter to Shareholders*.

## 1. The Contract

The term of CCA's contract with the District is significantly longer than what CCA describes as its typical contract duration, which is typically for a term of "up to five years," with additional renewals at the option of the government.<sup>188</sup>

The District pays CCA in a number of ways. As drafted, CCA's contract provided that the District's primary expense associated with CCA operation of the CTF is based on a per-prisoner daily rate that is increased by 3% annually. In 1997, the first year of the contract, the District was required to pay CCA \$70.40 per prisoner per day.<sup>189</sup> Due to contract modifications, the base rate (before annual increases are figured in) has been adjusted several times. For example, during a period in 2003, the per diem rate was dropped as low as \$51.40 as part of a settlement of claims the District "may have had against [CCA] related to the Management Contract and [CCA's] alleged non-compliance, known and documented by the Department of Corrections (DOC) as of January 1, 2003."<sup>190</sup> Assuming standard annual increases since the last contractual modification of the per diem, the per diem rate the District is obligated to pay in 2015 is \$85.95 per prisoner per day.<sup>191</sup> Based on a calculated 2014 per diem rate of \$83.45, and an average adult prisoner population at the CTF during 2014 of 473, the 2014 management fee would have been roughly \$14.4 million.

By way of comparison, CCA reported that, nationally, its revenue per "compensated man-day" in calendar year 2014 was \$63.54, an increase over the 2013 figure of \$60.57.<sup>192</sup> Assuming that CCA's SEC filings reported an average rate, a degree of variation between the per diem rates of various jurisdictions due to different economic conditions and different regulatory regimes is to be expected.

Whether such variation fully explains the rates in the District's contract, which were approximately 31% higher in 2014 than the average, is unclear.<sup>193</sup> However, labor costs may play a role in explaining the variation. CCA often markets its services as

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<sup>188</sup> Corrections Corporation of America, *Annual Report (Form 10-K)*, 28, <http://www.sec.gov/Archives/edgar/data/1070985/000119312514072723/d664216d10k.htm>.

<sup>189</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, ¶ 7.1.

<sup>190</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, Modification 4.

<sup>191</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, ¶ 7.1.2; Modification 6 (setting a per diem rate of \$62.09 as of February 1, 2004, to be adjusted annually thereafter).

<sup>192</sup> Corrections Corporation of America, *Annual Report (Form 10-K)*, 56 (Feb. 25, 2015) <http://www.sec.gov/Archives/edgar/data/1070985/000119312515061839/d853180d10k.htm>.

<sup>193</sup> This assumes a 2014 per diem rate of \$83.45, which was calculated based on annual increases since Modification 6 in 2004.

leading to cost-savings, in part based on savings in expenses for labor. In states where it can operate without unions, its employee pay floor may be set by the minimum wage. By contrast, the District's contract with CCA provides that CCA must recognize the right of employees to unionize<sup>194</sup> and District contracts are subject to the federal Service Contract Act, which sets wage floors for various occupation codes (e.g., "Accounting Clerk II," "Pharmacy Technician," etc.) that, depending on the type of service, may be significantly higher than the federal minimum wage.<sup>195</sup> According to the most recent U.S. Department of Labor wage determination, the hourly wage for the occupation of "Corrections Officer" is \$22.80, not including fringe benefits.<sup>196</sup> By way of comparison, the District's minimum wage as of the date of this report was \$9.50 per hour, and the "Living Wage" for 2015 that the District requires its major contractors to pay is \$13.80 per hour.<sup>197</sup>

Nonetheless, one of the DCDOC's plans for FY13 was to seek to re-negotiate the CTF per-diem rates, though this initiative was "discontinued due to a change in priorities" and has not been proposed in the department's FY14 or FY15 plans.<sup>198</sup>

In addition to regular payments based on the per diem rate, the District has paid CCA lump sum amounts on multiple occasions over the years. For example, a September 2005 contract modification included an additional payment of \$960,000 in connection with a dispute between the District and CCA over a Department of Labor wage determination applicable to correctional officers, and \$26 million in FY06 for prisoner bed space and a lease payment.<sup>199</sup>

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<sup>194</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, ¶5.2.4

<sup>195</sup> See 41 U.S.C. §§ 6702-6703.

<sup>196</sup> U.S. Dep't of Labor, *WD 05-2103 (Rev. -14)*, <http://www.wdol.gov/wdol/scafiles/std/05-2103.txt>.

<sup>197</sup> See D.C. Dep't of Employment Servs., *Wage and Hour Compliance*, <http://does.dc.gov/service/wage-and-hour-compliance>; D.C. Dep't of Employment Servs., *Living Wage Act of 2006 Fact Sheet*, [http://does.dc.gov/sites/default/files/dc/sites/does/page\\_content/attachments/Living%20Wa ge%20Act%20Fact%20Sheet%202015.pdf](http://does.dc.gov/sites/default/files/dc/sites/does/page_content/attachments/Living%20Wa ge%20Act%20Fact%20Sheet%202015.pdf).

<sup>198</sup> See D.C. Dep't of Corr., *FY 2013 Performance Accountability Report*, [http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC\\_FY13PAR.pdf](http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC_FY13PAR.pdf); D.C. Dep't of Corr., *FY 2014 Performance Plan*, <http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC14.pdf>; D.C. Dep't of Corr., *FY 2015 Performance Plan*, <http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC15.pdf>.

<sup>199</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, Modifications 7-8.

For calendar year 2014, CCA reported that its operating margin was 29.7%, slightly up from the 29.4% margin it achieved in 2013.<sup>200</sup>

One cost to the District is for a building and equipment lease between the District and CCA for the CTF. CCA reports that it expects to receive a minimum of \$2.8 million each year in rental income for 2014-2016 and an additional \$694,000 in 2017, the last year of its current contract.<sup>201</sup>

CCA has been involved in lawsuits and investigations over the years, including an agreement to pay the State of Idaho \$1 million as a result of “contractual disputes related to staffing at the Idaho Correction Center.”<sup>202</sup> CCA is also being investigated by the Federal Bureau of Investigation (FBI) in connection with its actions at the Idaho facility, which has reportedly been referred to by prisoners as “Gladiator School.”<sup>203</sup> The FBI is investigating whether CCA violated federal law prohibiting fraud following CCA’s apparent acknowledgment that it violated its contract with the state by understaffing the facility “by thousands of hours” and that CCA’s employees “falsified reports to cover up the vacancies.”<sup>204</sup>

CCA has acknowledged that, “[t]he operation of correctional and detention facilities by private entities has not achieved complete acceptance by either governments or the public,” that “[t]he movement toward privatization of correctional and detention facilities has also encountered resistance from certain groups,” and that “negative publicity about an escape, riot or other disturbance or perceived poor operational performance, contract compliance, or other conditions at a privately managed facility may result in adverse publicity to [CCA] and the private corrections industry in general,” any of which may “make it more difficult for [CCA] to renew or maintain existing contracts or to obtain new contracts.”<sup>205</sup>

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<sup>200</sup> Corrections Corporation of America, *Annual Report (Form 10-K)*, 56, <http://www.sec.gov/Archives/edgar/data/1070985/000119312515061839/d853180d10k.htm>.

<sup>201</sup> Corrections Corporation of America, *Annual Report (Form 10-K)*, F-20, <http://www.sec.gov/Archives/edgar/data/1070985/000119312514072723/d664216d10k.htm>

<sup>202</sup> Corrections Corporation of America, *Annual Report (Form 10-Q)*, 18, <http://www.sec.gov/Archives/edgar/data/1070985/000119312514300102/d755063d10q.htm>,

<sup>203</sup> See *id.*; Rebecca Boone, *APNewsBreak: FBI investigates prison company*, Associated Press, March 7, 2014, <http://bigstory.ap.org/article/apnewsbreak-fbi-investigates-prison-company-cca>.

<sup>204</sup> Rebecca Boone, *APNewsBreak: FBI investigates prison company*, Associated Press, March 7, 2014, <http://bigstory.ap.org/article/apnewsbreak-fbi-investigates-prison-company-cca>.

<sup>205</sup> Corrections Corporation of America, *Annual Report (Form 10-K)*, 27-28, <http://www.sec.gov/Archives/edgar/data/1070985/000119312514072723/d664216d10k.htm>.



## 2. Profile of CCA and its relationship with the District

CCA, founded in 1983, is a publicly traded real estate investment trust, and is the oldest and largest private prison company in America.<sup>206</sup> Because CCA's contract is set to expire in 2017, it is appropriate to consider events involving CCA that have occurred since 1997, when the contract was signed. The DCDOC itself apparently planned to conduct a cost/benefit analysis of continuing a privatized model for the CTF or returning management to the District in FY12 and FY13, but this initiative was at first delayed due to "an incredible backlog of critical DOC procurements at [the Office of Contracting and Procurement]," and ultimately "discontinued due to a change in priorities."<sup>207</sup>

CCA "owns or controls 52 correctional and detention facilities and manages 13 additional facilities owned by [its] government partners, with a total design capacity of approximately 86,500 beds in 20 states and the District of Columbia."<sup>208</sup> In 2013, CCA reported revenue of approximately \$1.69 billion.<sup>209</sup>



Source: "See CCA's Nationwide System of Correctional Centers," CCA.com, <http://www.cca.com/locations>.

<sup>206</sup> See Matt Stroud, *The Private Prison Racket*, POLITICO Magazine, Feb. 24, 2014, <http://www.politico.com/magazine/story/2014/02/private-prison-racket-103893.html#.VCXP12ddV8E>.

<sup>207</sup> See D.C. Dep't of Corr., *FY 2013 Performance Accountability Report*, [http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC\\_FY13PAR.pdf](http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC_FY13PAR.pdf) ; D.C. Dep't of Corr., *FY 2012 Performance Accountability Report*, [http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC\\_FY12PAR.pdf](http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC_FY12PAR.pdf) ; D.C. Dep't of Corr. *FY 2012 Performance Plan*, <http://oca.dc.gov/sites/default/files/dc/sites/oca/publication/attachments/DOC12.pdf>.

<sup>208</sup> Corrections Corporation of America, *CCA Announces 2014 First Quarter Financial Results*, News Release, May 7, 2014, <http://ir.correctionscorp.com/phoenix.zhtml?c=117983&p=irol-newsArticle&ID=1928425&highlight=>.

<sup>209</sup> Corrections Corporation of America Revenue & Earnings Per Share (EPS), NASDAQ, <http://www.nasdaq.com/symbol/cxw/revenue-eps>.

In 1997, CCA contracted with the District to “operate, maintain and manage the CTF” for a term of 20 years.<sup>210</sup> In the event that CCA fails to comply with the provisions in its contract, federal or state and local requirements and laws, the District may terminate its contract with CCA after allowing a 30 day cure period.<sup>211</sup> However, “if any action, or failure to act, by [CCA] results in any risk to the safety or welfare of the prisoners assigned to the CTF, the staff of the CTF or the general public, the District may immediately initiate the action it deems appropriate to eliminate or reduce such risk, including assumption of the operation of the CTF.”<sup>212</sup>

**In 1997, CCA contracted with the District to “operate, maintain and manage the CTF” for a term of 20 years.**

CCA, along with other private prison companies, has been the subject of much criticism. Joshua Miller, a labor economist with the American Federation of State, County and Municipal Employees, asserted that the private corrections model was “structurally flawed” because “[t]he profit motive drastically changes the mission of corrections from public safety and rehabilitation to making a quick buck.”<sup>213</sup> Public criticisms of CCA generally fall into two categories: (1) Allegations that CCA provides substandard services resulting in harm to both prisoners and prisoners, and (2) Allegations that CCA supports policy measures that have the effect of keeping more people in prison and for longer periods of time.

### **a) Allegations of substandard services**

The first criticism stems, in part, from a number of instances where CCA employees reportedly exhibited misconduct. For example, in 2006, a former CCA corrections officer admitted to “putting human waste in an inmate’s drinking jug” after the company was sued by four prisoners alleging that they were forced to eat food contaminated with urine and feces.<sup>214</sup> Reportedly, “[o]ne of many instances of prisoner sexual abuse” occurred in a Texas CCA facility where a CCA employee “was found guilty of sexually abusing at least eight female immigrant detainees while

**In Idaho, the state’s largest prison was given the nickname “Gladiator School” because of its violent reputation.**

<sup>210</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America (1997).

<sup>211</sup> *Id.* at 9.1-9.3.

<sup>212</sup> *Id.* at 9.3

<sup>213</sup> Grassroots Leadership, *The Dirty Thirty: Nothing to Celebrate About 30 Years of Corrections Corporation of America*, 4, [http://grassrootsleadership.org/sites/default/files/uploads/GRL\\_Dirty\\_Thirty\\_formatted\\_for\\_web.pdf](http://grassrootsleadership.org/sites/default/files/uploads/GRL_Dirty_Thirty_formatted_for_web.pdf).

<sup>214</sup> Kelli Kennedy, *Human Waste Put in Food, Ex-inmates Claim*, Associated Press, Mar. 12, 2006, <http://www.highbeam.com/doc/1P1-119683145.html/>.

transporting them in a van alone.”<sup>215</sup> In 2007, a Justice Department survey of local jails showed that a CCA facility in New Mexico had “the highest rate of sexual victimization (13.4 percent), more than four times the national average,” and “the highest rate of staff-on-inmate sexual victimization – 7 percent, as compared with a national average of around 2 percent.”<sup>216</sup> Additionally, CCA facilities have seen numerous escapes, mistaken releases, and riots.<sup>217</sup> In Idaho, the state’s largest prison was given the nickname “Gladiator School” because of its violent reputation, allegedly caused by understaffing.<sup>218</sup> After multiple lawsuits and an Associated Press investigation revealing that records had been falsified in order to meet minimum staffing requirements, the Federal Bureau of Investigation announced that it was investigating CCA “and looking at whether various federal fraud statutes were violated and possibly other federal statutes connected with the fraud.”<sup>219</sup>

At the District’s CTF, there have been a series of instances where CCA corrections officers and guards allegedly accepted bribes to smuggle contraband (including cash, electronic items, cigarettes, and drugs) to prisoners. In 2002, four guards were indicted on charges that they smuggled drugs, pagers and cash to prisoners in exchange for bribes.<sup>220</sup> In 2010, another CCA correctional officer pled guilty to bribery for accepting

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<sup>215</sup> Grassroots Leadership, *The Dirty Thirty: Nothing to Celebrate About 30 Years of Corrections Corporation of America*, 5, [http://grassrootsleadership.org/sites/default/files/uploads/GRL\\_Dirty\\_Thirty\\_formatted\\_for\\_web.pdf](http://grassrootsleadership.org/sites/default/files/uploads/GRL_Dirty_Thirty_formatted_for_web.pdf).

<sup>216</sup> James Ridgeway, *Ex-Con Shareholder Goes After World’s Biggest Prison Corporation*, Mother Jones, May 10, 2012, <http://www.motherjones.com/politics/2012/05/ex-con-alex-friedmann-cca-private-prison-rape>.

<sup>217</sup> Grassroots Leadership, *The Dirty Thirty: Nothing to Celebrate About 30 Years of Corrections Corporation of America*, 5-9, [http://grassrootsleadership.org/sites/default/files/uploads/GRL\\_Dirty\\_Thirty\\_formatted\\_for\\_web.pdf](http://grassrootsleadership.org/sites/default/files/uploads/GRL_Dirty_Thirty_formatted_for_web.pdf).

<sup>218</sup> Rebecca Boone, *FBI investigates prison company*, Associated Press, Mar. 7, 2014, <http://www.nydailynews.com/news/national/fbi-takes-investigation-idaho-gladiator-school-article-1.1714357>.

<sup>219</sup> *Id.*

<sup>220</sup> Grassroots Leadership, *The Dirty Thirty: Nothing to Celebrate About 30 Years of Corrections Corporation of America*, 5, [http://grassrootsleadership.org/sites/default/files/uploads/GRL\\_Dirty\\_Thirty\\_formatted\\_for\\_web.pdf](http://grassrootsleadership.org/sites/default/files/uploads/GRL_Dirty_Thirty_formatted_for_web.pdf).

money to smuggle an iPod and cigarettes to prisoners.<sup>221</sup> More recently, in April 2014, two CCA corrections officers were arrested and charged on similar bribery charges.<sup>222</sup>

Also, in 2010, two former CTF prisoners sued the District of Columbia and CCA for civil rights violations alleging that CCA employees “preyed on them sexually and banished them to solitary lockdown when they complained.”<sup>223</sup> One of the female prisoners alleged that a CCA officer paid her “sugar daddy,” who was on the outside, and then demanded sex from the prisoner.

## **b) CCA lobbying efforts and political contributions**

In its Annual Report filed with the Securities and Exchange Commission, CCA includes the following which it classifies as a “forward-looking statement”:

*Our ability to secure new contracts to develop and manage correctional and detention facilities depends on many factors outside our control. Our growth is generally dependent upon our ability to obtain new contracts to develop and manage new correctional and detention facilities. This possible growth depends on a number of factors we cannot control, including crime rates and sentencing patterns in various jurisdictions, governmental budgetary constraints, and governmental and public acceptance of privatization. The demand for our facilities and services could be adversely affected by the relaxation of enforcement efforts, leniency in conviction or parole standards and sentencing practices or through the decriminalization of certain activities that are currently proscribed by criminal laws. For instance, any changes with respect to drugs and controlled substances or illegal immigration could affect the number of persons arrested, convicted, and sentenced, thereby potentially reducing demand for correctional facilities to house them. Immigration reform laws are currently a focus for legislators and politicians at the federal, state, and local level. Legislation has also been proposed in numerous jurisdictions that could lower minimum sentences for some non-violent crimes and make more inmates eligible for early release based on good behavior. Also, sentencing alternatives under consideration could*

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<sup>221</sup> Dep’t of Justice, U.S. Attorney’s Office District of Columbia, *Former Correctional Officer Sentenced in Bribery Case*, News Release, <http://www.justice.gov/usao/dc/news/2010/jul/10-171.pdf>.

<sup>222</sup> Federal Bureau of Investigation, *Corrections Officer Arrested on Federal Bribery Charge*, Press Release, <http://www.fbi.gov/washingtondc/press-releases/2014/corrections-officer-arrested-on-federal-bribery-charge>; Keith L. Alexander, *Second guard at D.C. jail charged with bribery in smuggling contraband*, The Washington Post, Apr. 30, 2014, [http://www.washingtonpost.com/local/crime/second-guard-at-dc-jail-charged-with-bribery-in-smuggling-contraband/2014/04/30/260e88e4-d0ad-11e3-9e25-188ebef1fa93b\\_story.html](http://www.washingtonpost.com/local/crime/second-guard-at-dc-jail-charged-with-bribery-in-smuggling-contraband/2014/04/30/260e88e4-d0ad-11e3-9e25-188ebef1fa93b_story.html).

<sup>223</sup> Tim Hull, *Women Call Private Prison Guards Predators*, Courthouse News Service, Feb. 23, 2010, <http://www.courthousenews.com/2010/02/23/24939.htm>.

put some offenders on probation with electronic monitoring who would otherwise be incarcerated. Similarly, reductions in crime rates or resources dedicated to prevent and enforce crime could lead to reductions in arrests, convictions and sentences requiring incarceration at correctional facilities. Our policy prohibits us from engaging in lobbying or advocacy efforts that would influence enforcement efforts, parole standards, criminal laws, and sentencing policies.<sup>224</sup>

Except for the last sentence, the above statement, or one substantially similar to it, appears on every CCA annual SEC filing for the last ten years. However, not until FY11 does the final sentence, setting forth CCA's position as to lobbying with regard to criminal justice policy, appear.

Consistent with this timing (perhaps coincidentally), in 2011 CCA ended its membership with the American Legislative Exchange Council (ALEC), of which CCA had been a corporate member for over twenty years.<sup>225</sup> ALEC, by its own description, is a nonprofit organization that “works to advance limited government, free markets and federalism at the state level” through the partnership of private entities, the general public, and state legislators.<sup>226</sup> While CCA was a member of ALEC, it served on ALEC's Criminal Justice task force (later called the Public Safety & Elections task force) that developed model legislation<sup>227</sup> for what has been called “some of the toughest sentencing laws on the books today[:] . . . mandatory minimums for non-violent drug offenders, ‘three strikes’ laws, and ‘truth in sentencing’ laws.”<sup>228</sup>

The so-called “truth in sentencing” (TIS) laws refer to practices “designed to reduce the apparent disparity between court-imposed sentences and the time offenders actually serve in prison.”<sup>229</sup> Such policies are particularly relevant to D.C., where

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<sup>224</sup> Corrections Corporation of America, *Annual Report (Form 10-K)*, 29, [http://www.sec.gov/Archives/edgar/data/1070985/000119312514072723/d664216d10k.htm#tx664216\\_1a](http://www.sec.gov/Archives/edgar/data/1070985/000119312514072723/d664216d10k.htm#tx664216_1a).

<sup>225</sup> Bog Ortega, *Arizona prison businesses are big political contributors*, Sept. 4, 2011, <http://www.azcentral.com/news/articles/2011/09/04/20110904arizona-prison-business-politics.html>.

<sup>226</sup> American Legislative Exchange Council, “About ALEC,” <http://www.alec.org/about-alec/>.

<sup>227</sup> Additionally, through ALEC, the CCA was reportedly able to review and give its approval for draft legislation that would later become Arizona's controversial SB 1070. The CCA's interest in such legislation would likely be heightened given that CCA “is the nation's largest detainer of immigrants.” See Harvey Silverglate and Kyle Smeallie, *Freedom watch: Jailhouse bloc*, The Phoenix, Dec. 9, 2008, <http://the phoenix.com/Boston/News/73092-Freedom-watch-Jailhouse-bloc/?page=3>.

<sup>228</sup> Mike Elk and Bob Sloan, *The Hidden History of ALEC and Prison Labor*, The Nation, Aug. 1, 2011, <http://www.thenation.com/article/162478/hidden-history-alec-and-prison-labor#>.

<sup>229</sup> Katherine J. Rosich and Kamala Mallik Kane, *Truth in Sentencing and State Sentencing Practices*, NIJ Journal No. 252, <http://nij.gov/journals/252/Pages/sentencing.aspx>.

statutes limit prisoners' use of good time credits and eligibility for parole. ALEC's Criminal Justice Task Force reportedly drafted a model TIS bill—CCA was a member of the task force at the time.<sup>230</sup> In 1994, Congress passed the Violent Crime Control and Law Enforcement Act which, among other things, offered federal grant money for states and the District of Columbia to “expand their prison capacity if they imposed TIS requirements on violent offenders.” In order to qualify for this grant funding, states and the District of Columbia were required to “have or pass laws requiring serious violent offenders to serve at least 85 percent of their imposed sentences in prison.”<sup>231</sup> That same year, the District of Columbia enacted the Omnibus Criminal Justice Reform Amendment Act of 1994, D.C. Law 10-151 which, among other things, prevented good time credits from reducing the minimum sentence of someone convicted of a crime of violence by more than 15%, and prevented persons convicted of violent offenses from being paroled prior to serving 85% of the minimum sentence imposed.<sup>232</sup>

Additionally, numerous reports have indicated that CCA has lobbied for policies affecting criminal statutes and sentencing. In 2008, The Phoenix reported that CCA “spent more than \$2.7 million from 2006 through September 2008 on lobbying for stricter laws.”<sup>233</sup> The Associated Press reported that in CCA spent about half a million dollars in the first half of 2010 “lobbying federal officials.” Targets of CCA’s lobbying efforts included Congress, the Department of Homeland Security, the U.S. Marshals Service and U.S. Immigration & Customs Enforcement.<sup>234</sup> The chart below details the amount of money CCA has spent on federal lobbying efforts each year from 1998 until 2014.

**In its self-published Political Activity Report, CCA reported that it and its consultant lobbyists spent approximately \$2.7 million “in fees and other expenditures related to lobbying on behalf of CCA at the Federal, state and local levels.”**

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<sup>230</sup> Karen Olsson, *Ghostwriting the Law*, Mother Jones, September/October 2002 Issue, <http://www.motherjones.com/politics/2002/09/ghostwriting-law>.

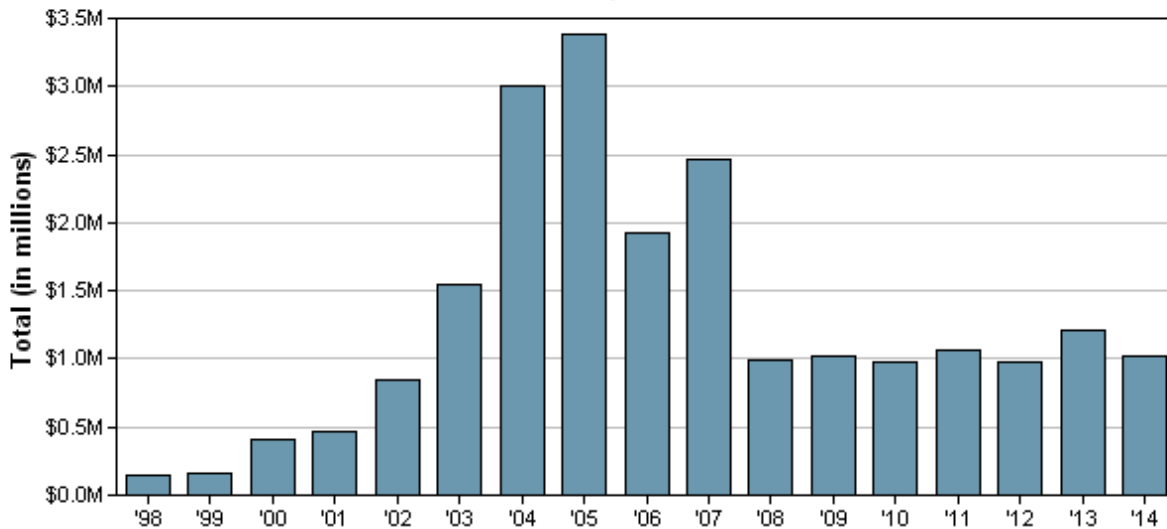
<sup>231</sup> Katherine J. Rosich and Kamala Mallik Kane, *Truth in Sentencing and State Sentencing Practices*, NIJ Journal No. 252, <http://nij.gov/journals/252/Pages/sentencing.aspx>.

<sup>232</sup> U.S. Dep’t of Justice, National Institute of Corrections Information Center, *State Legislative Actions on Truth in Sentencing: A Review of Law and Legislation in the Context of the Violent Crime Control and Law Enforcement Act of 1994*, 34, <http://static.nicic.gov/Library/O12259.pdf>.

<sup>233</sup> Harvey Silverglate and Kyle Smeallie, *Freedom watch: Jailhouse bloc*, The Phoenix, Dec. 9, 2008, <http://the phoenix.com/Boston/News/73092-Freedom-watch-Jailhouse-bloc/?page=3>.

<sup>234</sup> *Corrections Corp. spent \$240,000 on 2Q lobbying*, Associated Press, Sept. 1, 2010, <http://www.businessweek.com/ap/financialnews/D9HVBLM80.htm>.

**Annual Lobbying by  
Corrections Corp of America**



Source: “Client Profile: Summary, 2013,” OpenSecrets.org,  
<https://www.opensecrets.org/lobby/clientsum.php?id=D000021940&year=2013>.

However, as the above chart only involves expenditures on the federal level, it does not tell the whole story. Most of CCA’s lobbying expenditures occur on the state and local level. In its self-published Political Activity Report, CCA reported that it and its consultant lobbyists spent approximately \$2.7 million “in fees and other expenditures related to lobbying on behalf of CCA at the Federal, state and local levels.” Of that sum, \$1.1 million was attributable to lobbying on the federal level, and \$1.6 million was attributable to state and local activities.<sup>235</sup>

**CCA has spent over \$7 million in its lobbying efforts to prevent private prisons from being subjected to the same public disclosure requirements as public prisons.**

CCA has invested significant resources in lobbying against several incarnations of the Private Prison Information Act (PPIA)—an act that has been introduced in Congress multiple times over the course of several years and which would essentially subject private prisons to the requirements of the Freedom of

Information Act. CCA spent \$1.84 million lobbying against the PPIA of 2007, \$1.48 million lobbying against the PPIA of 2009, and \$3.85 million lobbying against the PPIA of 2011.<sup>236</sup> Thus, since 2007, CCA has spent over \$7 million in its lobbying efforts to prevent private prisons from being subjected to the same public disclosure requirements

<sup>235</sup> See Corrections Corporation of America, *CCA Political Activity and Lobbying Report 2013*, 6, [http://ir.correctionscorp.com/phoenix.zhtml?c=117983&p=irol-politicalcontributions\\_pf](http://ir.correctionscorp.com/phoenix.zhtml?c=117983&p=irol-politicalcontributions_pf).

<sup>236</sup> OpenSecrets, *Corrections Corporation of America, Bills Lobbied, 2007*, <https://www.opensecrets.org/lobby/clientbills.php?id=D000021940&year=2007>.

as public prisons. We note, however, that in recent years, CCA's lobbying disclosures have consistently included the following disclaimer:

CCA DOES NOT LOBBY FOR OR AGAINST ANY POLICIES OR LEGISLATION THAT WOULD DETERMINE THE BASIS FOR AN INDIVIDUAL'S INCARCERATION OR DETENTION.<sup>237</sup>

It is not clear whether this statement is intended to cover lobbying efforts by any other organization to which CCA may provide financial support. For example, due to disclosure rules for certain entities, such as 501(c)(4) social welfare organizations, whether CCA supports other organizations that in turn have expressed views regarding legislation may not be publicly available information.

Over the years, CCA has also made substantial political contributions on both the federal and state levels. In 2013, CCA and its political action committee CCA PAC, contributed a total of \$875,350—including contributions to (i) federal candidates, parties and committees; (ii) state/local candidates, parties, and committees; and (iii) 527 organizations (“political organizations” under 26 U.S.C. § 527).<sup>238</sup> With regard to District political elections, CCA has made contributions to the campaigns of several candidates running for City Council and Mayor, with such contributions occurring in 2002, 2006, 2008, and 2009, and totaling \$4,500.<sup>239</sup> A CCA subsidiary, CCA of Tennessee, LLC, actually exceeded the CCA's contribution rate by contributing over \$7,000 in District races in the last five years,<sup>240</sup> and another \$5,000 to a mayoral inaugural committee.<sup>241</sup> None of these figures include direct contributions to candidates by the officers or employees of the CCA or a CCA subsidiary.

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<sup>237</sup> See, e.g., Corrections Corporation of America Lobbying Report 2013, Q2 (4/1-6/30), <http://soprweb.senate.gov/index.cfm?event=getFilingDetails&filingID=1699C3FE-3358-4854-A8D5-BAE4143E294A&filingTypeID=60>.

<sup>238</sup> See Corrections Corporation of America, *CCA Political Activity and Lobbying Report 2013*.

<sup>239</sup> District of Columbia Office of Campaign Finance, *Contribution & Expenditure Search*, <http://www.ocf.dc.gov/dsearch/searchresultcon.asp?mf1=&ml1=&ms1=&mc1=corrections%20corp&mo1=N&xa=&sa=&ea=&ca=N&sc=&mf3=&ml3=&ms3=&mc3=&mf4=&ml4=&ms4=&mo4=N&d1=0&m1=0&y1=0&d2=0&m2=0&y2=0&d3=0&m3=0&y3=0&mo5=N&sc5=&sr=6&ob1=agynname&ob2=&ob3=&type=pcc&searchtype=org>.

<sup>240</sup> District of Columbia Office of Campaign Finance, *Contribution & Expenditure Search*, <http://www.ocf.dc.gov/dsearch/searchresultcon.asp?mf1=&ml1=&ms1=&mc1=cca%20of%20tennessee&mo1=N&xa=&sa=&ea=&ca=N&sc=&mf3=&ml3=&ms3=&mc3=&mf4=&ml4=&ms4=&mo4=N&d1=0&m1=0&y1=0&d2=0&m2=0&y2=0&d3=0&m3=0&y3=0&mo5=N&sc5=&sr=6&ob1=agynname&ob2=&ob3=&type=pcc&searchtype=org>.

<sup>241</sup> District of Columbia Office of Campaign Finance, *Contribution & Expenditure Search*, <http://www.ocf.dc.gov/dsearch/searchresultcon.asp?mf1=&ml1=&ms1=&mc1=cca%20of%20tennessee&mo1=N&xa=&sa=&ea=&ca=N&sc=&mf3=&ml3=&ms3=&mc3=&mf4=&ml4=&ms4=&mo4=N&d1=0&m1=0&y1=0&d2=0&m2=0&y2=0&d3=0&m3=0&y3=0&mo5=N&sc5=&sr=6&ob1=agynname&ob2=&ob3=&type=pcc&searchtype=org>.



## **B. Unity Health Care**

Between July 19, 2006, and September 30, 2013, the District paid approximately \$185 million for health care services for District prisoners, or about \$26 million per year.<sup>242</sup> The contract with Unity is based on a fixed-price model, in which the cost is not dependent upon the prisoner population or number of individuals treated.<sup>243</sup>

The prisoner population at the D.C. Jail and the CTF “is highly transient and exhibits a wide array of serious health problems, including tuberculosis, HIV/AIDS, sexually transmitted diseases, and mental illness.”<sup>244</sup> Prisoners are provided with medical care through a contract with Unity Health Care, Inc. (Unity).<sup>245</sup>

Unity has been providing medical care services to District prisoners since 2006.<sup>246</sup> This arrangement represented a change for CTF prisoners. Originally, the contract between the District and CCA contemplated that CCA would provide medical services to prisoners, and that CCA would be responsible for a range of both outpatient and inpatient costs.<sup>247</sup> Six years into the contractual arrangement, in January 2003, the District and CCA modified their contract to begin transferring responsibility for the provision of medical care back to the District. During the transition, the District initially agreed to pay CCA for the subcontracted services of the Center for Correctional Health and Policy Studies, Inc. while it negotiated its own contract with a medical provider without CCA as an intermediary.<sup>248</sup> That same contract modification included a reduction in CCA’s compensation “as full and final settlement of any and all claims the District may have against the Operator related to the Management Contract and Operator’s alleged non-compliance.”<sup>249</sup> In April 2003, the District took over

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<sup>242</sup> D.C. Contract, DCFL-2006-D-6001 & Modifications (Unity Health Care, Inc.). Based on the contract documents, the annual cost did not consistently increase each year over the life of the contract; the contract cost for FY13 (Oct. 1, 2012, through Sept. 30, 2013) was \$23.6 million.

<sup>243</sup> See D.C.-Unity Health Care, Inc. Contract, ¶ B.2 (2006); Letter from Mayor Vincent Gray to The Honorable Phil Mendelson, Chairman, Council of the District of Columbia (Sept. 24, 2013), <http://dcclims1.dccouncil.us/images/00001/20130925162240.pdf>.

<sup>244</sup> D.C.-Unity Health Care, Inc. Contract, ¶ C.2 (2006).

<sup>245</sup> DOC, FAQs, <http://doc.dc.gov/page/doc-frequently-asked-questions>

<sup>246</sup> Unity Health Care, Inc., *About Unity Health Care / Our History*, <http://www.unityhealthcare.org/AboutHistory.html>.

<sup>247</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, ¶ 5.4.5.

<sup>248</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, Modification 3, at 3.

<sup>249</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, Modification 3, at 4.

responsibility for providing both medical services and all food services to CTF prisoners.<sup>250</sup>

The District has been developing a new contract model for health care services that moves away from a fixed price model to a mixed compensation model that would account for volume of services rendered.<sup>251</sup> Initially, then Mayor Gray transmitted a proposed contract for services with Corizon Health, Inc.<sup>252</sup> However, concerns were raised about the firm,<sup>253</sup> and Mayor Gray withdrew the contract from consideration by the Council.<sup>254</sup> Mayor Bowser asked the Council to revisit the proposal and, on April 14, 2015, the D.C. Council voted against awarding the contract to Corizon.<sup>255</sup> While the District continues procurement of a new long-term contract, it appears that Unity continues to provide health care pursuant to short-term extensions of its contract.

### C. Halfway Houses

As noted, until recently, the DCDOC contracted with four separate, privately-owned and operated halfway houses in the District: Efforts From Ex-Convicts; Extended House, Inc.; Fairview; and Hope Village.<sup>256</sup> The District no longer contracts

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<sup>250</sup> Operations and Management Agreement by and between The District of Columbia and Corrections Corporation of America, Modification 4.

<sup>251</sup> See Letter of Mayor Vincent Gray to The Honorable Phil Mendelson, Chairman, Council of the District of Columbia (Dec. 8, 2014), <http://lims.dccouncil.us/Download/33020/CA20-0548-Introduction.pdf>.

<sup>252</sup> See *id.* D.C. Office of Contracting and Procurement, *Determination and Findings for a Sole Source Extension of Contract* (Contract CW2669, Unity Health Care, Inc.) (unsigned), [http://app.ocp.dc.gov/intent\\_award/D\\_F/DF\\_SS\\_UnityHealth\\_DOC\\_022515.pdf](http://app.ocp.dc.gov/intent_award/D_F/DF_SS_UnityHealth_DOC_022515.pdf) (proposing a payment of \$5.9 million for services rendered during a three-month period between April 1, 2015, and June 30, 2015).

<sup>253</sup> See, e.g., Human Rights Defense Center, Letter, *Contract with Corizon for Medical Care at D.C. Jail* (Dec. 13, 2014), <https://www.prisonlegalnews.org/media/publications/Letter%20to%20DC%20councilmembers%202014%20Chairman.pdf>.

<sup>254</sup> See Mike DeBonis, *Gray halts D.C. Council vote on controversial pick for jail contract*, Wash. Post (Dec. 16, 2014), [http://www.washingtonpost.com/local/dc-politics/gray-halts-dc-council-vote-on-controversial-pick-for-jail-contract/2014/12/16/93e9057e-855c-11e4-9534-f79a23c40e6c\\_story.html](http://www.washingtonpost.com/local/dc-politics/gray-halts-dc-council-vote-on-controversial-pick-for-jail-contract/2014/12/16/93e9057e-855c-11e4-9534-f79a23c40e6c_story.html).

<sup>255</sup> Abigail Hauslohner, *D.C. Council rejects Corizon Health contract after lobbying battle*, Wash. Post (Apr. 14, 2015), [http://www.washingtonpost.com/local/dc-politics/dc-council-rejects-corizon-health-contract-after-lobbying-battle/2015/04/14/b784c8e2-e222-11e4-81ea-0649268f729e\\_story.html](http://www.washingtonpost.com/local/dc-politics/dc-council-rejects-corizon-health-contract-after-lobbying-battle/2015/04/14/b784c8e2-e222-11e4-81ea-0649268f729e_story.html).

<sup>256</sup> D.C. Dep't of Corr., *FY 2013 Performance Accountability Report*, at 1 (Jan. 2014).

with Efforts From Ex-Convicts.<sup>257</sup> Each of the other three halfway houses received a contract with a maximum value of \$990,000 for a one-year period ending on August 13, 2015.<sup>258</sup> The halfway houses serve as an alternative to incarceration for individuals awaiting trial and for sentenced misdemeanants. The goal of halfway houses is to provide a number of important services designed to help residents with educational, professional, and interpersonal skills, as well as providing support for residents' mental and physical health and wellbeing.<sup>259</sup>

Unfortunately, reports of inadequate services and safety concerns point to a need for a review of the capabilities and performance of the District's contract facilities. For example, an op ed by a Washington Post editor reported in 2013 that, of the approximately 2,000 offenders "return[ed] to the District each year after their release from incarceration, . . . half are re-arrested within three years," a statistic that casts doubt on whether the halfway houses are indeed "helping ex-offenders adjust" to life outside.<sup>260</sup>

## IV. LOOKING FORWARD

Based on our review of the conditions of confinement for District prisoners, we believe a number of steps are urgently needed to address deficiencies ranging from physical infrastructure to training, availability of programming, and oversight. Due to the nature of the problems and the measures needed to resolve them, it is apparent that efforts to move forward should be carried forward by the Mayor and City Council. We believe these proposed steps are consistent with the DCDOC's expressed "commit[ment] to improving operations and achieving the status of a benchmark correctional agency."<sup>261</sup> For each of these following recommendations, we strongly encourage active, good-faith collaboration by all stakeholders. Effective solutions will require input from advocates, lawyers, corrections and law enforcement personnel, and politicians. The goal of this report is not to dictate specific solutions, but to continue the Washington Lawyers' Committee's efforts to address criminal justice issues writ large, and to begin a series of much needed conversations about the District's approaches to confinement.

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<sup>257</sup> See D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, C-37, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_1\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_1_web.pdf).

<sup>258</sup> See D.C. Contracts CW 30866 (Extended House), CW 30868 (Hope Village), and CW30870 (Fairview - Reynolds & Associates); see also D.C. Office of Contracting & Procurement, *Contract Awards Notification Report*, <http://app.ocp.dc.gov/RUI/information/award/search.asp>.

<sup>259</sup> D.C. Dep't of Corr., *Correctional Facilities*, <http://doc.dc.gov/page/correctional-facilities>.

<sup>260</sup> Justin Moyer, *To some, D.C. halfway house is more like 'Hopeless Village'*, The Washington Post, Aug. 29, 2013, [http://www.washingtonpost.com/opinions/2013/08/29/cd282a86-f4c1-11e2-9434-60440856fadf\\_story.html](http://www.washingtonpost.com/opinions/2013/08/29/cd282a86-f4c1-11e2-9434-60440856fadf_story.html).

<sup>261</sup> DCDOC Response.

**A. Recommendation 1: Close the D.C. Jail and the CTF and construct a new, safer, more effective facility**

This report identifies serious recurring and structural problems at the D.C. Jail and the CTF. The D.C. Jail is suffering from degraded infrastructure, as evidenced by recurring plumbing problems and holes in the walls, and insufficient protections for those under observation due to suicide risk. Although we have less information about the condition of the CTF's physical infrastructure, problems associated with the juvenile unit, including space and difficulty providing in-person visitation, and with the Secure Residential Treatment Program, indicate that the CTF is not well-designed for the specialized populations it contains.

Rather than invest considerable resources in a significant overhaul of both the D.C. Jail and the CTF, the District should be proactive and design a new facility or facilities designed to meet modern correctional facility standards, with the flexibility to handle the District's prisoner populations, and which will be easier to maintain.

Additionally, the trends in the District's prisoner population, as well as changes to the District's drug policy, necessitate a reevaluation of the District's true correctional needs. A new facility could be designed to address the prisoner population the District expects to have, and provide for the different prisoner populations, including men and women, those awaiting trial, those post-conviction awaiting transfer to the BOP, parole violators, juveniles of both sexes, individuals within each population who have special physical or mental health needs (including suicide monitoring), and individuals of all ages and gender who could benefit from substance abuse programming such as the SRTP.

**B. Recommendation 2: Expand the Secure Residential Treatment Program**

The SRTP should be expanded. First, women should be able to participate. In addition, CSOSA and the U.S. Parole Commission should ensure that more than thirty-two beds are dedicated to the SRTP and make the program available for individuals with a "high" ICS rating.

**C. Recommendation 3: Correct deficiencies in suicide prevention and youth confinement**

Significant work has already been undertaken by the DCDOC to assess the conditions of, and identify problems in the DCDOC's juvenile unit and mental health and suicide programs through the Hayes Report and Ridley Report. Although the DCDOC takes the position that it has addressed the issues outlined in the Hayes Report, more work should still be done. The DCDOC should invite independent third party assessment, such as a review by Hayes, as to whether the issues identified in the Hayes Report have been fully addressed, culminating in a published report. The DCDOC also notes that it has "worked diligently to implement the Ridley recommendations,"

including by creating a “Juvenile Administrative Housing and Hearing policy,” that has been in place since June 2013.<sup>262</sup> In order to address issues raised by the Ridley Report, the DCDOC should consider, among other things, reducing the use of isolation and segregation among youth prisoners, further increasing and improving youth programming, and expanding access to in-person visitation for all youths.

#### **D. Recommendation 4: Conduct a review of training**

In both the Hayes Report and the Ridley Report, one of the common themes was inadequacy of training of correctional officers tasked with specialized functions related to mental health or the juvenile unit. The DCDOC maintains that the “review of training for correctional officers tasked with specialized functions such as juvenile custody and suicide prevention . . . has been addressed . . . and is ongoing.”<sup>263</sup>

We recommend continuing to ensure that adequate training is provided, as described in both reports, but we also believe it would be useful to take a step back and evaluate training across the correctional system in a comprehensive fashion. We propose that the District retain an independent consultant with extensive experience in the corrections field to conduct a review of all training programs needed for correctional officers (and any others, including contract staff) who work at the D.C. Jail, the CTF, and the halfway houses, to ensure that the right people are receiving the right training, and that the training they receive is sufficiently thorough and reflects modern correctional practices.

#### **E. Recommendation 5: Revise current policies restricting “Good Time Credits”**

As discussed above, DCDOC prisoners are eligible to earn “good time credits” and reduce their sentences for successfully completing academic, vocational, and rehabilitation programs and for performing duties of “outstanding importance” or reflecting “exceptionally meritorious service.” Other DCDOC policies, however, arbitrarily restrict the earning of such credits with respect to drug or violent offenses. These restrictive policies should be carefully reviewed and revised so that the important penological tool of good time credits is available for the benefit of prisoners and the system alike to the maximum extent possible.

#### **F. Recommendation 6: Return management of the CTF to District control**

When the District’s contract with CCA expires, the District should return management of the CTF to District control. The benefits of continuing to contract with a private corrections corporation are doubtful, and there are a number of disadvantages.

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<sup>262</sup> DCDOC Response.

<sup>263</sup> *Id.*

Private corrections companies such as CCA tout a number of purported benefits as rationales for contracting out correctional services, including taxpayer savings, rehabilitation and reentry services, quality of operations, flexibility, security, and economic benefits to the local community.<sup>264</sup>

However, prisoners' rights advocates have argued that these benefits are questionable and that the companies themselves have had numerous safety and quality issues. For example, a paper issued by The Sentencing Project pointed out the "promise of meaningful savings is . . . specious at best," citing reviews by the then General Accounting Office (GAO) and the Bureau of Justice Assistance.<sup>265</sup> Others have argued that the CCA has used cost-cutting measures such as "operating on routinely low and dangerous staff-to-prisoner ratios."<sup>266</sup> This concern was also raised by a ten-year veteran CCA employee who provided testimony at a City Council oversight hearing that "corners are being cut," and "the facility is being operated understaffed to avoid paying overtime to . . . employees."<sup>267</sup> The witness also testified that "the company has been grossly negligent in their management of time."<sup>268</sup> The witness recalled that, on Mother's Day (May 13, 2012), ten units were being manned by five officers, there was no emergency response team on site, the radios and telephones were faulty, and, as a result, they were "in a death trap."<sup>269</sup> The witness also listed various examples of understaffing.<sup>270</sup> Additionally, the witness noted that the prisoners had been notified by memo of a facility-wide shakedown, potentially allowing prisoners to dispose of

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<sup>264</sup> Corrections Corporation of America, *Value and Benefits of Partnership Corrections*, <http://www.cca.com/partner-with-cca/value-benefits>; Corrections Corporation of America, *Value of Partnering with CCA*, <http://www.cca.com/partner-with-cca/value-benefits/partnership-value>; Corrections Corporation of America, *Answers for Our Communities*, <http://www.cca.com/partner-with-cca/value-benefits/community-benefits>.

<sup>265</sup> The Sentencing Project, *Prison Privatization and the Use of Incarceration*, [http://www.sentencingproject.org/doc/publications/inc\\_prisonprivatization.pdf](http://www.sentencingproject.org/doc/publications/inc_prisonprivatization.pdf).

<sup>266</sup> Grassroots Leadership, *The Dirty Thirty: Nothing to Celebrate About 30 Years of Corrections Corporation of America*, 3, [http://grassrootsleadership.org/sites/default/files/uploads/GRL\\_Dirty\\_Thirty\\_formatted\\_for\\_web.pdf](http://grassrootsleadership.org/sites/default/files/uploads/GRL_Dirty_Thirty_formatted_for_web.pdf).

<sup>267</sup> D.C. City Council, *Public Hearing, Committee on the Judiciary* (Sept. 20, 2012), [http://dccarchive.oct.dc.gov/services/on\\_demand\\_video/on\\_demand\\_September\\_2012\\_week\\_3.shtm](http://dccarchive.oct.dc.gov/services/on_demand_video/on_demand_September_2012_week_3.shtm) (video) (testimony of Ms. Dana Bushrod); see also D.C. City Council, Cmte. on the Judiciary, *Public Oversight Hearing on Corrections Corporation of America's Management of the District's Correctional Treatment Facility: Witness List*, [http://dccouncil.us/files/user\\_uploads/event\\_testimony/sept20\\_judiciary\\_corrections\\_witnesslist.pdf](http://dccouncil.us/files/user_uploads/event_testimony/sept20_judiciary_corrections_witnesslist.pdf).

<sup>268</sup> *Id.*

<sup>269</sup> *Id.*

<sup>270</sup> *Id.*

contraband and, according to the witness, potentially avoiding reporting of negative incidents.<sup>271</sup>

Additionally, in a recent hearing, witness testimony indicated that CCA may not be adequately informing prisoners at the CTF of the availability of good time credits.<sup>272</sup> If this is accurate, it is concerning in part because CCA would be paid more if an prisoner resided in the facility for a longer period of time.

There are also downsides to utilizing a private contractor, especially with respect to accountability. Unlike government agencies (including the D.C. Department of Corrections), private corporations are generally not subject to Freedom of Information Act (FOIA) laws. Consequently, it is difficult to obtain internal documentation that would allow for effective oversight of the District's corrections system without engaging in expensive civil litigation. The District-CCA contract provides that a limited set of reports and audits of CCA's operations ought to be in the possession of at least one of the District's departments and therefore subject to FOIA. But these documents can be difficult to obtain, particularly when department FOIA officials fail to comprehensively respond to requests and point the finger at other agencies, as the authors of this report experienced. Anecdotally, and notwithstanding difficulties in obtaining certain information from the Department of Corrections, the authors of this report found it easier to identify facts and data regarding the D.C. Jail than the CTF.

#### **G. Recommendation 7: Increase public access to records**

One of the most challenging aspects of public oversight is unearthing the facts. Former Supreme Court Justice William O. Douglas once said, "sunlight is the best disinfectant," meaning that government and freedom of information laws service the public good because more information available to more people will lead to less waste, more justice, and better government. To that aim, under the District of Columbia Freedom of Information Act, public bodies of the D.C. government must disclose public records to any person upon request (unless the record is covered by a statutory exemption).<sup>273</sup> The "basic purpose" of this Act was "to open agency action to the light of public scrutiny."<sup>274</sup>

Unfortunately, in our efforts to gather information for this report, we encountered barriers that impede public access to corrections information. First, although the District has in place the Freedom of Information Act, its implementation is far from perfect. We submitted FOIA requests to four District agencies. All but one

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<sup>271</sup> *Id.*

<sup>272</sup> See D.C. City Council, Cmte. on the Judiciary, *Agency Performance Oversight Hearings on Fiscal Year 2014-2015* (Feb. 19, 2015), <http://dccouncil.us/events/committee-on-the-judiciary-poh1>.

<sup>273</sup> See D.C. Code § 2-534.

<sup>274</sup> *District of Columbia v. Fraternal Order of Police*, 75 A.3d 259, 265 (D.C. 2013).

exceeded the fifteen-day statutorily mandated time limit on responding to requests. On one occasion, an agency seemed to wholly ignore our request, prompting us to appeal the request to the Mayor's Office. As a result of the appeal, and following further dialogue, the requested documents were released—more than four months after the statutory deadline. On another occasion, a public information officer asserted that we had contacted the wrong agency in our effort to obtain a report, despite the fact that D.C. law required that agency to conduct such reports. Many other requests did not result in any substantive response at all.

Although some of the individuals we spoke with during this process tried to be helpful, it is our impression that all public information officers could benefit from significant improvements in their ability to identify records within their agencies. Specifically, (i) public information officers should be made aware of the scope of work the agency performs as well as documents created by other agencies or persons that the agency is likely to possess; (ii) standard operating procedures for agencies responding to a FOIA request should require the agency to make reasonable efforts to identify records responsive to requests *before* asserting they do not exist (on more than one occasion, public information officers responding to our request denied possession or knowledge of reports we requested, even though a cursory internet search could have revealed that such reports were produced by the agency in the past); (iii) if an agency makes documents available on its website, it should identify where those documents are located with specificity; (iv) agencies should implement measures to increase agency accountability in their responses to FOIA requests and to ensure that public information officers have an accurate understanding of the scope of disclosure requirements and of the limitations on FOIA exemptions,<sup>275</sup> and (v) agency FOIA performance should be assessed for the purpose of determining whether individual agency FOIA units require additional staffing, training, or oversight. Public information officers should not be permitted to ignore requests, flout deadlines without explanation.

Second, notwithstanding our difficulties obtaining certain information from District agencies, it was even harder to identify anyone who would admit to possessing information regarding CCA's operation of the CTF. All of our efforts to obtain the reports and audits authorized by the DC-CCA Contract were unsuccessful. And, while the D.C. Department of Health provided us with its inspection reports of the D.C. Jail

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<sup>275</sup> Agencies currently submit annual reports providing basic information regarding the number of requests received, the amount of time spent processing FOIA requests, and similar information. See, e.g., D.C. Office of the Sec'y, *Annual Reports*, <http://os.dc.gov/page/annual-reports>. In general, it appears that FOIA oversight currently focuses on quantification of FOIA data; it is less clear that oversight adequately assesses whether FOIA requests are accurately processed. For example, an analysis of the 2012 FOIA reports found that one-third of FOIA requests were subject to delays and, when requesters chose to appeal agency determinations, agency actions were found incorrect "nearly half the time." See D.C. Open Government Coalition, *DC FOIA delays, denials, attorney fee awards jump in 2012*, <http://www.dco.org/content/dc-foia-delays-denials-attorney-fee-awards-jump-2012> (last visited Feb. 10, 2015).



upon request, the agency specifically indicated that it was not authorized to inspect the CTF.<sup>276</sup> This points to a larger issue associated with private operation of a large prison facility. CCA itself has resisted efforts to be subjected to sunshine laws, spending millions of dollars over several years to opposed federal legislation alone.

In light of the problems facing those who seek public access to corrections information, we recommend a review of the District's freedom of information laws and practices, to ensure that the laws are functioning as they should, and that staff have the information and resources to respond accurately, helpfully, and promptly to all requests. The need for improvement is especially compelling where it involves the District's oversight of a private prison.

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<sup>276</sup> We remain uncertain about the accuracy of this assertion. A 2004 Report by the Government Accountability Office mentions "health and safety inspection reports for the Jail *and the CTF* that were prepared from January 2002 through April 2004 by the District's Department of Health." See District of Columbia Jail: Management Challenges Exist in Improving Facility Conditions." GAO-04-742, <http://www.gao.gov/assets/250/243835.html>.

# Appendix A

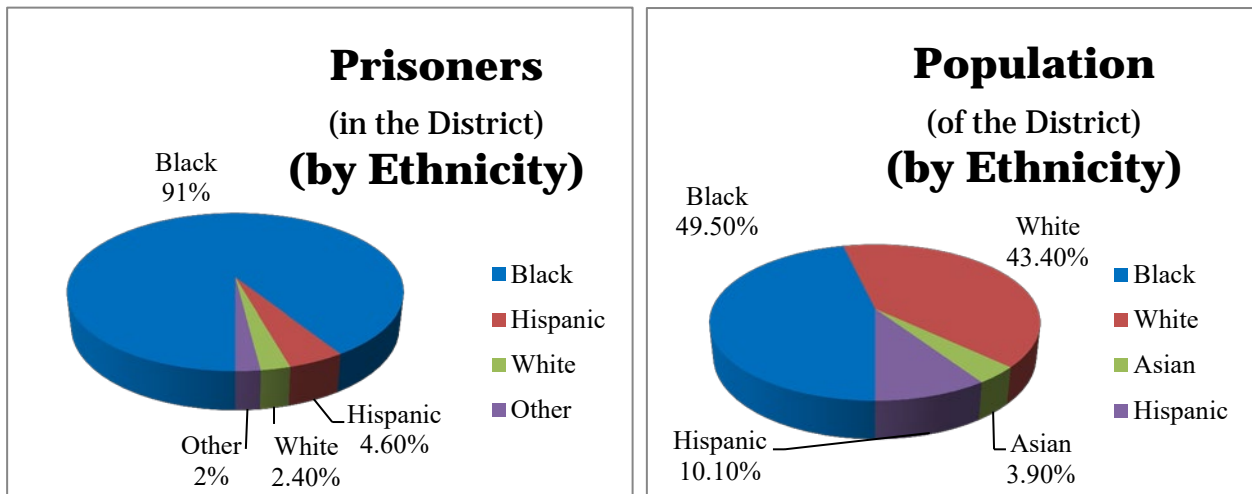
## Demographics & Budget

Deficiencies in the conditions of confinement in the District disproportionately impact the District's Black men. We cannot fully understand and correct deficiencies in the correctional system without understanding these realities. Likewise, an understanding of the District's budget for corrections is important, because the high cost<sup>1</sup> of confinement should be a call to action for those unhappy with the status quo.

### I. DEMOGRAPHICS

#### A. Racial Disparities

The District's prisoner population is disproportionately Black and male as compared to the District's total population. Slightly less than half (49.5%) of the District's total population, but 91% of the District's prisoner population, is Black. By contrast, 43.4% of the District's total population, but only a small fraction (3%) of the District's prisoner population, is White. And, while 92% of DCDOC prisoners are male, only 47% of District residents are male.<sup>2</sup>



<sup>1</sup> Although this Appendix primarily focuses on the monetary costs to the District (and taxpayers) of confining and providing health care to District prisoners, there are numerous other costs associated with confinement, such as the economic cost to individuals and to society of lost productivity both due to confinement and due to difficulty obtaining employment following release, and the economic and non-economic costs to the families of prisoners, to name a few.

<sup>2</sup> United States Census Bureau, *State & County QuickFacts*, <http://quickfacts.census.gov/qfd/states/11000.html>.

The significance of this disparity is highlighted when considering the history of vast racial disparities in arrest rates for marijuana possession in D.C. In 2010, the District had the highest overall marijuana possession arrest rate in the nation with 846 marijuana possession arrests per 100,000 residents.<sup>3</sup> Ninety-one percent of individuals arrested were Black, causing D.C. to also have one of the largest racial disparities in its arrest rates for marijuana possession.<sup>4</sup> Thus, District law enforcement officers arrested one White person for marijuana possession for every eight Black people they arrested for marijuana possession.<sup>5</sup> Between 2009 and 2011, more than eight out of ten adult residents arrested for marijuana possession were Black.<sup>6</sup> Marijuana use, however, is roughly equal among Blacks and Whites.<sup>7</sup> Although the District's legalization of possession of small amounts of marijuana for at-home use (discussed elsewhere in this Report) is sure to affect the arrest rates, racial disparities in arrest and conviction rates are certainly not unique to marijuana-related offenses.<sup>8</sup> We remain concerned that the existence of such a marked disparity in marijuana arrest rates, like the overrepresentation of Blacks in DCDOC custody, is a symptom of a larger problem with regard to the impact of the criminal justice system on minorities, and particularly Black men.

## **B. Gender Disparities**

DCDOC's prisoner population is also predominantly male; in FY14, men represented approximately 92% of those in DCDOC custody. All of the prisoners in the D.C. Jail are male (it only houses male prisoners), and 70% of CTF prisoners are male, as are 80% of those in halfway houses in the District.<sup>9</sup> This percentage is somewhat

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<sup>3</sup> See American Civil Liberties Union, *The War on Marijuana in Black and White: Billions of Dollars Wasted on Racially Biased Arrests*, 18, <https://www.aclu.org/files/assets/1114413-mj-report-rfs-rel1.pdf>.

<sup>4</sup> See *id.* at 18.

<sup>5</sup> See *id.* at 18–19.

<sup>6</sup> See Washington Lawyers' Committee For Civil Rights and Urban Affairs, *Racial Disparities in Arrests in the District of Columbia, 2009-2011*, [http://www.washlaw.org/pdf/wlc\\_report\\_racial\\_disparities.pdf](http://www.washlaw.org/pdf/wlc_report_racial_disparities.pdf).

<sup>7</sup> See *id.* at 21.

<sup>8</sup> See Washington Lawyers' Committee For Civil Rights and Urban Affairs, *Racial Disparities in Arrests in the District of Columbia, 2009-2011*, at 8, 20, 23, [http://www.washlaw.org/pdf/wlc\\_report\\_racial\\_disparities.pdf](http://www.washlaw.org/pdf/wlc_report_racial_disparities.pdf) (reporting that in 2011, black arrestees in D.C. accounted for nearly 70 percent of the Metropolitan Police Department's traffic arrests, 76 percent of the disorderly conduct arrests, and 87.5 percent of the simple assault arrests).

<sup>9</sup> See D.C. Dep't of Corr., "DC Department of Corrections Facts and Figures," <http://doc.dc.gov/sites/default/files/dc/sites/doc/publication/attachments/DC%20Department%20of%20Corrections%20Facts%20and%20Figures%20October%202014.pdf>.

higher than the percentage of the national average daily prison population that is male (86%).<sup>10</sup>

This gender disparity is even more drastic when it comes to youth. While youth incarcerated in the juvenile system are generally held in a DYRS or contract facility, youth tried as adults may be placed at the CTF. An assessment from 2013 reports that only one girl per year is processed through CTF, compared to seventy boys per year.<sup>11</sup> The prosecution of girls as adults is not only infrequent, but it also appears to be a fairly recent phenomenon.<sup>12</sup> When in 2006, a sixteen-year-old girl was accused of stabbing a man to death, the Washington Post reported that this was “the first time in recent memory in the District that a girl was charged as an adult with murder.”<sup>13</sup> In contrast, in FY12, girls represented 12% of youth committed to DYRS.<sup>14</sup>

## **C. Trends**

### **1. History of Disputes Regarding D.C. Jail Population Size.**

Over the course of multiple decades, the District had been the subject of lawsuits alleging that the number of prisoners in the D.C. Jail was unsafe and that overcrowding

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<sup>10</sup> U.S. Dep’t of Justice, Bureau of Justice Statistics, *Jail Inmates at Midyear 2013 - Statistical Tables*, <http://www.bjs.gov/content/pub/pdf/jim13st.pdf>.

<sup>11</sup> The Ridley Group & Associates, LLC, *The District of Columbia Department of Corrections Correctional Treatment Facility Juvenile Unit Assessment*, [http://doc.dc.gov/sites/default/files/dc/sites/doc/release\\_content/attachments/DOC%20CTF%20JUV%20ASSESSMENT%20REPORT.pdf](http://doc.dc.gov/sites/default/files/dc/sites/doc/release_content/attachments/DOC%20CTF%20JUV%20ASSESSMENT%20REPORT.pdf). The Campaign for Youth Justice (CFYJ) cited different, but similarly dramatic figures: CFYJ reported that, between 2007 and 2012, 528 boys and 13 girls were processed through the CTF. Campaign for Youth Justice, *Capital City Correction: Reforming DC’s Use of Adult Incarceration Against Youth*, 12 (May 2014), [http://www.campaignforyouthjustice.org/images/pdf/Capital\\_City\\_Correction.pdf](http://www.campaignforyouthjustice.org/images/pdf/Capital_City_Correction.pdf).

<sup>12</sup> It is unclear how much of this disparity is due to prosecutorial discretion as opposed to fewer female juveniles being arrested for higher level violent crimes. A juvenile who is charged with certain D.C. Code violations, like murder or burglary, will be eligible for “direct filing,” meaning the prosecutor may try the juvenile as an adult from the start without having to seek permission from a court. See D.C. Code § 16-203(3). Nevertheless, prosecutors retain sole discretion in deciding whether to direct file an eligible juvenile. Prosecutors may also petition the court to “transfer” a juvenile’s case from the D.C. Superior Court Family Division (i.e. juvenile court) to the criminal division (to be tried as an adult).

<sup>13</sup> Henri E. Cauvin, *Jail Options Few for Young Female Suspects*, The Washington Post, Sept. 14, 2006, <http://www.washingtonpost.com/wp-dyn/content/article/2006/09/13/AR2006091301937.html>.

<sup>14</sup> Dep’t of Youth Rehab. Servs., “Youth Population Snapshot,” <http://dyrs.dc.gov/page/youth-snapshot>.

and other conditions there amounted to cruel and unusual punishment.<sup>15</sup> In 1985, the United States District Court for the District of Columbia capped the population at 1,674. The District never fully complied with this order, nor with a consent decree issued after another prisoner suit, and the District successfully moved to lift this cap in March of 2002 after the enactment of the Prison Litigation Reform Act. Soon thereafter, the D.C. Jail population reportedly skyrocketed and two prisoners were stabbed to death, while another prisoner was stabbed but survived, in unrelated incidents within the facility.<sup>16</sup> City Council member Kathy Patterson said she believed these incidents were related to jail overcrowding—at the time, the D.C. Jail population exceeded 2,400.<sup>17</sup>

The Jail Improvement Act of 2003 required the Mayor to establish a maximum number of prisoners at the D.C. Jail based on recommendations from an independent consultant. A study commissioned by the Mayor determined that the D.C. Jail population should remain between 1,958 and 2,164 at any given time. Nevertheless, the D.C. Jail's population often exceeded 2,164<sup>18</sup> and the District was sued again in June 2005. In response, the District initially asserted that it should not be bound by these recommendations. In 2007, D.C. Superior Court Judge Melvin Wright, who presided over the suit, disagreed, stating that the District “does not have the right to choose which laws it will obey” and considered a contempt finding against the Mayor.<sup>19</sup> A week later, the District agreed to cap the number of D.C. Jail prisoners at 2,164 except in “exigent circumstances.”<sup>20</sup>

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<sup>15</sup> See, e.g., *Beale v. District of Columbia*, No. 1:04-CV-0959 (D.D.C.); *Anderson v. Fenty*, No. 2005 CA 005030 B (D.C. Super. Ct.); *Morgan v. District of Columbia*, 824 F.2d 1049 (D.C. Cir. 1987).

<sup>16</sup> Michael Rigby, *Officials Agree To Cap Population at D.C. Jail*, Prison Legal News, Feb. 15, 2009, <https://www.prisonlegalnews.org/news/2009/feb/15/officials-agree-to-cap-population-at-dc-jail/>.

<sup>17</sup> See *Second D.C. inmate stabbed to death in jail*, The Washington Times, Dec. 17, 2002, <http://www.washingtontimes.com/news/2002/dec/17/20021217-110323-2832r/>.

<sup>18</sup> Serge F. Kovaleski, *D.C. Jail Conditions Unchanged Despite Law*, The Washington Post, Apr. 24, 2005, [http://www.washingtonpost.com/wp-dyn/articles/A12259-2005Apr23.html?nav=rss\\_topnews](http://www.washingtonpost.com/wp-dyn/articles/A12259-2005Apr23.html?nav=rss_topnews).

<sup>19</sup> Robert E. Pierre, *D.C. Judge Pressures City on Jail Population*, The Washington Post, Oct. 6, 2007, <http://www.washingtonpost.com/wp-dyn/content/article/2007/10/05/AR2007100502268.html>.

<sup>20</sup> David Nakamura, *D.C. Agrees to Abide by 2004 Limit On Inmates*, The Washington Post, Oct. 11, 2007, <http://www.washingtonpost.com/wp-dyn/content/article/2007/10/10/AR2007101001743.html>; D.C. Dep't of Corr., *Correctional Facilities*, <http://doc.dc.gov/page/correctional-facilities>.

## 2. From Highest in the Nation to Downward Trend

The District had a higher incarceration rate in 2007 than any state in the country.<sup>21</sup> The District saw a 48% growth of its prisoner population from 1982 to 2007.<sup>22</sup> In 2007, across the nation as a whole about 1 in every 100 adults was confined behind bars; in the District, the figure was 1 in 50.<sup>23</sup> The Justice Policy Institute asserted that these high numbers were largely due to problems particularly affecting low income communities in the District: A report studying the District's high incarceration rate identified a lack of affordable housing, high rates of homelessness, education deficiencies, lack of access to mental health and substance abuse treatment, and high unemployment as relevant factors.<sup>24</sup>

Whether or not these factors *cause* higher incarceration rates in the District,<sup>25</sup> there is no doubt that one or more of these factors impact many District prisoners. Only about 30% of male DCDOC prisoners reported having a high school diploma, and 3.5% report having a college degree. Additionally, census data show a disproportionate number of prisoners reside in the Southeast quadrant of the city. As the Justice Policy Institute reports, the Southeast quadrant of the city primarily consists of Black residents who also “have the lowest median income of the city as well as the highest unemployment rates.” Meanwhile, as shown below, very few members of DCDOC's prisoner population come from the Northwest quadrant of the city. By contrast, the Northwest quadrant is home to the two wards with “the highest median household income and lowest percentage of people of color in the entire District.”<sup>26</sup>

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<sup>21</sup> The PEW Center On the States, *One In 31: The Long Reach of American Corrections*, at 43, [http://www.pewtrusts.org/~media/Assets/2009/03/02/PSPP\\_1in31\\_report\\_FINAL\\_WEB\\_32609.pdf](http://www.pewtrusts.org/~media/Assets/2009/03/02/PSPP_1in31_report_FINAL_WEB_32609.pdf).

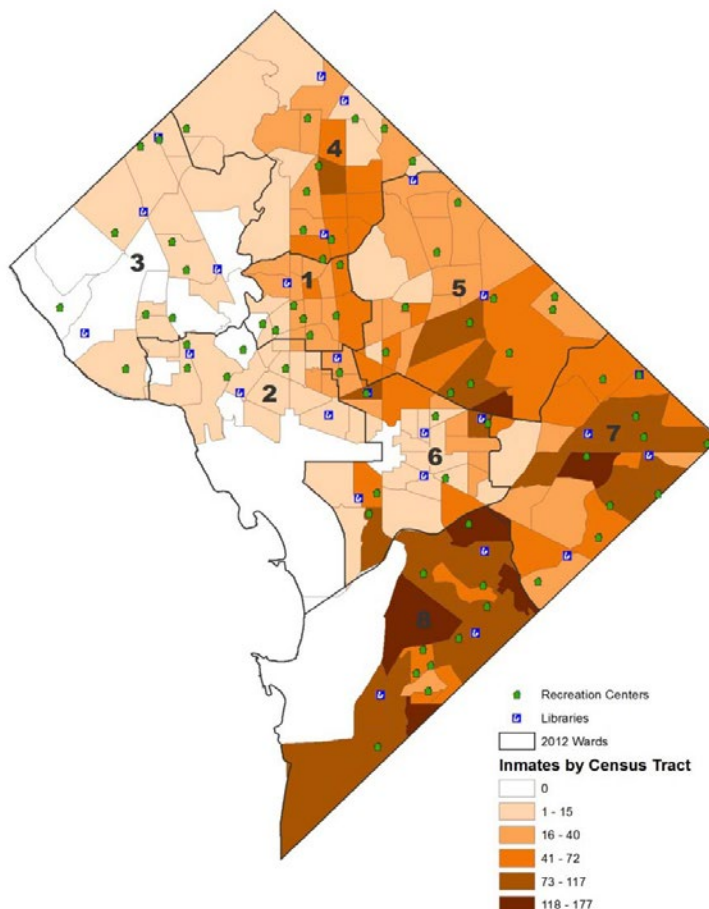
<sup>22</sup> *Id.*

<sup>23</sup> *Id.*

<sup>24</sup> Justice Policy Institute, *A Capitol Concern: The Disproportionate Impact of the Justice System on Low-Income Communities in D.C.*, [http://www.justicepolicy.org/images/upload/10-07\\_EXS\\_CapitolConcern\\_AC-PS-RD-DC.pdf](http://www.justicepolicy.org/images/upload/10-07_EXS_CapitolConcern_AC-PS-RD-DC.pdf).

<sup>25</sup> This question is beyond the scope of this report.

<sup>26</sup> *Id.*



Source: D.C. Dep't of Corrs., "DC Department of Corrections Facts and Figures" (Oct. 8, 2014), <http://doc.dc.gov/sites/default/files/dc/sites/doc/publication/attachments/DC%20Department%20of%20Corrections%20Facts%20and%20Figures%20October%202014.pdf>.

There has recently been a downward trend in the District's overall prisoner population—the average daily population for DCDOC facilities in 2009 was 3,089; in 2013, it was 2,289; it was 2,041 in 2014; and there has been a drop of over 700 prisoners from January 2011 through June 2014.<sup>27</sup> This trend is also reflected in decreasing intake numbers over the last five years: Intake was 17,903 in 2009, 17,047 in 2011, and 12,334 in 2013. And, whereas the number of intakes exceeded number of releases by seventy-six in 2009, releases exceeded intakes by 642 in 2013.<sup>28</sup> In contrast, however, the overall population of D.C. residents has increased from 572,059 residents in 2000 to

<sup>27</sup> See D.C. Dep't of Corr., *Demographics and Statistics*, <http://doc.dc.gov/sites/default/files/dc/sites/doc/publication/attachments/Demographics%20and%20Statistics%20June%202014.pdf>.

<sup>28</sup> See D.C. Dep't of Corr., *DC Department of Corrections Facts and Figures*, <http://doc.dc.gov/sites/default/files/dc/sites/doc/publication/attachments/DC%20Department%20of%20Corrections%20Facts%20and%20Figures%20October%202014.pdf>.

601,723 residents in 2010 to 646,449 residents in 2013 to an estimated 658,893 residents in 2014.<sup>29</sup>

Drug policy, such as the recent legislation legalization of use and possession of small amounts of marijuana, is also likely to impact prisoner population size. Legislation related to this initiative began in July 2014, when the District enacted the Marijuana Possession Decriminalization Amendment Act which decriminalized the possession of up to one ounce of marijuana. Thus, under D.C. law, possession or transfer without exchange of money of a small amount of marijuana became a civil violation (with a \$25 fine) instead of an arrestable offense<sup>30</sup> and violators were not subject to jail time.<sup>31</sup> The effects of this change may already be apparent: The percent of men being held in the DCDOC system for drug offenses dropped from 2013, 8.4% in FY13 to 6.6% in FY14.

On February 26, the District passed a ballot initiative legalizing the possession of up to two ounces of marijuana, and permitting individuals to grow up to three marijuana plants in the home.<sup>32</sup> The ballot initiative garnered 70% of the vote.<sup>33</sup>

Efforts toward marijuana legalization in the District have not gone unchallenged. As noted, the District is subject to congressional control and, in late 2014, the U.S. Congress passed an omnibus spending bill that included a provision prohibiting the use of federal or local funds in the Act to “enact any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution” of

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<sup>29</sup> See United States Census Bureau, “State & County QuickFacts, District of Columbia,” <http://quickfacts.census.gov/qfd/states/11000.html>.

<sup>30</sup> See D.C. Metropolitan Police, *Marijuana Possession Decriminalization Amendment Act of 2014*, Special Order Number SO-14-04, July 17, 2014, <https://www.documentcloud.org/documents/1219510-metropolitan-police-department-special-order-on.html>.

<sup>31</sup> See Mike DeBonis and Peter Hermann, *Decriminalization arrives, and D.C. police prepare for sea change in marijuana laws*, The Washington Post, July 17, 2014, [http://www.washingtonpost.com/local/dc-politics/decriminalization-arrives-and-dc-police-prepare-for-sea-change-in-marijuana-laws/2014/07/16/0f21a2b8-0c82-11e4-b8e5-d0de80767fc2\\_story.html](http://www.washingtonpost.com/local/dc-politics/decriminalization-arrives-and-dc-police-prepare-for-sea-change-in-marijuana-laws/2014/07/16/0f21a2b8-0c82-11e4-b8e5-d0de80767fc2_story.html).

<sup>32</sup> Mike Coneen, *Recreational marijuana use, possession now legal in D.C.*, WJLA, Feb. 26, 2015, <http://www.wjla.com/articles/2015/02/recreational-marijuana-now-legal-in-d-c--111817.html>.

<sup>33</sup> Aaron Davis, *D.C. voters overwhelmingly support legalizing marijuana, joining Colo., Wash.*, Washington Post, Nov. 4, 2014, [http://www.washingtonpost.com/local/dc-politics/dc-voters-titling-heavily-toward-legalizing-marijuana-likely-joining-colo-wash/2014/11/04/116e83f8-60fe-11e4-9f3a-7e28799e0549\\_story.html](http://www.washingtonpost.com/local/dc-politics/dc-voters-titling-heavily-toward-legalizing-marijuana-likely-joining-colo-wash/2014/11/04/116e83f8-60fe-11e4-9f3a-7e28799e0549_story.html).



certain substances, including marijuana.<sup>34</sup> Additionally, the day before the initiative went into effect, two Members of Congress wrote a letter to the Mayor of the District stating, in relevant part, that if the Mayor were to “decide to move forward . . . with the legalization of marijuana . . . [the Mayor would] be doing so in knowing and willful violation of the law.”<sup>35</sup> In an interview, one Congressman suggested that officials who continued with the legalization efforts would face prison time.<sup>36</sup> Although District officials were not deterred from moving forward with legalization, it appears that they have (at least for the time being) refrained from pressing forward with efforts to legalize the sale of marijuana, notwithstanding prior discussions of passing legislation to create a “legitimate cannabis industry” in the District.<sup>37</sup> However, the City Council has advanced a bill that, if enacted, would limit employers’ ability to require job applicants to undergo drug testing as part of the hiring process.<sup>38</sup>

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<sup>34</sup> Alex Rogers, *Congress Approves Trillion-Dollar Spending Bill*, Time, Dec. 14, 2014, <http://time.com/3632125/congress-spending-bill/>; Consolidated and Further Continuing Appropriations Act of 2015, Pub. L. No. 113-235, 113th Cong. § 809 (2014).

<sup>35</sup> Jonathan Topaz, *Muriel Bowser: D.C. won’t back down in Chaffetz pot showdown*, POLITICO, <http://www.politico.com/story/2015/02/jason-chaffetz-and-dc-in-pot-showdown-115495.html>; <http://www.scribd.com/doc/256910143/Letter-to-d-c-Mayor-Muriel-Bowser-Regarding>.

<sup>36</sup> Mike DeBonis, *Bowser: Legal pot possession to take effect at midnight in the District*, Washington Post, [http://www.washingtonpost.com/local/dc-politics/house-republicans-warn-dc-mayor-not-to-legalize-pot/2015/02/25/2f784a10-bcb0-11e4-bdfa-b8e8f594e6ee\\_story.html](http://www.washingtonpost.com/local/dc-politics/house-republicans-warn-dc-mayor-not-to-legalize-pot/2015/02/25/2f784a10-bcb0-11e4-bdfa-b8e8f594e6ee_story.html).

<sup>37</sup> See Aaron Davis, *D.C. Council backs down on marijuana hearing after attorney general warning*, Washington Post, [http://www.washingtonpost.com/local/dc-politics/dc-council-warned-not-to-move-forward-on-marijuana-legalization/2015/02/09/2c1593aa-b067-11e4-827f-93f454140e2b\\_story.html](http://www.washingtonpost.com/local/dc-politics/dc-council-warned-not-to-move-forward-on-marijuana-legalization/2015/02/09/2c1593aa-b067-11e4-827f-93f454140e2b_story.html); Mike DeBonis, *Legal marijuana could be \$130 million a year business in D.C., study finds*, The Washington Post, Oct. 30, 2014, [http://www.washingtonpost.com/local/dc-politics/legal-marijuana-could-be-a-130-million-a-year-business-in-dc-officials-find/2014/10/30/d6f80a52-603d-11e4-9f3a-7e28799e0549\\_story.html?wprss=rss\\_local](http://www.washingtonpost.com/local/dc-politics/legal-marijuana-could-be-a-130-million-a-year-business-in-dc-officials-find/2014/10/30/d6f80a52-603d-11e4-9f3a-7e28799e0549_story.html?wprss=rss_local).

<sup>38</sup> Aaron Davis, *Bill to limit marijuana screening by D.C. employers advances in council*, The Washington Post, Mar. 4, 2015, [http://www.washingtonpost.com/local/dc-politics/bill-to-limit-marijuana-screening-by-dc-employers-advancing-in-council/2015/03/04/72569e0c-c28e-11e4-9ec2-b418f57a4a99\\_story.html](http://www.washingtonpost.com/local/dc-politics/bill-to-limit-marijuana-screening-by-dc-employers-advancing-in-council/2015/03/04/72569e0c-c28e-11e4-9ec2-b418f57a4a99_story.html).

## II. THE DISTRICT'S BUDGET: COSTS OF CONFINEMENT

### A. D.C. Department of Corrections Budget

Over the past decade, the DCDOC's budget has averaged \$141.7 million, with peak funding<sup>39</sup> in FY08. The Department's FY15 request for \$151.6 million would bring the Department's budget within \$2 million of the FY08 level.

When considering the District's budgeting and priorities, one should note that the District has less control over its budget than do states over their budgets. The District of Columbia Self-Government and Governmental Reorganization Act of 1973 (also known as the "Home Rule" Act) is a federal law that devolves certain decision making responsibilities to the District, including a degree of authority to help determine the District's budget.<sup>40</sup> To simplify, once the City Council adopts the Mayor's budget, which is effectively a request to Congress for approval of local budget priorities as well as any federal funding, the Mayor sends the budget to the President, who transmits it to the House and the Senate for review.<sup>41</sup> Congress is not required to follow the District's budget when it approves the District's use of its own revenue or when it appropriates funding in the bill it sends to the President for signature. As a consequence, District funding remains subject to the oversight of a political body that is not accountable to residents of the District, an arrangement that can and has led to disputes over funding and puts the District at risk when Congress cannot pass legislation, such as those discussed previously relating to legislation regarding possession of marijuana.<sup>42</sup>

The District's annual budget figures are divided into "actual," "approved," and "requested" figures. Actual figures are dollars actually spent, as determined by an audit; "approved" figures are amounts approved to be spent; and requested amounts denote spending that the District has proposed, but which has not yet been approved.<sup>43</sup>

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<sup>39</sup> This report considers budgets for FY05 through the FY15 request.

<sup>40</sup> See District of Columbia Self-Government and Governmental Reorganization Act of 1973, Pub. L. 93-198, 87 Stat. 777 (Dec. 24, 1973); see also D.C. Code §§ 1-201.1, *et seq.*

<sup>41</sup> See D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, 1-16 - 1-17, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_1\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_1_web.pdf).

<sup>42</sup> See, e.g., Erik Wasson, *DC cannot declare budget freedom from Congress, GAO says*, The Hill, Jan. 30, 2014, <http://thehill.com/policy/finance/197019-dc-cannot-declare-budget-freedom-from-congress-gao-says>.

<sup>43</sup> See DC Fiscal Policy Institute, *A Citizen's Guide to the DC Budget*, 8-9, <http://www.dcfpi.org/wp-content/uploads/2013/03/3-26-13-Citizens-Budget-Guide.pdf>.

The DCDOC's approved budget for FY14 was \$140.3 million.<sup>44</sup> The FY14 approved budget represented an increase of 7% over the FY13 actual budget (\$131.1 million).<sup>45</sup> For FY15, the Department has proposed a budget of \$151.6 million, which represents an increase of approximately 8% over FY14 approved levels.<sup>46</sup>

Figure 1<sup>47</sup> indicates the Department's budget for fiscal years 2005 through 2015.<sup>48</sup> As the data indicate, during the covered period (FY05-FY15), the Department

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<sup>44</sup> D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, C-37, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_2\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_2_web.pdf).

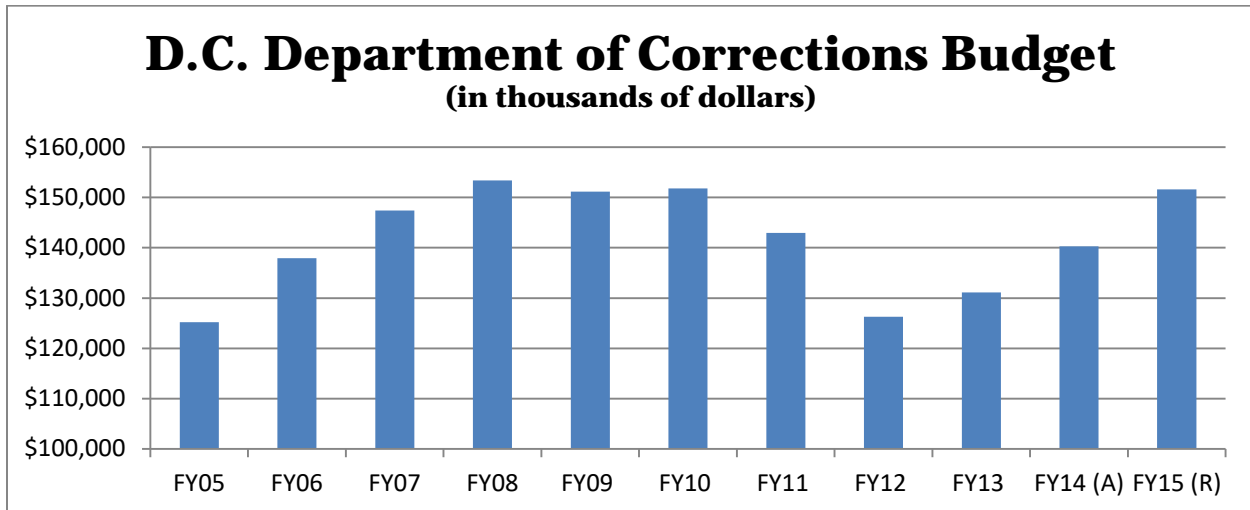
<sup>45</sup> D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, C-37, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_2\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_2_web.pdf).

<sup>46</sup> D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, C-37, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_2\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_2_web.pdf).

<sup>47</sup> Figure 1 was calculated using information made available by the Office of the Chief Financial Officer. See D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_2\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_2_web.pdf); D.C. Chief Financial Officer, *FY 2014 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_2\\_Final.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_2_Final.pdf); D.C. Chief Financial Officer, *Seizing Our Future: FY 2013 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_fy2013\\_volume\\_2\\_chapters\\_part\\_1.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_fy2013_volume_2_chapters_part_1.pdf); D.C. Chief Financial Officer, *One City Rising to the Challenge: FY 2012 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_volume\\_2\\_agency\\_chapters\\_part\\_i\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_volume_2_agency_chapters_part_i_web.pdf); D.C. Chief Financial Officer, *Maximizing Efficiency: FY 2011 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/2011\\_Volume\\_1-Executive\\_Summary\\_Web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/2011_Volume_1-Executive_Summary_Web.pdf); D.C. Chief Financial Officer, *Meeting the Challenge: FY 2010 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_volume\\_2\\_agency\\_chapters\\_part\\_i\\_web\\_1.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_volume_2_agency_chapters_part_i_web_1.pdf); D.C. Chief Financial Officer, *FY 2009 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_agency\\_budget\\_chapters\\_part\\_1\\_of\\_2.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_agency_budget_chapters_part_1_of_2.pdf); D.C. Chief Financial Officer, *Moving Forward: FY 2008 Proposed Budget and Financial Plan*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_volume\\_2a\\_web2.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_volume_2a_web2.pdf); D.C. Chief Financial Officer, *FY 2007 Proposed Budget and Financial Plan: Public Safety and Justice 2007 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_dc\\_budget\\_v](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_dc_budget_v) (continued...)

received peak funding in FY08 (\$153.4 million). The FY12 budget was the lowest the Department had seen since FY05.

**Figure 1**

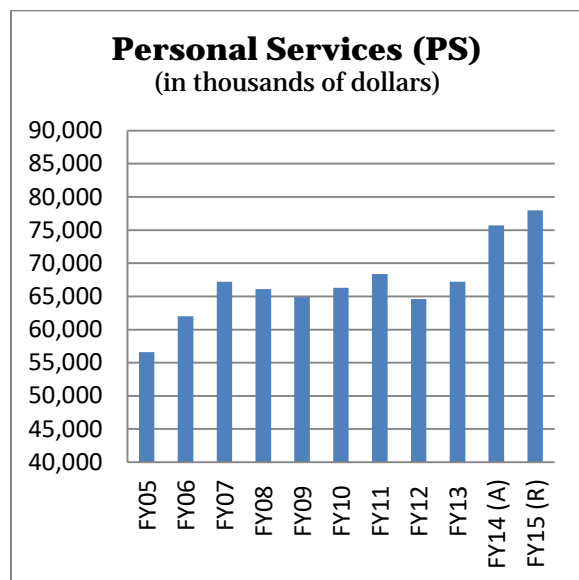


[olume 3d.pdf](#); D.C. Chief Financial Officer, *FY 2008 Proposed Budget and Financial Plan: Public Safety and Justice 2008 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_volume\\_3\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_volume_3_web.pdf); D.C. Chief Financial Officer, *FY 2009 Proposed Budget and Financial Plan: Public Safety and Justice 2009 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_operating\\_appendices\\_part\\_2\\_of\\_4.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_operating_appendices_part_2_of_4.pdf); D.C. Chief Financial Officer, *FY 2010 Proposed Budget and Financial Plan: Public Safety and Justice 2010 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/volume\\_4\\_operating\\_appendices\\_part\\_i\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/volume_4_operating_appendices_part_i_web.pdf); D.C. Chief Financial Officer, *FY 2011 Proposed Budget and Financial Plan: Public Safety and Justice 2011 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/2011\\_Volume\\_4-Operating\\_Appendices-Part\\_I\\_Web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/2011_Volume_4-Operating_Appendices-Part_I_Web.pdf); D.C. Chief Financial Officer, *FY 2012 Proposed Budget and Financial Plan: Public Safety and Justice 2012 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_volume\\_4\\_operating\\_appendices\\_part\\_i\\_web\\_r.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_volume_4_operating_appendices_part_i_web_r.pdf); D.C. Chief Financial Officer, *FY 2013 Proposed Budget and Financial Plan: Public Safety and Justice 2013 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo\\_fy2013\\_volume\\_4\\_appendices\\_part\\_1\\_0.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/ocfo_fy2013_volume_4_appendices_part_1_0.pdf); D.C. Chief Financial Officer, *FY 2014 Proposed Budget and Financial Plan: Public Safety and Justice 2014 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_4\\_Final.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_4_Final.pdf); D.C. Chief Financial Officer, *FY 2015 Proposed Budget and Financial Plan: Public Safety and Justice 2015 Operational Appendices*, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_5\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_5_web.pdf).

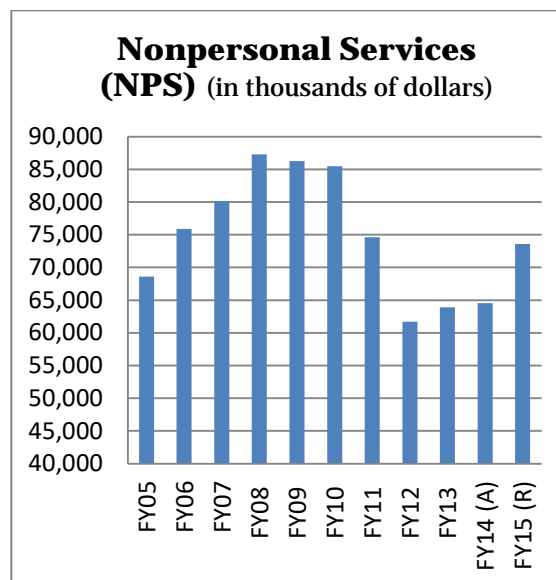
<sup>48</sup> Figures for FY05 through FY13 are actual budget figures, while FY14 is the approved budget and FY15 is the Department's request.

The DCDOC’s budget can be further divided to into “Personal Services,” (PS) (Figure 2<sup>49</sup>) which is essentially labor expenses, and “Nonpersonal Services,” (NPS) (Figure 3<sup>50</sup>) which is a catch-all category for other expenses, generally including operational costs including supplies, equipment, and contractual services.

**Figure 2**



**Figure 3**



A review of notices of intent to award sole source contracts issued by the Office of Contracting and Procurement<sup>51</sup> provide some insight into the cost of various contractual services:<sup>52</sup>

- Inmate Telephone, Inc. provides prisoner telephone services for the DCDOC, including labor, equipment, and materials. A proposed extension of a contract for services provided through September 30, 2015, indicates that services would be provided at no cost to the District. Presumably, the company pays for these costs through revenue generated by system use.<sup>53</sup>

<sup>49</sup> See *supra* n.47.

<sup>50</sup> See *supra* n.47.

<sup>51</sup> See D.C. Office of Contracting and Procurement, *Notice of Intent to Award Sole Source Contracts*, [http://app.ocp.dc.gov/intent\\_award/intent\\_award\\_opic.asp](http://app.ocp.dc.gov/intent_award/intent_award_opic.asp).

<sup>52</sup> It is not clear precisely which budget category encompasses these expenditures, though it is not unreasonable to expect that they would be categorized as contractual services.

<sup>53</sup> D.C. Office of Contracting and Procurement, *Determinations and Findings for Sole Source Extension of Contract* (Contract CW12929, Inmate Telephone Inc.) (unsigned), [http://app.ocp.dc.gov/intent\\_award/D\\_F/DF\\_Sole\\_Source\\_Inmate\\_Telephone.pdf](http://app.ocp.dc.gov/intent_award/D_F/DF_Sole_Source_Inmate_Telephone.pdf).

- Centric GRP LLC/Keefe Supply Company provides commissary services. A proposed extension of a contract for services would pay the company about \$631,000 for the seven-month period from January 1, 2015, through July 31, 2015.<sup>54</sup>
- Virginia Correctional Enterprises provides off-site laundry services for the D.C. Jail. A proposed extension of a contract for services would pay the company \$200,000 for the nine-month period from January 1, 2015, through September 30, 2015.<sup>55</sup>
- Johnson Control provides maintenance services for the D.C. Jail air, heat, power, and ventilation systems. A proposed extension of a contract for services would pay the company about \$70,000 from the date of the award through September 30, 2015.<sup>56</sup>
- URS Federal Technical Services, Inc./EG&G Technical Services, Inc. provide warehouse management and logistics services, including management of supply operations at the D.C. Jail. A proposed contract for services would pay URS about \$694,000 for services between October 1, 2014, and September 30, 2015.<sup>57</sup>

## **B. Private Contracts: CCA, Unity Health Care, Halfway Houses**

One NPS component, “Contractual Services - Other” (Figure 4<sup>58</sup>) accounted for between 75% and 86% of all NPS expenses for FY05 through FY15, and for between 36% and 48% of the entire budget. The contractual services component appears to include the Department’s contracts with CCA, with the private halfway houses, and for prisoner medical services.

Because the “Contractual Services - Other” category accounts for such a significant percentage of the total NPS budget, it is useful to examine the NPS budget

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<sup>54</sup> D.C. Office of Contracting and Procurement, *Determination and Findings for Sole Source Extension of Contract* (Contract CW18182, Centric GRP/Keefe Supply Company) (unsigned), [http://app.ocp.dc.gov/intent\\_award/D\\_F/DF\\_CW18182\\_R1.pdf](http://app.ocp.dc.gov/intent_award/D_F/DF_CW18182_R1.pdf).

<sup>55</sup> D.C. Office of Contracting and Procurement, *Determination and Findings for Sole Source Extension of Contract* (Contract CW17235, Virginia Correctional Enterprises) (unsigned), [http://app.ocp.dc.gov/intent\\_award/D\\_F/DF\\_CW17235\\_R1.pdf](http://app.ocp.dc.gov/intent_award/D_F/DF_CW17235_R1.pdf).

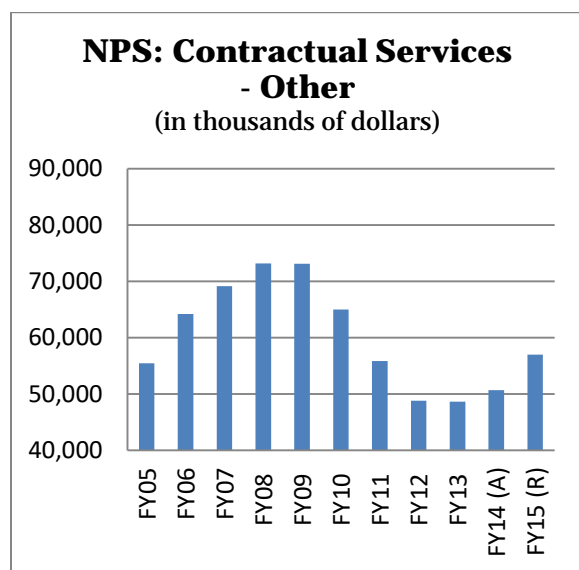
<sup>56</sup> D.C. Office of Contracting and Procurement, *Determination and Findings for Sole Source Extension of Contract* (Johnsons Control) (unsigned), [http://app.ocp.dc.gov/intent\\_award/D\\_F/DF\\_Johnson\\_Control\\_R1.pdf](http://app.ocp.dc.gov/intent_award/D_F/DF_Johnson_Control_R1.pdf).

<sup>57</sup> D.C. Office of Contracting and Procurement, *Determination and Findings for Sole Source Extension of Contract* (Contract CW12688, URS) (unsigned), [http://app.ocp.dc.gov/intent\\_award/D\\_F/DF\\_CW12688%208\\_26\\_14R.pdf](http://app.ocp.dc.gov/intent_award/D_F/DF_CW12688%208_26_14R.pdf).

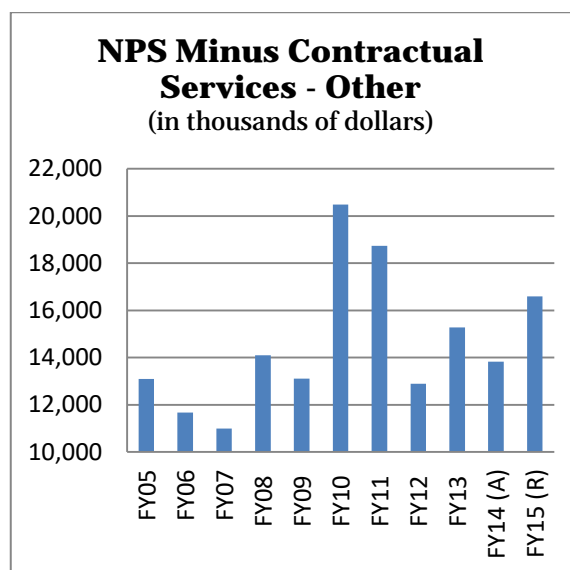
<sup>58</sup> See *supra* n.47.

without the contractual services category (Figure 5<sup>59</sup>). As Figure 5 demonstrates, the NPS budget sans the “Contractual Services - Other” category has been subject to a fair degree of year-to-year fluctuation. The figures also reveal comparatively higher expenses in FY10 and FY11. In both fiscal years—and only those fiscal years—the second largest expense category after contractual services was “Expense Not Budgeted Others,” which accounted for \$10.6 million in expenses in FY10 and \$8.6 million in FY11. It is not clear precisely what accounts for the variations in this budget category. For FY15, this budget category includes \$6.5 million for supplies and materials, such as books, writing materials, and other goods purchased for prisoner use and consumption; \$2.7 million for equipment; \$60,000 for telecommunications.<sup>60</sup> For FY15, this budget category also includes \$2.8 million for land and building rental, which may represent the District’s lease payment to CCA for the CTF facility, as discussed below.<sup>61</sup>

**Figure 4**



**Figure 5**



A significant percentage of the Department of Corrections’ budget is devoted to paying for contracts with private entities, including CCA and private halfway houses.

<sup>59</sup> See *supra* n.47.

<sup>60</sup> See D.C. Chief Financial Officer, *Keeping the Promises: FY 2015 Proposed Budget and Financial Plan*, C-37 to C-39, [http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO\\_Volume\\_2\\_web.pdf](http://cfo.dc.gov/sites/default/files/dc/sites/ocfo/publication/attachments/DCOCFO_Volume_2_web.pdf).

<sup>61</sup> See *id.*

# Appendix B

GOVERNMENT OF THE DISTRICT OF COLUMBIA  
DEPARTMENT OF CORRECTIONS



**D.C. Department of Corrections Response**  
**to**  
**Washington Lawyers' Committee White Paper**

The Washington Lawyers' Committee commissioned Covington and Burling to prepare a white paper regarding conditions of confinement at the D.C. Jail and CTF to include physical infrastructure, mental health, suicide prevention, drug treatment practices, juvenile unit, demographics, budget/costs and contracting. The Department of Corrections provides the following comments in response to this report.

**CDF and CTF Facilities**

- DOC is committed to performing preventative and day to day maintenance of the CDF and CTF facilities in order to provide a clean and safe environment for staff and inmates.
- DOH noted in its exit interview with DOC staff on March 16, 2015 that there had been noticeable improvements in the facility, and areas that were in need of repair and in poor condition during the initial inspection have improved significantly.
- In the most recent March 2015 DOH inspection, 87% of the identified items have already been abated to date; the remaining items are either currently being corrected or, due to the age and deterioration of the physical structure, are outside of DOC's control.

**Juvenile Programs**

- Since the issuance of the Ridley Report, DCDOC has significantly expanded the juvenile program.
  - The after school program has been expanded and now runs from Monday-Friday. This program focuses on reinforcing the day's lessons, teaching good citizenship and pro-social development.
  - There is a daily barbering program for the male juveniles.
  - There is a comprehensive Victim Impact Training program that combines intensive recovery support, mentoring and anger management services, and workforce development skills.
  - Other weekly programs include: Life Skills workshops, Free Minds Book Club, Adjusting Our Attitudes and substance abuse education.
- The Juvenile Unit program manager is currently in the process of negotiating a career and technical training for weekend programming.
- As of September 2014, all correctional officer recruits are trained in Positive Youth Development (PYD), as well as the operational and disciplinary procedures of the



Juvenile Unit. This training is being phased into the annual in-service training for all correctional staff.

- PYD emphasizes building skills and assets in youth in addition to preventing negative outcomes.

**Juvenile Administrative Segregation**

The DOC does not use excessive isolation and segregation with the juvenile population. The number of juveniles segregated (either awaiting a disciplinary hearing or placed in administrative segregation) over the past year are as follows with the average stay in segregation being approximately two (2) days:

Month	Number of juveniles
May 2014	Six (6)
June 2014	Zero (0)
July 2014	Three (3)
August 2014	Three (3)
September 2014	Two (2)
October 2014	Six (6)
November 2014	Two (2)
December 2014	Zero (0)
January 2015	Zero (0)
February 2015	Zero (0)
March 2015	Five (5)
April 2015	Two (2)
May 2015	Zero (0)

- The DOC has had Juvenile Administrative Housing and Hearing Procedures in place since June 2013.
- Any juvenile placed in administrative segregation is housed in a separate cell on the lower tier within the juvenile unit.
  - These juveniles attend school through DCPS and have access to legal services, programming, counseling services, and meaningful contact with the other juvenile inmates.
- If a juvenile is placed in administrative segregation, the following occurs:
  - Officers are required to do a visual security check of the juvenile every fifteen (15) minutes.
  - The juvenile receives individual recreation for two (2) hours per day.
  - Juveniles will not be placed in segregation for longer than five (5) days unless extenuating circumstances exist.

**Visitation for Juveniles**

- In addition to video visitation, juveniles who have reached the Gold Tier in the Juvenile Unit are given contact visitation with their parent or guardian once per month. Eligibility for the Gold Tier is based on several factors such as behavior, program participation and educational factors.
- There are currently eight (8) juveniles on the Gold Tier with two (2) more expected by May 7.
- Juvenile inmates are given contact visits during the holiday season in December.

## **Suicide Prevention**

The DOC, through a proactive initiative, requested that consultant Lindsay Hayes independently assess current practices and provide any appropriate recommendations relating to suicide prevention policies and procedures within DOC. His recommendations have been implemented.

- As of October 2014, all 40 designated cells have been completed and retrofitted as follows: to decrease ligature points, handles have been removed from toilets and desks, vents are covered with anti-ligature grade mesh, pick-proof, penal-grade caulking has been used in the cells, and doors have been replaced to allow for 2 clear panels that provide enhanced vision into and out of the cells.
- In response to the Hayes Report recommendations relating to suicide precautions, the DOC provides suicide-resistant smocks and blankets; more time out of cells and the provision of more family visits and telephone access is under review.
- “Behavior Management” status was immediately discontinued upon receipt of the Hayes Report. There are now two categories of observation: Suicide Watch for inmates thought to be actively suicidal and Suicide Precaution for inmates who are at risk of suicide, but less acutely compared to inmates on Suicide Watch. Inmates on Suicide Watch are placed in a safe cell on 3<sup>rd</sup> floor medical and are provided one-to-one constant monitoring by a healthcare professional. Inmates on Suicide Precaution are monitored by officers every fifteen (15) minutes in staggered intervals.
- Suicide Prevention training has been significantly enhanced. All correctional officers, other DOC staff and healthcare vendor staff must undergo four (4) hours annually of Suicide Prevention training. Additionally, DOC brought in national expert Dr. Dean Aufderheide to conduct a full day of training on self-injurious behavior issues which involved inmates with mental health issues as well as those exhibiting “bad behavior.” Correctional officers on the mental health and segregation units, as well as mental health staff participated in this training.
- DOC is in the process of developing a Mental Health Step Down Unit, which seeks to transition stabilized inmates on the Crisis Intervention Unit to a different wing of the same area which would involve enhanced programming, as well as double-bunking as a way to help them fully transition to general population as their functionality improves.

## **Substance Abuse Treatment Programs**

- The Secure Residential Treatment Program (SRTP) is a joint program of CSOSA and the US Parole Commission that is located in the CTF. Eligibility requirements and the content of the program are controlled by those agencies.
- The DOC offers a Residential Substance Abuse Treatment (RSAT) program to both male and female inmates. The RSAT curriculum is licensed by Addiction Prevention and Recovery Administration (APRA), the regulating body for policy for substance abuse prevention, treatment, and recovery services.
  - RSAT is a 30-120 day program that includes services such as: relapse prevention, parenting classes, and life skills.
  - The program is open to volunteers and also accepts referrals and self-reports.
  - Inmates who have violated the terms of their probation and otherwise meet the requirements of the program may also enroll.

## **Good Time Credits**

- The DOC amended the good time credits law in 2010 in order to expand the application of good time credits to allow pretrial detainees, in addition to sentenced misdemeanants, to earn credits for good behavior and for successful participation in an expanded list of programs including rehabilitative programs, work details, and special projects, with or without completion of the program.
- The District's good time credit laws only apply to pretrial and subsequently sentenced misdemeanants. In accordance with the National Capital Revitalization and Self-Government Improvement Act of 1997 (Pub. L. No. 15-33, 11 Stat.712) and D.C. Code § 24-101, inmates charged with felonies and sentenced to more than one (1) year of incarceration are Federal Bureau of Prisons inmates and can only earn good time credits in accordance with federal law and FBOP policies.

## **WLC Recommendations**

As explained above, the DOC has already taken action on several of the Recommendations contained in the WLC Report. In regard to suicide prevention practices mentioned in Recommendation #3, the DOC brought in on its own initiative an independent consultant, Lindsay Hayes, made the report public, and has implemented the recommendations from his report. Inasmuch as Recommendation #3 relates to juvenile confinement, the DOC similarly commissioned the Ridley report and publicized it, and has worked diligently to implement the Ridley recommendations, including a comprehensive Juvenile Administrative Housing and Hearing policy that is currently in place. The review of training for correctional officers tasked with specialized functions such as juvenile custody and suicide prevention contained in Recommendation #4 has been addressed as explained above, and is ongoing. While much progress has been made, the DOC remains committed to improving operations and achieving the status of a benchmark correctional agency.

# **EXHIBIT 2**

**DECLARATION OF DR. MARC STERN, MD MPH IN SUPPORT OF PLAINTIFF'S  
EMERGENCY MOTION**

On this 29th day of March, 2020, I hereby declare:

1. My name is Marc Stern. I am a board certified internist specializing in correctional health care. I have managed health care operations and practiced health care in multiple correctional settings. Most recently, I served as the Assistant Secretary of Health Care for the Washington State Department of Corrections. In terms of educational background, I received a Bachelor of Science degree from State University of New York (Albany) in 1975, a medical degree from State University of New York (Buffalo) in 1982, and a Master of Public Health from Indiana University in 1992. I am an Affiliate Assistant Professor at the University of Washington School of Public Health.

2. On a regular basis, I investigate, evaluate, and monitor the adequacy of health care delivery systems in correctional institutions on behalf of a variety of parties including federal courts. My prior experience includes working with the Office of Civil Rights and Civil Liberties of the U.S. Department of Homeland Security; the Special Litigation Section of the Civil Rights Division of the U.S. Department of Justice; and state departments of corrections and county jails.

3. Through 2013, I taught the National Commission on Correctional Health Care's (NCCHC) correctional health care standards semi-annually to correctional health care administrators at NCCHC's national conferences. I authored a week-long curriculum commissioned by the National Institute of Corrections of the U.S. Department of Justice to train jail and prison wardens and health care administrators in the principles and practice of operating safe and effective correctional health care operations, and served as the principal instructor for this course.

4. In the past four years alone, I have been qualified as an expert in several

jurisdictions on correctional health care systems and conditions of confinement. My full *curriculum vitae* is attached hereto as Exhibit A.

5. I am not receiving payment in exchange for providing this affidavit to the D.C. Public Defender Services regarding appropriate correctional healthcare measures during the COVID-19 pandemic. In light of the emergency conditions occurring in jails and prisons across the country, I am providing my services *pro bono*.

6. Due to the recent COVID-19 pandemic affecting the nation and world, I have familiarized myself with the virus from a clinical perspective, including its causes and conditions, its transmission – especially in crowded and unsanitary conditions – and its ability to quickly spread through correctional facilities.

7. In the context of a pandemic like the one we currently face, public health and public safety interests are closely intertwined. When and if correctional staffing challenges arise due to the need for staff to quarantine, seek treatment, or care for dependents, managing internal safety in carceral settings becomes even more challenging. Understaffing in the correctional setting is dangerous for staff as well as incarcerated people, and the stress and fear of the current crisis only serve to increase those risks.

8. I have reviewed the March 25, 2020, letter sent from the union to the D.C. DOC, spelling out in the public health dangers at the D.C. DOC. If accurate, such conditions heighten the urgency of addressing these problems.

9. For example, if true, the grievance's allegations that correctional officers responsible for receiving and overseeing inmates do not any, or sufficient, personal protective equipment (PPE) for use when indicated,<sup>1</sup> and that officers are not required to participate in social distancing during shift changes, raise serious concerns that those officers may contract and transmit COVID-19 to their co-workers, families, and inmates in the facility. Accordingly, reducing the number of inmates with whom those

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<sup>1</sup> Grievance at 3.

correctional officers must interact will reduce the risk that those correctional officers will contract COVID-19 or transmit it to others in the community.

10. I have also reviewed the declarations of four inmates detained in DOC facilities. As with the union's grievance letter, the inmates' allegations, if true, heighten the urgency of taking immediate and aggressive action. For example, the housing of multiple inmates within a single cell and lack of adequate cleaning supplies increases the probability that COVID-19 is already spreading throughout the facilities. Accordingly, housing only one inmate per cell and either providing sufficient cleaning supplies or reducing the amount of space requiring thorough cleaning will decrease the virus's ability to spread within DOC facilities.

11. In light of the conditions described in the documents that I have reviewed, the four confirmed cases of COVID-19 inside of DOC facilities, and the apparent resource-shortages facing the DOC, I am even more firmly convinced that downsizing the inmate population as much as possible will reduce the risk of contraction and transmission of COVID-19—and the attendant risks of serious harm and death—within DOC facilities and the communities around them.

12. Thoughtful downsizing should be implemented in tandem with aggressive, responsive prevention measures that are developed and guided by public health and medical experts.

13. Institutional settings such as jails, prisons, shelters, and inpatient treatment programs are congregate environments where people live, eat, and sleep closely together. In these environments, infections like COVID-19 can spread more rapidly. Downsizing jail populations serves two critical public health aims: (1) targeting residents who are at elevated risk of suffering from severe symptoms of COVID-19; and (2) allowing those who remain incarcerated to better maintain social distancing and avoid other risks associated with forced communal living. Because vulnerable populations are at the highest risk of severe complications from COVID-19, and because when they develop severe complications they will be transported to community hospitals—thereby using scarce community

resources (ER beds, general hospital beds, ICU beds)—avoiding disease in this population is a critical contribution to public health overall.

14. Downsizing jail populations by releasing high risk individuals and others the court system deems eligible for release will help to “flatten the curve” overall—both within the jail setting and without. Early reporting on the impacts of COVID-19, based in part on preliminary data emerging from China, seemed to indicate that the virus’ impact would remain relatively mild for younger people. Recent data released by the CDC suggests that this initial narrative is incorrect, and that adults aged 20-44 also face a risk of experiencing severe health outcomes as a result of contracting the disease. The CDC released data based on the reported cases in the United States between February 12 and March 16, 2020. This data showed the thirty-eight percent (38%) of the hospitalizations from coronavirus occurred in patients under 55 years old.<sup>2</sup> French health officials have released statements saying that half of intensive care admission in that country involve individuals under 65. In the Netherlands, half of intensive care admissions were for people under the age of 50.<sup>3</sup>

15. While the highest risk of death remains among the elderly, it is becoming clear that younger individuals are not protected from severe complications requiring hospitalization and placement in intensive care, using valuable community resources that are expected to become more scarce.

16. At the same time criminal justice authorities work to downsize jail populations, it is critical that the D.C. Department of Corrections, the D.C. Department of Behavioral Health, and any other public agency responsible for maintaining congregate living conditions of detained individuals in the D.C. system immediately undertake the following prevention and planning measures:

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<sup>2</sup> *Severe Outcomes Among Patients with Coronavirus Disease 2019 (COVID-19) — United States, February 12–March 16, 2020*, available at

[https://www.cdc.gov/mmwr/volumes/69/wr/mm6912e2.htm?s\\_cid=mm6912e2\\_w](https://www.cdc.gov/mmwr/volumes/69/wr/mm6912e2.htm?s_cid=mm6912e2_w)

<sup>3</sup> <https://www.washingtonpost.com/health/2020/03/19/younger-adults-are-large-percentage-coronavirus-hospitalizations-united-states-according-new-cdc-data/>



- a. **Immediate testing.** Patients who require testing, based on public health recommendations and the opinion of a qualified medical professional, should be tested for COVID-19.
- b. **Immediate Screening.** Correctional authorities must be required to screen each employee or other person entering the facility *every day* to according to current CDC or local health department guidelines A record should be made of each screening.
- c. **Quarantine.** The jail must establish non-punitive quarantine for all individuals believed to have been exposed to COVID-19, but are not yet symptomatic, and non-punitive isolation for those believed to be infected with COVID-19 and potentially infectious. Any individual who must interact with those potentially or likely infected with COVID-19 must utilize protective equipment as directed by public health authorities. In short, every possible effort must be made to separate infected or potentially infected individuals from the rest of the incarcerated population. Individuals requiring continued quarantine, isolation, or health care after release from incarceration should be transferred from the institution to the appropriate outside venue.
- d. **Institutional Hygiene.** The jail must be required to provide adequate sanitation of high use/high touch areas and cells in accordance with CDC or local health authority guidelines.
  - i. This includes a prompt way to dispose of tissues used by incarcerated individuals as well as staff.
- e. **Personal Hygiene.** The jail must be required to provide hand soap, disposable paper towels, and access to water to allow residents to wash their hands on a regular basis, **free of charge** and ensure replacement products are available as needed. Correctional staff should be allowed to carry hand sanitizer with alcohol on their person, and residents should be allowed to use hand sanitizer with alcohol when they

are in locations or activities where hand washing is not available.

i. Inmates should be permitted access to cleaning supplies so they may clean their individual cells. This will both keep cells cleaner, and also stem panic amongst the incarcerated population.

f. **Access to treatment.** It is critical that inmates have rapid access to responsive medical treatment. Those with a cough should be provided masks as soon as they inform staff of this symptom or staff notice this symptom.

17. The measures I propose above are baseline steps to help slow the spread of COVID-19 in all facilities. However, each correctional facility has its own unique combination of physical structure and layout, operations, policies, logistics, inmate characteristics, and staffing factors that determine what additional measures may be necessary to minimize the spread of COVID-19. Only a public health expert who is able to review a particular facility firsthand can account for all of those factors and provide a meaningful and facility-specific opinion about what additional measures are necessary to reduce the risk of transmission.

18. I declare under penalty of perjury that the foregoing is true and correct.

Executed on March 29, 2020.

  
\_\_\_\_\_  
Marc Stern, MD MPH

# **EXHIBIT A**

## SUMMARY OF EXPERIENCE

### CORRECTIONAL HEALTH CARE CONSULTANT

2009 – PRESENT

Consultant in the design, management, and operation of health services in a correctional setting to assist in evaluating, monitoring, or providing evidence-based, cost-effective care consistent with constitutional mandates of quality.

Current activities include:

- COVID-19 Medical Advisor, National Sheriffs Association (2020 - )
- Advisor to various jails in Washington State on patient safety, health systems, and related health care and custody staff activities and operations, and RFP and contract generation (2014 - )
- Consultant to the US Department of Justice, Civil Rights Division, Special Litigation Section. Providing investigative support and expert medical services pursuant to complaints regarding care delivered in any US jail, prison, or detention facility. (2010 - ) (no current open cases)
- Physician prescriber/trainer for administration of naloxone by law enforcement officers for the Olympia, Tumwater, Lacey, Yelm, and Evergreen College Police Departments (2017 - )
- Consultant to the Civil Rights Enforcement Section, Office of the Attorney General of California, under SB 29, to review the healthcare-related conditions of confinement of detainees confined by Immigration and Customs Enforcement in California facilities (2017 - )
- Rule 706 Expert to the Court, US District Court for the District of Arizona, in the matter of Parsons v. Ryan (2018 - )

Previous activities include:

- Consultant to Human Rights Watch to evaluate medical care of immigrants in Homeland Security detention (2016 - 2018)
- Consultant to Broward County Sheriff to help develop and evaluate responses to a request for proposals (2017 - 2018)
- Member of monitoring team (medical expert) pursuant to Consent Agreement between US Department of Justice and Miami-Dade County (Unites States of America v Miami-Dade County, *et al.*) regarding, *entre outre*, unconstitutional medical care. (2013 - 2016)
- Jointly appointed Consultant to the parties in Flynn v Walker (formerly Flynn v Doyle), a class action lawsuit before the US Federal District Court (Eastern District of Wisconsin) regarding Eighth Amendment violations of the health care provided to women at the Taycheedah Correctional Institute. Responsible for monitoring compliance with the medical component of the settlement. (2010 - 2015)
- Consultant on “Drug-related Death after Prison Release,” a research grant continuing work with Dr. Ingrid Binswanger, University of Colorado, Denver, examining the causes of, and methods of reducing deaths after release from prison to the community. National Institutes of Health Grant R21 DA031041-01. (2011 - 2016)
- Consultant to the US Department of Homeland Security, Office for Civil Rights and Civil Liberties. Providing investigative support and expert medical services pursuant to complaints regarding care received by immigration detainees in the custody of U.S. Immigration and Customs Enforcement. (2009 - 2014)
- Special Master for the US Federal District Court (District of Idaho) in Balla v Idaho State Board of Correction, *et al.*, a class action lawsuit alleging Eighth Amendment violations in provision of health care at the Idaho State Correctional Institution. (2011 - 2012)
- Facilitator/Consultant to the US Department of Justice, Office of Justice Programs, Bureau of Justice Statistics, providing assistance and input for the development of the first National Survey of Prisoner Health. (2010-2011 )
- Project lead and primary author of National Institute of Corrections’ project entitled “Correctional Health Care Executive Curriculum Development,” in collaboration with National Commission on Correctional Health Care. NIC commissioned this curriculum for its use to train executive leaders from jails and prisons across the nation to better manage the health care missions of their facilities. Cooperative Agreement 11AD11GK18, US Department of Justice, National Institute of Corrections. (2011 - 2015 )

- Co-teacher, with Jaye Anno, Ph.D., for the National Commission on Correctional Health Care, of the Commission’s standing course, *An In-Depth Look at NCCHC’s 2008 Standards for Health Services in Prisons and Jails* taught at its national meetings. (2010 - 2013)
- Contributor to 2014 Editions of Standards for Health Services in Jails and Standards for Health Services in Prisons, National Commission on Correctional Health Care. (2013)
- Consultant to the California Department of Corrections and Rehabilitation court-appointed Receiver for medical operations. Projects included:
  - Assessing the Receiver’s progress in completing its goal of bringing medical care delivered in the Department to a constitutionally mandated level. (2009)
  - Providing physician leadership to the Telemedicine Program Manager tasked with improving and expanding the statewide use of telemedicine. (2009)
- Conceived, co-designed, led, and instructed in American College of Correctional Physicians and National Commission on Correctional Health Care’s Medical Directors Boot Camp (now called Leadership Institute), a national training program for new (Track “101”) and more experienced (Track “201”) prison and jail medical directors. (2009 - 2012)
- Participated as a member of a nine-person Delphi expert consensus panel convened by Rand Corporation to create a set of correctional health care quality standards. (2009)
- Convened a coalition of jails, Federally Qualified Health Centers, and community mental health centers in ten counties in Washington State to apply for a federal grant to create an electronic network among the participants that will share prescription information for the correctional population as they move among these three venues. (2009 - 2010)
- Participated as a clinical expert in comprehensive assessment of Michigan Department of Corrections as part of a team from the National Commission on Correctional Health Care. (2007)
- Provided consultation to Correctional Medical Services, Inc., St. Louis (now Corizon), on issues related to development of an electronic health record. (2001)
- Reviewed cases of possible professional misconduct for the Office of Professional Medical Conduct of the New York State Department of Health. (1999 – 2001)
- Advised Deputy Commissioner, Indiana State Board of Health, on developing plan to reduce morbidity from chronic diseases using available databases. (1992)
- Provided consultation to Division of General Medicine, University of Nevada at Reno, to help develop a new clinical practice site combining a faculty practice and a supervised resident clinic. (1991)

**OLYMPIA BUPRENORPHINE CLINIC, OLYMPIA, WASHINGTON 2019 - PRESENT**

Volunteer practitioner at a low-barrier clinic to providing Medication Assisted Treatment (buprenorphine) to opioid dependent individuals wishing to begin treatment, until they can transition to a long-term treatment provider

**OLYMPIA FREE CLINIC, OLYMPIA, WASHINGTON 2017 - PRESENT**

Volunteer practitioner providing episodic care at a neighborhood clinic which provides free care to individuals without health insurance until they can find a permanent medical home

**OLYMPIA UNION GOSPEL MISSION CLINIC, OLYMPIA, WASHINGTON 2009 – 2014**

Volunteer practitioner providing primary care at a neighborhood clinic which provides free care to individuals without health insurance until they can find a permanent medical home; my own patient panel within the practice focuses on individuals recently released jail and prison.

**WASHINGTON STATE DEPARTMENT OF CORRECTIONS 2002 – 2008**

Assistant Secretary for Health Services/Health Services Director, 2005 – 2008

Associate Deputy Secretary for Health Care, 2002 – 2005

Responsible for the medical, mental health, chemical dependency (transiently), and dental care of 15,000 offenders in total confinement. Oversaw an annual operating budget of \$110 million and 700 health care staff.

- As the first incumbent ever in this position, ushered the health services division from an operation of 12 staff in headquarters, providing only consultative services to the Department, to an operation with direct authority and

responsibility for all departmental health care staff and budget. As part of new organizational structure, created and filled statewide positions of Directors of Nursing, Medicine, Dental, Behavioral Health, Mental Health, Psychiatry, Pharmacy, Operations, and Utilization Management.

- Significantly changed the culture of the practice of correctional health care and the morale of staff by a variety of structural and functional changes, including: ensuring that high ethical standards and excellence in clinical practice were of primordial importance during hiring of professional and supervisory staff; supporting disciplining or career counseling of existing staff where appropriate; implementing an organizational structure such that patient care decisions were under the final direct authority of a clinician and were designed to ensure that patient needs were met, while respecting and operating within the confines of a custodial system.
- Improved quality of care by centralizing and standardizing health care operations, including: authoring a new Offender Health Plan defining patient benefits based on the Eighth Amendment, case law, and evidence-based medicine; implementing a novel system of utilization management in medical, dental, and mental health, using the medical staffs as real-time peer reviewers; developing a pharmacy procedures manual and creating a Pharmacy and Therapeutics Committee; achieving initial American Correctional Association accreditation for 13 facilities (all with almost perfect scores on first audit); migrating the eight individual pharmacy databases to a single central database.
- Blunted the growth in health care spending without compromising quality of care by a number of interventions, including: better coordination and centralization of contracting with external vendors, including new statewide contracts for hospitalization, laboratory, drug purchasing, radiology, physician recruitment, and agency nursing; implementing a statewide formulary; issuing quarterly operational reports at the state and facility levels.
- Piloted the following projects: direct issuance of over-the-counter medications on demand through inmates stores (commissary), obviating the need for a practitioner visit and prescription; computerized practitioner order entry (CPOE); pill splitting; ER telemedicine.
- Oversaw the health services team that participated variously in pre-design, design, or build phases of five capital projects to build complete new health units.

## **NEW YORK STATE DEPARTMENT OF CORRECTIONAL SERVICES**

**2001 – 2002**

### Regional Medical Director, Northeast Region, 2001 – 2002

Responsible for clinical oversight of medical services for 14,000 offenders in 14 prisons, including one (already) under court monitoring.

- Oversaw contract with vendor to manage 60-bed regional infirmary and hospice.
- Coordinated activities among the Regional Medical Unit outpatient clinic, the Albany Medical College, and the 13 feeder prisons to provide most of the specialty care for the region.
- Worked with contracting specialists and Emergency Departments to improve access and decrease medical out-trips by increasing the proportion of scheduled and emergency services provided by telemedicine.
- Provided training, advice, and counseling to practitioners and facility health administrators in the region to improve the quality of care delivered.

## **CORRECTIONAL MEDICAL SERVICES, INC. (now CORIZON)**

**2000 – 2001**

### Regional Medical Director, New York Region, 2000 – 2001

Responsible for clinical management of managed care contract with New York State Department of Correctional Services to provide utilization management services for the northeast and northern regions of New York State and supervision of the 60-bed regional infirmary and hospice.

- Migrated the utilization approval function from one of an anonymous rule-based “black box” to a collaborative evidence-based decision making process between the vendor and front-line clinicians.

## **MERCY INTERNAL MEDICINE, ALBANY, NEW YORK**

**1999 – 2000**

Neighborhood three-physician internal medicine group practice.

### Primary Care Physician, 1999 – 2000 (6 months)

Provided direct primary care to a panel of community patients during a period of staff shortage.

**ALBANY COUNTY CORRECTIONAL FACILITY, ALBANY, NEW YORK**

**1998 – 1999**

Acting Facility Medical Director, 1998 – 1999

Directed the medical staff of an 800 bed jail and provided direct patient care following the sudden loss of the Medical Director, pending hiring of a permanent replacement. Coordinated care of jail patients in local hospitals. Provided consultation to the Superintendent on improvements to operation and staffing of medical unit and need for privatization.

**VETERANS ADMINISTRATION MEDICAL CENTER, ALBANY, NY**

**1992 – 1998**

Assistant Chief, Medical Service, 1995 – 1998

Chief, Section of General Internal Medicine and Emergency Services, 1992 – 1998

Responsible for operation of the general internal medicine clinics and the Emergency Department.

- Designed and implemented an organizational and physical plant makeover of the general medicine ambulatory care clinic from an episodic-care driven model with practitioners functioning independently supported by minimal nursing involvement, to a continuity-of-care model with integrated physician/mid-level practitioner/registered nurse/licensed practice nurse/practice manager teams.
- Led the design and opening of a new Emergency Department.
- As the VA Section Chief of Albany Medical College’s Division of General Internal Medicine, coordinated academic activities of the Division at the VA, including oversight of, and direct teaching in, ambulatory care and inpatient internal medicine rotations for medical students, residents, and fellows. Incorporated medical residents as part of the general internal medicine clinics. Awarded \$786,000 Veterans Administration grant (“PRIME I”) over four years for development and operation of educational programs for medicine residents and students in allied health professions (management, pharmacy, social work, physician extenders) wishing to study primary care delivery.

**ERIE COUNTY HEALTH DEPARTMENT, BUFFALO, NY**

**1988 – 1990**

Director of Sexually Transmitted Diseases (STD) Services, 1989 – 1990

Staff Physician, STD Clinic, 1988 – 1989

Staff Physician, Lackawanna Community Health Center, 1988 – 1990

Provided leadership and patient care services in the evaluation and treatment of STDs. Successfully reorganized the county’s STD services which were suffering from mismanagement and were under public scrutiny. Provided direct patient care services in primary care clinic for underserved neighborhood.

**UNION OCCUPATIONAL HEALTH CENTER, BUFFALO, NY**

**1988 – 1990**

Staff Physician, 1988 – 1990

Provided direct patient care for the evaluation of occupationally-related health disorders.

**VETERANS ADMINISTRATION MEDICAL CENTER, BUFFALO, NY**

**1985 – 1990**

Chief Outpatient Medical Section and Primary Care Clinic, 1986 – 1988

VA Section Head, Division of General Internal Medicine, University of Buffalo, 1986 – 1988

- Developed and implemented a major restructuring of the general medicine ambulatory care clinic to reduce fragmentation of care by introduction of a continuity-of-care model with a physician/nurse team approach.

Medical Director, Anticoagulation Clinic 1986 – 1990

Staff Physician, Emergency Department, 1985 – 1986

**FACULTY APPOINTMENTS**

2007 – present	Affiliate Assistant Professor, Department of Health Services, School of Public Health, University of Washington
1999 – present	Clinical Professor, Fellowship in Applied Public Health (previously Volunteer Faculty, Preventive Medicine Residency), University at Albany School of Public Health
1996 – 2002	Volunteer Faculty, Office of the Dean of Students, University at Albany
1992 – 2002	Associate Clinical/Associate/Assistant Professor of Medicine, Albany Medical College

1993 – 1997 Clinical Associate Faculty, Graduate Program in Nursing, Sage Graduate School  
 1990 – 1992 Instructor of Medicine, Indiana University  
 1985 – 1990 Clinical Assistant Professor of Medicine, University of Buffalo  
 1982 – 1985 Clinical Assistant Instructor of Medicine, University of Buffalo

### **OTHER PROFESSIONAL ACTIVITIES**

2016 – present Chair, Education Committee, Academic Consortium on Criminal Justice Health  
 2016 – present Washington State Institutional Review Board (“Prisoner Advocate” member)  
 2016 – 2017 Mortality Reduction Workgroup, American Jail Association  
 2013 – present Conference Planning Committee – Medical/Mental Health Track, American Jail Association  
 2013 – 2016 “Health in Prisons” course, Bloomberg School of Public Health, Johns Hopkins University/International Committee of the Red Cross  
 2013 – present Institutional Review Board, University of Washington (“Prisoner Advocate” member),  
 2011 – 2012 Education Committee, National Commission on Correctional Health Care  
 2007 – present National Advisory Committee, COCHS (Community–Oriented Correctional Health Services)  
 2004 – 2006 Fellow’s Advisory Committee, University of Washington Robert Wood Johnson Clinical Scholar Program  
 2004 External Expert Panel to the Surgeon General on the “Call to Action on Correctional Health Care”  
 2003 – present Faculty Instructor, Critical Appraisal of the Literature Course, Family Practice Residency Program, Providence St. Peter Hospital, Olympia, Washington  
 2001 – present Chair/Co-Chair, Education Committee, American College of Correctional Physicians  
 1999 – present Critical Appraisal of the Literature Course, Preventive Medicine Residency Program, New York State Department of Health/University at Albany School of Public Health  
 1999 Co–Chairperson, Education Subcommittee, Workshop Submission Review Committee, Annual Meeting, Society of General Internal Medicine  
 1997 – 1998 Northeast US Representative, National Association of VA Ambulatory Managers  
 1996 – 2002 Faculty Mentor, Journal Club, Internal Medicine Residency Program, Albany Medical College  
 1996 – 2002 Faculty Advisor and Medical Control, 5 Quad Volunteer Ambulance Service, University at Albany  
 1995 – 1998 Preceptor, MBA Internship, Union College  
 1995 Quality Assurance/Patient Satisfaction Subcommittee, VA National Curriculum Development Committee for Implementation of Primary Care Practices, Veterans Administration  
 1994 – 1998 Residency Advisory Committee, Preventive Medicine Residency, New York State Department of Health/School of Public Health, University at Albany  
 1993 Chairperson, Dean’s Task Force on Primary Care, Albany Medical College  
 1993 Task Group to develop curriculum for Comprehensive Care Case Study Course for Years 1 through 4, Albany Medical College  
 1988 – 1989 Teaching Effectiveness Program for New Housestaff, Graduate Medical Dental Education Consortium of Buffalo  
 1987 – 1990 Human Studies Review Committee, School of Allied Health Professions, University of Buffalo  
 1987 – 1989 Chairman, Subcommittee on Hospital Management Issues and Member, Subcommittee on Teaching of Ad Hoc Committee to Plan Incoming Residents Training Week, Graduate Medical Dental Education Consortium of Buffalo  
 1987 – 1988 Dean’s Ad Hoc Committee to Reorganize “Introduction to Clinical Medicine” Course  
 1987 Preceptor, Nurse Practitioner Training Program, School of Nursing, University of Buffalo  
 1986 – 1988 Course Coordinator, Simulation Models Section of Physical Diagnosis Course, University of Buffalo  
 1986 – 1988 Chairman, Service Chiefs’ Continuity of Care Task Force, Veterans Administration Medical Center, Buffalo, New York  
 1979 – 1980 Laboratory Teaching Assistant in Gross Anatomy, Université Libre de Bruxelles, Brussels, Belgium  
 1973 – 1975 Instructor and Instructor Trainer of First Aid, American National Red Cross



- 1972 – 1975 Chief of Service or Assistant Chief of Operations, 5 Quad Volunteer Ambulance Service, University at Albany.
- 1972 – 1975 Emergency Medical Technician Instructor and Course Coordinator, New York State Department of Health, Bureau of Emergency Medical Services

**REVIEWER/EDITOR**

- 2019 – present Criminal Justice Review (reviewer)
- 2015 – present PLOS ONE (reviewer)
- 2015 – present Founding Editorial Board Member and Reviewer, Journal for Evidence-based Practice in Correctional Health, Center for Correctional Health Networks, University of Connecticut
- 2011 – present American Journal of Public Health (reviewer)
- 2010 – present International Advisory Board Member and Reviewer, International Journal of Prison Health
- 2010 – present Langeloth Foundation (grant reviewer)
- 2001 – present Reviewer and Editorial Board Member (2009 – present), Journal of Correctional Health Care
- 2001 – 2004 Journal of General Internal Medicine (reviewer)
- 1996 Abstract Committee, Health Services Research Subcommittee, Annual Meeting, Society of General Internal Medicine (reviewer)
- 1990 – 1992 Medical Care (reviewer)

**EDUCATION**

- University at Albany, College of Arts and Sciences, Albany; B.S., 1975 (Biology)
- University at Albany, School of Education, Albany; AMST (Albany Math and Science Teachers) Teacher Education Program, 1975
- Université Libre de Bruxelles, Faculté de Medecine, Brussels, Belgium; Candidature en Sciences Medicales, 1980
- University at Buffalo, School of Medicine, Buffalo; M.D., 1982
- University at Buffalo Affiliated Hospitals, Buffalo; Residency in Internal Medicine, 1985
- Regenstrief Institute of Indiana University, and Richard L. Roudebush Veterans Administration Medical Center; VA/NIH Fellowship in Primary Care Medicine and Health Services Research, 1992
- Indiana University, School of Health, Physical Education, and Recreation, Bloomington; M.P.H., 1992
- New York Academy of Medicine, New York; Mini-fellowship Teaching Evidence-Based Medicine, 1999

**CERTIFICATION**

- Provisional Teaching Certification for Biology, Chemistry, Physics, Grades 7–12, New York State Department of Education (expired), 1975
- Diplomate, National Board of Medical Examiners, 1983
- Diplomate, American Board of Internal Medicine, 1985
- Fellow, American College of Physicians, 1991
- License: Washington (#MD00041843, active); New York (#158327, inactive); Indiana (#01038490, inactive)
- “X” Waiver (buprenorphine), Department of Health & Human Services, 2018

**MEMBERSHIPS**

- 2019 – present Washington Association of Sheriffs and Police Chiefs
- 2005 – 2016 American Correctional Association/Washington Correctional Association
- 2004 – 2006 American College of Correctional Physicians (Member, Board of Directors, Chair Education Committee)
- 2000 – present American College of Correctional Physicians

### RECOGNITION

B. Jaye Anno Award for Excellence in Communication, National Commission on Correctional Health Care. 2019  
 Award of Appreciation, Washington Association of Sheriffs and Police Chiefs. 2018  
 Armond Start Award of Excellence, American College of Correctional Physicians. 2010  
 (First) Annual Preventive Medicine Faculty Excellence Award, New York State Preventive Medicine Residency Program, University at Albany School of Public Health/New York State Department of Health. 2010  
 Excellence in Education Award for excellence in clinical teaching, Family Practice Residency Program, Providence St. Peter Hospital, Olympia, Washington. 2004  
 Special Recognition for High Quality Workshop Presentation at Annual Meeting, Society of General Internal Medicine. 1996  
 Letter of Commendation, House Staff Teaching, University of Buffalo. 1986

### WORKSHOPS, SEMINARS, PRESENTATIONS, INVITED LECTURES

*It's the 21<sup>st</sup> Century – Time to Bid Farewell to “Sick Call” and “Chronic Care Clinic”.* Annual Conference, National Commission on Correctional Health Care. Fort Lauderdale, Florida. 2019

*HIV and Ethics – Navigating Medical Ethical Dilemmas in Corrections.* Keynote Speech, 14<sup>th</sup> Annual HIV Care in the Correctional Setting. AIDS Education and Training Program (AETC) Mountain West, Olympia, Washington. 2019

*Honing Nursing Skills to Keep Patients Safe in Jail.* Orange County Jail Special Training Session (including San Bernardino and San Diego Jail Staffs), Theo Lacy Jail, Orange, California. 2019

*What Would You Do? Navigating Medical Ethical Dilemmas.* Leadership Training Academy, National Commission on Correctional Health Care. San Diego, California. 2019

*Preventing Jail Deaths.* Jail Death Review and Investigations: Best Practices Training Program, American Jail Association, Arlington, Virginia. 2018

*How to Investigate Jail Deaths.* Jail Death Review and Investigations: Best Practices Training Program, American Jail Association, Arlington, Virginia. 2018

*Executive Manager Program in Correctional Health.* 4-day training for custody/health care teams from jails and prisons on designing safe and efficient health care systems. National Institute for Corrections Training Facility, Aurora, Colorado, and other venues in Washington State. Periodically. 2014 – present

*Medical Ethics in Corrections.* Criminal Justice 441 – Professionalism and Ethical Issues in Criminal Justice. University of Washington, Tacoma. Recurring seminar. 2012 – present

*Medical Aspects of Deaths in ICE Custody.* Briefing for U.S. Senate staffers, Human Rights Watch. Washington, D.C. 2018

*Jails' Role in Managing the Opioid Epidemic.* Panelist. Washington Association of Sheriffs and Police Chiefs Annual Conference. Spokane, Washington. 2018

*Contract Prisons and Contract Health Care: What Do We Know? Behind Bars: Ethics and Human Rights in U.S. Prisons Conference.* Center for Bioethics – Harvard Medical School/Human Rights Program – Harvard Law School. Boston, Massachusetts. 2017

*Health Care Workers in Prisons.* (With Dr. J. Wesley Boyd) Behind Bars: Ethics and Human Rights in U.S. Prisons Conference. Center for Bioethics – Harvard Medical School/Human Rights Program – Harvard Law School. Boston, Massachusetts. 2017

*Prisons, Jails and Medical Ethics: Rubber, Meet Road.* Grand Rounds. Touro Medical College. New York, New York. 2017

*Jail Medical Doesn't Have to Keep You Up at Night – National Standards, Risks, and Remedies.* Washington Association of Counties. SeaTac, Washington. 2017

*Prison and Jail Health Care: What do you need to know?* Grand Rounds. Providence/St. Peters Medical Center. Olympia, Washington. 2017

*Prison Health Leadership Conference.* 2-Day workshop. International Corrections and Prisons Association/African Correctional Services Association/Namibian Corrections Service. Omaruru, Namibia. 2016; 2018

- What Would YOU Do? Navigating Medical Ethical Dilemmas.* Spring Conference. National Commission on Correctional Health Care. Nashville, Tennessee. 2016
- Improving Patient Safety.* Spring Provider Meeting. Oregon Department of Corrections. Salem, Oregon 2016
- A View from the Inside: The Challenges and Opportunities Conducting Cardiovascular Research in Jails and Prisons.* Workshop on Cardiovascular Diseases in the Inmate and Released Prison Population. The National Heart, Lung, and Blood Institute. Bethesda, Maryland. 2016
- Why it Matters: Advocacy and Policies to Support Health Communities after Incarceration.* At the Nexus of Correctional Health and Public Health: Policies and Practice session. Panelist. American Public Health Association Annual Meeting. Chicago, Illinois. 2015
- Hot Topics in Correctional Health Care.* Presented with Dr. Donald Kern. American Jail Association Annual Meeting. Charlotte, North Carolina. 2015
- Turning Sick Call Upside Down.* Annual Conference. National Commission on Correctional Health Care. Dallas, Texas, 2015.
- Diagnostic Maneuvers You May Have Missed in Nursing School.* Annual Conference. National Commission on Correctional Health Care. Dallas, Texas. 2015
- The Challenges of Hunger Strikes: What Should We Do? What Shouldn't We Do?* Annual Conference. National Commission on Correctional Health Care. Dallas, Texas. 2015
- Practical and Ethical Approaches to Managing Hunger Strikes. Annual Practitioners' Conference. Washington Department of Corrections. Tacoma, Washington. 2015
- Contracting for Health Services: Should I, and if so, how?* American Jail Association Annual Meeting. Dallas, Texas. 2014
- Hunger Strikes: What should the Society of Correctional Physician's position be?* With Allen S, May J, Ritter S. American College of Correctional Physicians (Formerly Society of Correctional Physicians) Annual Meeting. Nashville, Tennessee. 2013
- Addressing Conflict between Medical and Security: an Ethics Perspective.* International Corrections and Prison Association Annual Meeting. Colorado Springs, Colorado. 2013
- Patient Safety and 'Right Using' Nurses.* Keynote address. Annual Conference. American Correctional Health Services Association. Philadelphia, Pennsylvania. 2013
- Patient Safety: Overuse, underuse, and misuse...of nurses.* Keynote address. Essentials of Correctional Health Care conference. Salt Lake City, Utah. 2012
- The ethics of providing healthcare to prisoners-An International Perspective.* Global Health Seminar Series. Department of Global Health, University of Washington, Seattle, Washington. 2012
- Recovery, Not Recidivism: Strategies for Helping People Who are Incarcerated.* Panelist. NAMI Annual Meeting, Seattle, Washington, 2012
- Ethics and HIV Workshop.* HIV/AIDS Care in the Correctional Setting Conference, Northwest AIDS Education and Training Center. Salem, Oregon. 2011
- Ethics and HIV Workshop.* HIV/AIDS Care in the Correctional Setting Conference, Northwest AIDS Education and Training Center. Spokane, Washington. 2011
- Patient Safety: Raising the Bar in Correctional Health Care.* With Dr. Sharen Barboza. National Commission on Correctional Health Care Mid-Year Meeting, Nashville, Tennessee. 2010
- Patient Safety: Raising the Bar in Correctional Health Care.* American Correctional Health Services Association, Annual Meeting, Portland, Oregon. 2010
- Achieving Quality Care in a Tough Economy.* National Commission on Correctional Health Care Mid-Year Meeting, Nashville, Tennessee, 2010 (Co-presented with Rick Morse and Helena Kim, PharmD.)
- Involuntary Psychotropic Administration: The Harper Solution.* With Dr. Bruce Gage. American Correctional Health Services Association, Annual Meeting, Portland, Oregon. 2010
- Evidence Based Decision Making for Non-Clinical Correctional Administrators.* American Correctional Association 139<sup>th</sup> Congress, Nashville, Tennessee. 2009
- Death Penalty Debate.* Panelist. Seattle University School of Law, Seattle, Washington. 2009

- The Patient Handoff – From Custody to the Community.* Washington Free Clinic Association, Annual Meeting, Olympia, Washington. Lacey, Washington. 2009
- Balancing Patient Advocacy with Fiscal Restraint and Patient Litigation.* National Commission on Correctional Health Care and American College of Correctional Physicians “Medical Directors Boot Camp,” Seattle, Washington. 2009
- Staff Management.* National Commission on Correctional Health Care and American College of Correctional Physicians “Medical Directors Boot Camp,” Seattle, Washington. 2009
- Management Dilemmas in Corrections: Boots and Bottom Bunks.* Annual Meeting, American College of Correctional Physicians, Chicago, Illinois. 2008
- Public Health and Correctional Health Care.* Masters Program in community-based population focused management – Populations at risk, Washington State University, Spokane, Washington. 2008
- Managing the Geriatric Population.* Panelist. State Medical Directors’ Meeting, American Corrections Association, Alexandria, Virginia. 2007
- I Want to do my own Skin Biopsies.* Annual Meeting, American College of Correctional Physicians, New Orleans, Louisiana. 2005
- Corrections Quick Topics.* Annual Meeting, American College of Correctional Physicians. Austin, Texas. 2003
- Evidence Based Medicine in Correctional Health Care.* Annual Meeting, National Commission on Correctional Health Care. Austin, Texas. 2003
- Evidence Based Medicine.* Excellence at Work Conference, Empire State Advantage. Albany, New York. 2002
- Evidence Based Medicine, Outcomes Research, and Health Care Organizations.* National Clinical Advisory Group, Integrail, Inc., Albany, New York. 2002
- Evidence Based Medicine.* With Dr. LK Hohmann. The Empire State Advantage, Annual Excellence at Work Conference: Leading and Managing for Organizational Excellence, Albany, New York. 2002
- Taking the Mystery out of Evidence Based Medicine: Providing Useful Answers for Clinicians and Patients.* Breakfast Series, Institute for the Advancement of Health Care Management, School of Business, University at Albany, Albany, New York. 2001
- Diagnosis and Management of Male Erectile Dysfunction – A Goal-Oriented Approach.* Society of General Internal Medicine National Meeting, San Francisco, California. 1999
- Study Design and Critical Appraisal of the Literature.* Graduate Medical Education Lecture Series for all housestaff, Albany Medical College, Albany, New York. 1999
- Male Impotence: Its Diagnosis and Treatment in the Era of Sildenafil.* 4<sup>th</sup> Annual CME Day, Alumni Association of the Albany-Hudson Valley Physician Assistant Program, Albany, New York. 1998
- Models For Measuring Physician Productivity.* Panelist. National Association of VA Ambulatory Managers National Meeting, Memphis, Tennessee. 1997
- Introduction to Male Erectile Dysfunction and the Role of Sildenafil in Treatment.* Northeast Regional Meeting Pfizer Sales Representatives, Manchester Center, Vermont. 1997
- Male Erectile Dysfunction.* Topics in Urology, A Seminar for Primary Healthcare Providers, Bassett Healthcare, Cooperstown, New York. 1997
- Evaluation and Treatment of the Patient with Impotence: A Practical Primer for General Internists.* Society of General Internal Medicine National Meeting, Washington D.C. 1996
- Impotence: An Update.* Department of Medicine Grand Rounds, Albany Medical College, Albany, New York. 1996
- Diabetes for the EMT First-Responder.* Five Quad Volunteer Ambulance, University at Albany. Albany, New York. 1996
- Impotence: An Approach for Internists.* Medicine Grand Rounds, St. Mary's Hospital, Rochester, New York. 1994
- Male Impotence.* Common Problems in Primary Care Precourse. American College of Physicians National Meeting, Miami, Florida. 1994
- Patient Motivation: A Key to Success.* Tuberculosis and HIV: A Time for Teamwork. AIDS Program, Bureau of Tuberculosis Control – New York State Department of Health and Albany Medical College, Albany, New York. 1994

*Recognizing and Treating Impotence.* Department of Medicine Grand Rounds, Albany Medical College, Albany, New York. 1992

*Medical Decision Making: A Primer on Decision Analysis.* Faculty Research Seminar, Department of Family Practice, Indiana University, Indianapolis, Indiana. 1992

*Effective Presentation of Public Health Data.* Bureau of Communicable Diseases, Indiana State Board of Health, Indianapolis, Indiana. 1991

*Impotence: An Approach for Internists.* Housestaff Conference, Department of Medicine, Indiana University, Indianapolis, Indiana. 1991

*Using Electronic Databases to Search the Medical Literature.* NIH/VA Fellows Program, Indiana University, Indianapolis, Indiana. 1991

*Study Designs Used in Epidemiology.* Ambulatory Care Block Rotation. Department of Medicine, Indiana University, Indianapolis, Indiana. 1991

*Effective Use of Slides in a Short Scientific Presentation.* Housestaff Conference, Department of Medicine, Indiana University, Indianapolis, Indiana. 1991

*Impotence: A Rational and Practical Approach to Diagnosis and Treatment for the General Internist.* Society of General Internal Medicine National Meeting, Washington D.C. 1991

*Nirvana and Audio-Visual Aids.* With Dr. RM Lubitz. Society of General Internal Medicine, Midwest Regional Meeting, Chicago. 1991

*New Perspectives in the Management of Hypercholesterolemia.* Medical Staff, West Seneca Developmental Center, West Seneca, New York. 1989

*Effective Use of Audio-Visual Aids.* Nurse Educators, American Diabetes Association, Western New York Chapter, Buffalo, New York. 1989

*Management of Diabetics in the Custodial Care Setting.* Medical Staff, West Seneca Developmental Center, West Seneca, New York, 1989

*Effective Use of Audio-Visuals in Diabetes Peer and Patient Education.* American Association of Diabetic Educators, Western New York Chapter, Buffalo, New York. 1989

*Pathophysiology, Diagnosis and Care of Diabetes.* Nurse Practitioner Training Program, School of Nursing, University of Buffalo, Buffalo, New York. 1989

*Techniques of Large Group Presentations to Medical Audiences – Use of Audio-Visuals.* New Housestaff Training Program, Graduate Medical Dental Education Consortium of Buffalo, Buffalo, New York. 1988

### PUBLICATIONS/ABSTRACTS

Borschmann, R, Tibble, H, Spittal, MJ, ... Stern, MF, Viner, KM, Wang, N, Willoughby, M, Zhao, B, and Kinner, SA. *The Mortality After Release from Incarceration Consortium (MARIC): Protocol for a multi-national, individual participant data meta-analysis.* Int. J of Population Data Science 2019 5(1):6

Binswanger IA, Maruschak LM, Mueller SR, **Stern MF**, Kinner SA. *Principles to Guide National Data Collection on the Health of Persons in the Criminal Justice System.* Public Health Reports 2019 134(1):34S-45S

**Stern M.** *Hunger Strike: The Inside Medicine Scoop.* American Jails 2018 32(4):17-21

Grande L, **Stern M.** *Providing Medication to Treat Opioid Use Disorder in Washington State Jails.* Study conducted for Washington State Department of Social and Health Services under Contract 1731-18409. 2018.

**Stern MF**, Newlin N. *Epicercenter of the Epidemic: Opioids and Jails.* American Jails 2018 32(2):16-18

**Stern MF.** *A nurse is a nurse is a nurse...NOT!* Guest Editorial, American Jails 2018 32(2):4,68

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Mitchell A, Reichberg T, Randall J, Aziz-Bose R, Ferguson W, **Stern M.** *Criminal Justice Health Digital Curriculum.* Poster, Annual Academic and Health Policy Conference on Correctional Health, Atlanta, Georgia, March, 2017

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Pajas v. County of Monterey, *et al.* US District Court Northern District of California, 2018 (deposition)

Walter v. Correctional Healthcare Companies, *et al.* US District Court, District of Colorado, 2017 (deposition)

Winkler v. Madison County, Kentucky, *et al.* US District Court, Eastern District of Kentucky, Central Division at Lexington, 2016 (deposition)

US v. Miami-Dade County, *et al.* US District Court, Southern District of Florida, periodically 2014 - 2016

# **EXHIBIT 3**



DECLARATION OF RACHEL CICUREL  
STAFF ATTORNEY AT THE PUBLIC DEFENDER SERVICE

*I, Rachel Cicurel, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Rachel Cicurel. I make these statements based upon my personal knowledge.
2. I am a staff attorney in the Trial Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since October 3, 2016. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as a trial attorney at PDS is to represent people in criminal proceedings in the District of Columbia Superior Court.
3. As part of my duties as an attorney at PDS, I regularly conduct legal visits with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. Since March 1, 2020, I have visited CTF two times.
5. While I was in that facility, I observed the following:
  - a. On March 1, 2020, I visited CTF. I observed staff at CTF acting in their normal manner regarding entrance protocol and cleanliness. When I entered the facility, I provided my PDS identification card and the required visitor paperwork to the staff behind the first window. I was not asked about my current health or the health of those around whom I had recently been. My temperature was not taken, and I was not asked if I had recently been out of the country. After receiving a visitor pass, I put my personal items and shoes through the metal detector and prepared to be screened. The screening and pat down processes were normal. I did not observe staff members wearing masks. I was permitted to bring in my own cleaning wipes, but did not observe CTF staff wiping down any surfaces or taking any other notable measures to keep the facility particularly clean. Despite the global epidemic, everything at CTF seemed to be business as usual.
  - b. On March 3, 2020, I visited CTF. I observed nothing out of the ordinary. The entrance procedures were standard, with no additional screening about my recent whereabouts or current health. The staff were not wearing masks, not wiping down surfaces, and did not appear to be taking any extra measures to keep the facility clean. However, I was allowed to bring my own wipes into CTF with me.

6. Since last visiting CTF, I have spoken further to clients. Through those conversations, I learned the following:
  - a. On March 19, 2020, I spoke with Client A at CTF. I learned that Client A was sick with a cough, but that it was taking many days, and sometimes over a week, to obtain a medical visit. I learned that at least 15 to 18 people on the 50-and-over block had coughs or colds. I learned that the 50-and-over block at CTF had been provided with almost no information about coronavirus or how to combat it; specifically, the only instruction given to the 50-and-over block was to wash their hands. Reminders for residents to wash their hands came about once per day. However, the residents had not been given soap or hand sanitizer. Rather, in order to wash their hands, the residents had to purchase their own soap to use. As a result, some residents were using shampoo to wash their hands in lieu of anything else; others had nothing with which to wash their hands. I learned that the common areas were cleaned once each day, but that CTF had no limit imposed regarding how many people could use the common areas at one time. Instead, an entire unit could be in the common area at once. I learned that the residents had been given Windex to clean their cells approximately once every three days, but had not been given any cleaning products with bleach or chemicals strong enough to actually sterilize the cells. When the supplies ran out, the residents had to wait several more days for supplies to be restocked. Meanwhile, only some of the staff were wearing gloves and masks; others were not.
  - b. On March 22, 2020, I spoke with Client B at CTF. During the conversation, I could hear Client B's deep, guttural cough, as he had to stop the conversation several times to cough extensively. I learned that a few days prior, Client B had sought medical help for chest pains, a cough, and the chills. At the time, Client B's body ached, and a staff member took Client B to the medical unit. The medical unit determined that Client B also had a fever, but did not test Client B for COVID-19 or quarantine him from other residents. Instead, the medical staff specifically told Client B that he did not have COVID-19, failed to provide an explanation as to how they had come to such a conclusion without testing him, and placed him back in his unit. I also learned that on the unit, none of the residents had been given any soap. Although soap dispensers had been added to the units about two weeks prior, they had been removed the previous week. Residents had been informed that the soap was not for them, but only for staff. Cleaning supplies was also scarce. I learned that whether or not the residents were given supplies to clean depended on which staff members were on duty, and that even when supplies was provided, residents were given three to four cleaning wipes total—not three to four wipes per person, but three to four wipes for the entire unit to share—to clean the unit's common area. The residents were responsible for cleaning their own cells, but had gone days without cleaning supplies. The supplies had run out, but had not yet been replaced.

- c. On March 25, 2020, I spoke with Client B at CTF. I learned that Client B had again gone to see the medical unit a few days prior with at least five other men from his unit, all of whom were coughing. At that time, the medical unit checked Client B for the flu by swabbing inside his nose with Q-tips, but did not take his temperature. Despite being sick, Client B had been permitted to continue to work in the kitchen. He had worked at the end of last week, despite having a fever, and at the beginning of this week, despite still being congested, which I could hear in his voice during the conversation. No masks were available to those working in the kitchen even though a group of about 20 people work in the kitchen together, without much space between them, as they prepare three meals each day. Additionally, I learned that Client B's unit was void of any cleaning products, and several days had passed since the residents on his unit had been able to clean. This was particularly concerning because a resident had been quarantined last week, but had already come back to Client B's unit.
- d. On March 25, 2020, I also spoke with Client A at CTF. I learned that Client A had been having night sweats and continuing to find himself light-headed. He suffers from asthma, and had put in a medical request for an asthma pump several nights before. However, since he had still not been called to the medical unit, he intended to file a grievance form to attempt to obtain the asthma pump. I learned that residents were not being prevented from, or even advised against, eating or spending time in large groups, and crowds of people had been congregating together. Nonetheless, the common areas were only being cleaned with Windex—nothing stronger and nothing with bleach. I learned that approximately 25 to 30 people on the 50-and-over block had been repeatedly asking staff for soap, but staff were continuously refusing to provide any. No hand sanitizer was available, either, and residents who ran out of soap had to borrow from one another. Additionally, I learned that staff had not been wearing masks or gloves. During our conversation, several staff members were seen wearing neither masks nor gloves. I learned that a sizeable number of residents on the 50-and-over unit had coughs, and several staff members had coughs, as well. Client A could think of four specific officers with coughs and two who were involved in food preparation.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 26<sup>th</sup> day of March 2020, in Washington, D.C.



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Rachel Cicurel  
Staff Attorney  
Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC

# **EXHIBIT 4**

## DECLARATION OF EDWARD BANKS

*I, Edward Banks, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Edward Banks. I am 48 years old. I am currently incarcerated at the Central Detention Facility in Washington, D.C., in the Southwest 2 unit. I have been at the jail since August 2019.
2. I am very worried about my risk of contracting coronavirus. I do not believe the jail is taking enough precautions to keep me safe.
3. [REDACTED]
4. For example, on March 24, 2020, at around 5:30 pm, I had a legal visit in visiting hall 2 at the jail. When I was about to enter the visiting hall, I was patted down by an officer. Before he pat me down, the officer was eating dinner with his bare hands. The officer stopped eating dinner and then pat me down with hands that had just been in or near his mouth.
5. [REDACTED]
6. Every day, inmates working in food delivery deliver a tray of food to each cell in my unit. They reuse hard plastic trays every day. They put the trays in each slot in our cells with gloves on. The slots that they put the food trays in are slots that inmates use to talk to each other. People put their mouths close to the slots to speak through their cell doors. When food trays get delivered, the hands of the people delivering the food come in contact with surfaces that people put their mouths very close to.
7. There is no hand sanitizer available on our unit.
8. The jail gave us a single bar of soap a couple of weeks ago.
9. The jail is not cleaning our cells. They do not wipe down surfaces with disinfectant.

*Edward Banks*

10. The correctional officers on my unit do not wear masks or gloves regularly.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 25th day of March 2020, in Washington, D.C.

A handwritten signature in blue ink, appearing to read "Edward Banks", written over a horizontal line.

Edward Banks  
Central Detention Facility  
1901 D Street SE  
Washington, DC 20003

# **EXHIBIT 5**

## DECLARATION OF KEON JACKSON

*I, Keon Jackson, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Keon Jackson. I am 36 years old. I am currently incarcerated at the Correctional Treatment Facility in Washington, D.C. I am in the RSAT unit. I got on this unit on February 25, 2020.
2. Our unit has a television and the men on our unit regularly watch the news. We see the situation that is unfolding and we are afraid. We do not think CTF and the Department of Corrections is taking coronavirus seriously. In fact, I know that they are not taking it seriously, because when I and other inmates raise our concerns about the virus, the counselors on our unit tell us that we should stop watching the news. The counselors say that the media is blowing the virus out of proportion.
3. Our unit has "group" sessions every day, usually four times a day for around an hour to an hour and a half. In these group sessions, all of the men on the unit come out of our cells and sit in a circle. We sit in plastic chairs in a big group of around 30 people. In these groups, we have to sit less than a foot apart.
4. I have seen on the news that President Trump and health officials have said that people should not gather in groups of more than 10 people and that we should be six feet apart.
5. Several times I have raised the guidance about not gathering in groups larger than 10 and staying six feet apart with DOC staff and they told me I was hyping this up and told me to stop watching the news.
6. As of March 24, 2020, our unit was still meeting in this large group.
7. In the group sessions that we have every day for several hours, we have many people from outside CTF coming every day. Around five to six people a day come from the outside, including clinicians, people from Narcotics Anonymous, other people who lead programs. These outside participants bring their own pens and papers. In our group sessions, we have to pass these pens and papers around, so that by the end of a session, around 30 men have touched these objects.
8. On March 16, 2020, I was in the RSAT unit and there were several people from outside CTF, like clinicians and programming staff, who had entered the unit. Then I heard an announcement over the CTF loudspeaker asking everyone who had entered the CTF that morning to come back down to security for coronavirus screening. CTF had already let in people from outside the facility into our unit before screening them.
9. I have also seen on the news that people should be washing their hands regularly. On the RSAT unit, we have sinks in our individual cells. As of around March 21, 2020, CTF



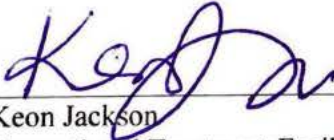


officers started locking our cells during group sessions. There is no sink in the RSAT unit common area, so for several hours a day, we are unable to wash our hands.

10. CTF also has not given us the soap or cleaning products we need. March 21st, was the first time CTF gave me a free bar of soap, and I have been on my unit since February 25th. If you can't afford to buy soap at the commissary, you don't have any soap except for the single bar they gave us on March 21st.
11. When I came on the unit in February 25, 2020, there was a hand sanitizer dispenser on the wall that inmates in the RSAT unit could use. Recently though, CTF officials took the dispenser off of the wall and placed it behind glass so that only officers could use hand sanitizer.
12. My unit has also been giving us cleaning supplies but they are watered down. My unit has also been using the same old mops for months and they are molding and stinking up the unit.
13. CTF has not been cleaning the showers any more frequently. They have cleaned the showers in my unit once or twice since I have been on the unit for a month.
14. I am especially worried about my safety at CTF. [REDACTED]  
[REDACTED]
15. Because I am worried about my safety, I filed an emergency grievance with Director Quincy Booth on March 24, 2020. I want CTF and the Department of Corrections to take the threat the virus poses to us seriously and to do everything they can to keep us safe.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 25th day of March 2020, in Washington, D.C.

  
\_\_\_\_\_  
Keon Jackson  
Correctional Treatment Facility  
1901 E Street SE  
Washington, DC 20003

# **EXHIBIT 6**

## DECLARATION OF ERIC SMITH

*I, Eric Smith, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

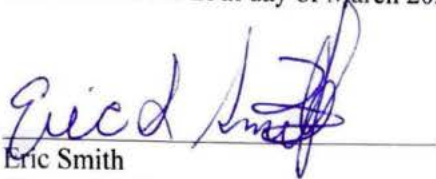
1. My name is Eric Smith. I am 50 years old. I am currently incarcerated at the Correctional Treatment Facility in Washington, D.C., on the C2B unit.
2. CTF is very unsafe. My unit is especially unsafe.
3. My unit, C2B, is right next door to the unit that CTF has moved people they think have coronavirus into. This unit is not sealed off from our unit. The same air goes back and forth between the quarantine unit and our unit. I know this because I can talk to the people in the quarantine unit from my unit through the vents and I can feel the air traveling back and forth between the units. The ventilation in this facility is very poor.
4. My unit is not at all sealed off from the quarantine unit. <sup>There is a locked door separating the units.</sup> Both my unit and the quarantine unit have televisions, but there is only one remote for both televisions. CTF staff have been bringing the same remote control back and forth between the quarantine unit and my unit.
5. There is a man on my unit who has been coughing nonstop and CTF staff have not done anything about him. He is coughing and he looks very sick. CTF staff have not come to see him. It takes days before anyone can get medical staff to come see them, even if you say you are having trouble breathing. I have seen these delays on my unit.
6. The sanitation on my unit is very poor, and CTF is not taking measures to improve it. For example, every day they bring a big cooler of juice for people on the unit to drink. All day long inmates dip their cups into the top of the juice cooler to get ice out of it. If anyone on our unit has the virus, all of us either already have it or will get it soon.
7. There is no hand sanitizer on our unit. There used to be a dispenser but now it's behind glass for only staff to use.
8. CTF gave us one bar of soap, once.



9. I am very worried about getting the virus. I am 50 years old. [REDACTED]

[REDACTED] I want the Department of Corrections to ensure my safety.

Executed on the 25th day of March 2020, in Washington, D.C.

A handwritten signature in blue ink, appearing to read "Eric Smith", is written over a horizontal line.

Eric Smith  
Correctional Treatment Facility  
1901 E Street SE  
Washington, DC 20003


# **EXHIBIT 7**

DECLARATION OF D'ANGELO PHILLIPS

*I, D'Angelo Phillips, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is D'Angelo Phillips. I am 24 years old. I am currently incarcerated at the Central Detention Facility in Washington, D.C. I am in the Southwest 2 unit.
2. The jail is barely doing anything to protect us from the virus.
3. We have no hand sanitizer at the jail. I have seen one or two staff wearing a mask, but none of the inmates have any masks available to us.
4. I have put in requests to see the medical unit, but it takes days before you get a visit with anyone from the medical unit.
5. I went to Superior Court on March 13, 2020 and I was in the C-10 courtroom. About a week later, the jail said that they were going to quarantine people who had recently been in C-10 because of a Marshal who tested positive for the virus. But they didn't put me in quarantine, even though I was in C-10 on March 13th.
6. The jail did move two people from my unit into the quarantine unit because they suspected they might have the virus. Those two people were gone for about a week but now they are back on the unit.
7. Jail staff is not doing anything additional to clean our unit. Inmate details come in and sweep the unit, but that's it.
8. Since I got to the jail in March, the jail has sprayed mace several times in the facility. This causes everyone to cough and keep coughing until the mace goes away.
9. [REDACTED]

Executed on the 25th day of March 2020, in Washington, D.C.

  
\_\_\_\_\_  
D'Angelo Phillips  
Central Detention Facility  
1901 D Street SE  
Washington, DC 20003

# **EXHIBIT 8**

DECLARATION OF DANIEL D. POND  
STAFF ATTORNEY AT THE PUBLIC DEFENDER SERVICE

*I, Daniel D. Pond, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Daniel D. Pond, I make these statements based upon my personal knowledge.
2. I am a staff attorney in the Community Defender Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since January 9, 2017. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as a staff attorney at is to represent clients in a variety of matters, including prisoners’ rights issues.
3. As part of my duties as an attorney at PDS, I regularly conduct legal visits with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. Since March 1, 2020, I have visited CDF twice and CTF twice.
5. While I was in those facilities, I observed the following:
  - a. On March 11, 2020, I visited CDF. I did not observe DOC staff at the entrance monitoring, questioning or taking the temperature of any visitors. My temperature and the temperature of other visitors were not being taken at that time. I did not observe DOC staff wearing masks. I did not observe soap or hand sanitizer for visitors apart from the hand soap in the men’s restroom. I did not observe staff wiping down any surfaces. I noticed no differences from any of my previous visits over the years.
  - b. On March 12, 2020, I visited CTF. I observed DOC staff at the entrance asking visitors (1) if they had recently had a fever, dry cough or shortness of breath, (2) if they had recently travelled to China, South Korea or Italy, or (3) if they had recently been in contact with a person exhibiting those symptoms or who had travelled to those countries. My temperature and the temperature of other visitors were not being taken at that time. I did not observe DOC staff wearing masks. I did not observe soap or hand sanitizer for visitors apart from the hand soap in the men’s restroom. I did not observe staff wiping down any surfaces. No staff, residents or visitors were observing 6 foot social distancing. I did observe posted notifications about slowing the spread of COVID-19, but apart from those posted



notices and the three question form at the entrance, I noticed no differences from any of my previous visits over the years.

- c. On March 17, 2020, I visited CTF. I observed DOC staff at the entrance asking visitors (1) if they had recently had a fever, dry cough or shortness of breath, (2) if they had recently travelled to China, South Korea or Italy, or (3) if they had recently been in contact with a person exhibiting those symptoms or who had travelled to those countries. My temperature and the temperature of other visitors were taken before being allowed in. I did observe one or two DOC staff members wearing masks, but dozens of others weren't. DOC staff members who were manning the x-ray machine and conducting pat-downs were wearing latex gloves, but dozens of other staff members weren't. I did not observe soap or hand sanitizer for visitors apart from the hand soap in the men's restroom. I did not observe staff wiping down any surfaces. No staff, residents or visitors were observing 6 foot social distancing. I did observe posted notifications about slowing the spread of COVID-19, but apart from those posted notices and the three question form at the entrance, I noticed no differences from any of my previous visits over the years.
  - d. On March 17, 2020, I also visited CDF. I observed DOC staff at the entrance asking visitors (1) if they had recently had a fever, dry cough or shortness of breath, (2) if they had recently travelled to China, South Korea or Italy, or (3) if they had recently been in contact with a person exhibiting those symptoms or who had travelled to those countries. My temperature and the temperature of other visitors were taken before being allowed in. I did observe one or two DOC staff members wearing masks, but dozens of others weren't. DOC staff members who were manning the x-ray machine and conducting pat-downs were wearing latex gloves, but dozens of other staff members weren't. I did not observe soap or hand sanitizer for visitors apart from the hand soap in the men's restroom. I did not observe staff wiping down any surfaces. No staff, residents or visitors were observing 6 foot social distancing. I did observe posted notifications about slowing the spread of COVID-19, but apart from those posted notices and the three question form at the entrance, I noticed no differences from any of my previous visits over the years.
6. While I was in those facilities, I conducted legal visits with my clients. Through those meetings, I learned the following:
- a. Cells were not being cleaned or disinfected by staff, professional deep cleaners, or residents working detail. Residents were responsible for all the cleaning, washing and disinfecting of their own cells. For residents with cellmates, it proved difficult to properly clean and disinfect cells if the cellmate had not bought in to the necessity of the cleaning. If residents did not proactively wash, clean and disinfect

their own cells their cells would not be washed, cleaned or disinfected at all. Clients I spoke with had soap, but it was soap that they purchased themselves from commissary, not provided to them by DOC. Disinfectant was not provided or available to any of the residents I spoke with. Luckily none of my clients were sick at that time. Common areas were not being cleaned any more often or thoroughly than any other more 'normal' time. No one in either facility was practicing 6 foot social distancing.

- b. Through my discussions with clients at both facilities I learned that although there is a high level of awareness about COVID-19 among staff and residents in the DOC, at the time of my visits and conversations no concrete action was being taken whatsoever to keep people healthy apart from screening visitors at the entrance.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 26th day of March 2020, in Washington, D.C.



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Daniel D. Pond  
Staff Attorney  
Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC

# **EXHIBIT 9**

DECLARATION OF **SAMUEL CYPHERS**  
INVESTIGATIVE INTERN AT THE PUBLIC DEFENDER SERVICE

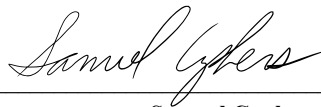
*I, SAMUEL CYPHERS, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is **SAMUEL CYPHERS**. I make these statements based upon my personal knowledge.
2. I am an investigative intern for the Trial Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since **February 3, 2020**. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as an investigative intern at PDS is to assist in the preparation of trial cases through witness interviews, investigation, and reviewing records.
3. As part of my duties as an investigative intern at PDS, I regularly conduct legal visits with people in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. Since March 1, 2020, I have visited **CDF and CTF one time each**.
5. While I was in those facilities, I observed the following:
  - a. On **March 10, 2020**, I visited **CTF**. I observed the standard screening process for entering the facility. I was not asked any additional questions about my health or recent travel, nor was I screened for symptoms of COVID-19. Within the facility, I did not see any staff members with masks or gloves. I did not see any soap or hand sanitizer for visitors. I did not see staff members wiping down surfaces. Everything appeared to be functioning the same way I had seen it function in February. I did not observe any precautions being taken to prevent the spread of COVID-19.
  - a. On **March, 20, 2020**, I visited **CDF**. I observed two people sitting before the security checkpoint wearing gloves, but not masks. I did not see any readily available soap or hand sanitizer in the lobby area. I observed 5 to 6 people exit from the security checkpoint. None of these people had on gloves or masks. The DOC employee who brought me records likewise lacked gloves or a mask. After she gave me the records, she re-entered the facility without being screened. I do not know what the protocol to enter the facility is because I did not seek entrance past the lobby.
6. I conducted a legal visit with a PDS client who is currently incarcerated at CTF via phone. Through that meetings, I learned the following:

- a. Client said there are between 5 and 8 people on his unit that are currently ill, and several of them are coughing. Client said all the people who are sick on his unit, including himself, went to medical together on Friday, March 20, and on Sunday, March 22. Client said cleaning products, including soap and disinfectant, are no longer available to residents at CTF. Client said the last time they were able to clean their cells was days before March 25.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the **26** day of March 2020, in Washington, D.C.



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**Samuel Cyphers**  
Investigative Intern

Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC

# **EXHIBIT 10**

DECLARATION OF JOSEPH WONG  
STAFF ATTORNEY AT THE PUBLIC DEFENDER SERVICE

*I, Joseph Wong, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Joseph Wong. I make these statements based upon my personal knowledge.
2. I am a supervising attorney in the Trial Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since February of 2020 and as a PDS staff attorney since October of 2013. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as a trial attorney at PDS is to represent people in criminal proceedings in the District of Columbia Superior Court.
3. As part of my duties as an attorney at PDS, I regularly conduct legal visits with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. Since March 1, 2020, I have visited CDF one time.
5. While I was in CDF, I observed the following:
  - a. On 3/11/20, I visited CDF. I observed that there were no changes to the protocol for entering the facility. Visitors were not asked any questions about their health or screened in any way, nor were the security staff engaged in any visible precautions such as wearing masks or gloves or sanitizing surfaces. The process included security staff going through personal belongings of visitors prior by hand and patting down visitors with their hands. I do not recall the officers conducting the pat downs or searching through personal belongings wearing gloves. I do not recall observing any available soap or hand sanitizer stations for visitors.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 25th day of March 2020, in Washington, D.C.



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Joseph Wong  
Supervising Attorney  
Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC



# **EXHIBIT 11**


DECLARATION OF RONALD B. RESETARITS  
STAFF ATTORNEY AT THE PUBLIC DEFENDER SERVICE

*I, Ronald B. Resetarits, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Ronald B. Resetarits. I make these statements based upon my personal knowledge.
2. I am a staff attorney in the Trial Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since September 2014. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as a trial attorney at PDS is to represent people in criminal proceedings in the District of Columbia Superior Court.
3. As part of my duties as an attorney at PDS, I regularly conduct legal visits with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. On March 11, 2020, I entered CDF to conduct several legal visits. When I entered CDF, there was no screening or questionnaire related to COVID-19. I saw no changes at all related to COVID-19 when I entered the jail for my legal visits on March 11, 2020. When I was finished with my legal visits and exited the jail, I saw that jail officials were setting up a table in the jail entrance area.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 25th day of March 2020, in Washington, D.C.



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Ronald B. Resetarits  
Staff Attorney  
Public Defender Service for DC  
633 Indiana Ave. NW, Washington, DC

[rresetarits@pdsdc.org](mailto:rresetarits@pdsdc.org)

202-824-2406

# **EXHIBIT 12**

DECLARATION OF EILEEN JOHNSON  
INVESTIATIVE INTERN AT THE PUBLIC DEFENDER SERVICE

*I, Eileen Johnson, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Eileen Johnson. I make these statements based upon my personal knowledge.
2. I am an investigative intern for the Parole Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since December of 2019. Prior to joining the parole division, I was an investigative intern for the Trial Division at PDS from 6/15/2019 to 11/15/2019. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as an investigative intern at PDS is to assist in the preparation of parole cases through witness interviews, investigation, client meetings, and record collection and review.
3. As part of my duties as an investigative intern at PDS, I regularly conduct legal visits with people in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. Since March 1, 2020, I have visited CDF three times and CTF two times.
5. While I was in those facilities, I observed the following:
  - a. On March 17, 2020 around 8:30 AM, I visited CDF. In the entrance area, there was a table set up with two men who were asking visitors to fill out forms in order to enter. On the form, I had to check off that I hadn’t been having any symptoms and that I hadn’t had any contact with anyone who had traveled outside the country. I observed one of the men that was sitting at the table eating as he gave out the forms. I don’t remember whether either was wearing a mask or gloves. Right outside the door to enter security, there was a nurse stationed who was taking temperatures with a forehead thermometer. As I proceeded to the nurse’s station, I witnessed an argument break out between the nurse and the woman who usually sits on the third floor visiting room. The woman is older and has short hair. I saw the nurse try to take the woman’s temperature. As soon as the nurse brought the thermometer close to the woman’s face, the woman yelled at her, asking why she was getting so close to her face without wearing gloves. I observed the nurse not wearing gloves. I can’t recall if she was wearing a mask. I then heard the nurse yell back that she didn’t need to wear gloves because she

wasn't touching anyone. The woman said she did not believe the nurse and continued to yell at her and to refuse the thermometer. She then commented that she was going to report the nurse for not wearing gloves. The nurse did not put on gloves and the woman proceeded into the security area. The nurse then commented to me that taking temperatures was only a "cosmetic" step anyway and that the jail was doing it only to make visitors *feel* safer. I felt uncomfortable with the nurse getting so close to my face without wearing gloves but I let her take my temperature so that I would be allowed to enter the facility. I observed her continue to take temperatures without gloves and never saw her look at the thermometer to actually check the temperature reading. I then proceeded into the security area. The scanner seemed to be broken so I was instructed to place my items in a bin. A CO then went through the bin. I can't remember if the CO was wearing gloves. I entered the scanner as usual. I observed one security officer wearing a mask in the lobby area. I did not observe any other COs wearing masks inside the facility. There was a hand sanitizer dispenser in the lobby that I used before starting my visit. I then proceeded to the second floor to start my visit. Because it was before 9, my partner and I were the first ones to that floor. When we got there, we observed a female CO wiping down the area where the CO sits in the visiting area. She told us to sit down and we waited for approximately ten minutes while she cleaned every inch of her station. I did not see this CO or any other CO wipe down any other surfaces during my visit. The CO then assigned us to room 2. Before leaving the lobby, I commented to the CO that I had brought my own wipes to wipe down our visiting room, just as she had wiped down her area. She responded that that was a good idea on my part. When we got to the visiting room, I wiped down the table and all three chairs with the wipes I had brought. There was no hand sanitizer or other cleaning product in my visiting room or in any of the others that I could see. I did not observe any hand sanitizer or other cleaning product in the waiting area of the second floor. In between clients, I went to the bathroom on the second floor. There was soap in the bathroom. After concluding my visits, I left the second floor and went to the first floor to wait for Ms. Boykin to come down with the medical records I had requested. While waiting, the female CO that was wearing the mask started to speak to me and another CO about how she was worried about coronavirus. She said she was really scared because she heard a CO had died of coronavirus somewhere else and that she did not feel safe from the virus. After getting the records from Ms. Boykin, I departed the facility around 1 PM.

- b. On March 11<sup>th</sup>, 2020, around 9 AM, I went to CTF for a parole final revocation hearing. I did not have to do anything out of the ordinary in order to enter CTF. Final revocation hearings happen in an area of the jail near the activity center and below the basketball courts, judging by the noise. I observed hand sanitizer in the large room where the legal team waits. There was also soap in the bathroom. There was no hand sanitizer in the client holding rooms when I entered them to meet with my client. There was no hand sanitizer in the smaller room where the

hearing took place. I did not observe any staff members wiping down any surfaces.

- c. On March 10<sup>th</sup>, 2020, around 5 PM, I went to CDF for a client visit. I had not been expecting to go on the visit so I did not bring any cleaning supplies. I felt uncomfortable using the visiting room without cleaning it first. During my time at CDF that day, I did not observe any staff members wearing a mask or gloves. I saw hand sanitizer in the lobby but did not see it on the visiting floor or in the visiting rooms. I did not observe any COs wiping down any surfaces.
  - d. On March 2<sup>nd</sup>, 2020, around 11 AM, I went to CDF for a client visit. I did not observe any surfaces being wiped down. There was hand sanitizer in the lobby but not anywhere else in the facility. I did not have to do anything out of the ordinary to enter the facility.
  - e. On March 2<sup>nd</sup>, 2020, around 1 PM, I visited a client at CTF. I did not observe any staff members wearing masks in the entrance or security areas. I did not observe any COs wearing gloves. I did not observe any hand sanitizer in the entrance or security areas. I did not observe any COs wiping down any surfaces. I proceeded to the second floor visiting area. I wanted to wash my hands because I had come to CTF from the jail but was told there was no bathroom on that floor. I did not see any hand sanitizer to use so I did not clean my hands.
6. While I was in those facilities, I conducted legal visits with PDS clients. Through those meetings, I learned the following:
- a. According to one client at CDF, multiple people were sick on his tier. He said that he had to buy cleaning products because what was provided was not effective. This client works in the kitchen and thus touches the food of hundreds of people each day. He was dressed in his white work uniform when I met with him and was not wearing a mask. I did not ask if he had access to a mask for his kitchen work.
7. As a parole division intern, I frequently communicate closely with family members of PDS clients. Through conversations with family members, I learned the following.
- a. On March 8<sup>th</sup>, 2020, I received an email from a client's girlfriend. In her email she said, "I know you are aware of the virus going around, inmates are sick of [sic] there and not being treated properly. They have nothing to sanitize there [sic] area. I'm afraid of him getting sick being over there." I followed up by text message to get more information and received the following information from the client's girlfriend: "Some of the guys have the flu and other cold like symptoms...The other guys haven't been tested so no telling what they have." On March 20<sup>th</sup>, 2020, she reached out to me again, texting, "There [sic] planning on being on lockdown and he hasn't been released...He said it's sick people in there and it's hot in there. I'm really scared for him I don't want him to catch anything."

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 25<sup>th</sup> day of March 2020, in Washington, D.C.

A handwritten signature in black ink, appearing to read "Eileen Johnson", written over a horizontal line.

Eileen Johnson  
Investigative Intern  
Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC

# **EXHIBIT 13**



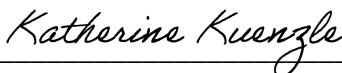
DECLARATION OF **Katherine Kuenzle**  
INVESTIATIVE INTERN AT THE PUBLIC DEFENDER SERVICE

*I, Katherine Kuenzle, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is **Katherine Kuenzle**. I make these statements based upon my personal knowledge.
2. I am an investigative intern for the Trial Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since **02/03/2020**. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as an investigative intern at PDS is to assist in the preparation of trial cases through witness interviews, investigation, and reviewing records.
3. As part of my duties as an investigative intern at PDS, I conduct phone calls with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. While I was conducting a phone call with a PDS client who is a resident of CTF, I learned the following:
  - a. Client went to medical twice in one week because he had a fever, cough, sore throat, and chills. During client’s first trip to medical on a Friday, client’s temperature was taken. However, when client returned to medical the second time on a Sunday, despite still complaining of a fever, client’s temperature was not taken. Instead, medical checked for the flu by putting a q-tip in client’s nose.
  - b. Despite having a fever, cough, sore throat, and chills, client still worked in the kitchen preparing 3-4 meals a day without a mask on a Friday and the following Tuesday. Client worked in the kitchen with 20 other people who were unable to maintain a far distance between each other.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the **26<sup>th</sup>** day of March 2020, in Washington, D.C.

  
\_\_\_\_\_  
**Katherine Kuenzle**  
Investigative Intern  
Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC

# **EXHIBIT 14**

DECLARATION OF KAVYA L. R. NAINI  
STAFF ATTORNEY AT THE PUBLIC DEFENDER SERVICE

*I, Kavya Naini, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Kavya Naini. I make these statements based upon my personal knowledge.
2. I am a staff attorney in the Special Litigation Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since October 2019. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. One of my responsibilities as a staff attorney in the Special Litigation Division is to represent people in criminal and post-conviction proceedings in the District of Columbia Superior Court.
3. As part of my duties as an attorney at PDS, I regularly conduct legal visits with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. Since March 1, 2020, I have visited CTF at least 5 times (confirmed visits on 3/1, 3/5, 3/12, 3/13, 3/20).
5. While I was in those facilities, I observed the following:
  - a. On March 1, 5, and 12, I did not see any noticeable difference in CTF operations. I was not screened when entering the facility. The visiting areas were full of individuals. On March 5, my visit overlapped with a social visit and the visiting area was full of families and friends visiting their loved ones – including my client, who had a visit from his elderly mother and friend. On each of those visits, residents were forced to wait in the back sitting next to each other while they waited to be escorted to their units.
  - b. On March 13, I visited CTF in the early morning around 8:30am. When I arrived, there were two individuals right by the front door, and one asked me if I had traveled to countries with COVID-19 in the past 30 days or had any symptoms associated with COVID-19. I was allowed to enter. Apart from this initial screen, there were no noticeable changes in CTF’s operations. No one was wearing gloves or masks, and I did not see people wiping down surfaces. There was a hand sanitizer dispenser in the visiting area that was available to everyone.
  - c. On March 20, I visited CTF in the late morning. I observed that there were two stations set up when you entered the facility. The first station was right by the entrance and had two DOC staff members seated in close proximity to each other,

neither was wearing a mask. One of them used a forehead thermometer to check my temperature. When I asked my temperature, she said that it was 93 degrees. About 10 feet away, there was a second station with three staff members seated at it. All three were seated next to each other. Only one was wearing gloves. There was a sheet of paper on the table along with some pens. I filled out the sheet of paper which asked questions about COVID symptoms and if I had been to high-risk countries in the past thirty days. When I answered no and signed the paper. The employee with gloves fastened a wristband on my right wrist. I did not see her change her gloves at any point before or after our interactions. I asked the employees how they were doing, and they said they were fine for now but seemed nervous about what was coming.

After finishing the initial screen, I entered the facility. I submitted my legal visit form to the employee in the front office, who was in close physical conversation with another employee. Neither were wearing masks or gloves. I went through the security check. Neither employee running the conveyor machine or the body scanner was wearing gloves or a mask. I was able to carry my small bottle of hand sanitizer with me.

I went upstairs to see my clients. There was a hand sanitizer dispenser near the door of the visiting area. I handed my sheets to the employee at the front desk in the visiting area. The employee was not wearing gloves or a mask. My clients were brought up one by one. But after my visit with one was over, my two clients had to squeeze by each other in a tight hallway of space to enter the bigger visiting area. My first client used the hand sanitizer dispenser when he first came into the visiting area, and I gave my second client some hand sanitizer from my bottle when he came into the legal visiting room.

There was nobody else in the visiting area except for me, my client, and the lady at the front desk for the large portion of our visit. I was there for about 3.5 hours. Most of the time was spent in one of the small legal visit rooms, but in my time there, I did not see staff wiping down any surfaces. I saw nobody wearing a mask. As I was leaving the facility, I saw one man spraying down the trays that are used on the conveyor belt when the guards are scanning visitor's items.

6. While I was in those facilities, I conducted legal visits with my clients. Through those meetings, I learned the following:
  - a. My clients told me that there were announcements at various points of the day asking people to clean. However, there was no enforcement of the cleaning and it was mainly expected that residents would be cleaning. I learned that one of my clients was still programming because only outside programming had stopped. And because programming was a requirement to stay in the unit, he had to

participate. I also learned that residents had been given a bar of soap the week of March 16, but that it was not a big bar, and for those people who did not have money to buy soap for the shower or to wash their clothes, it would not last long. My clients confirmed that they were not told that they would be getting any more soap once it was finished. In addition, hand sanitizer in the units had been primarily reserved for use by staff alone. Clients were also concerned about cleaning supplies. One mentioned that it had been several days since anything in the unit was properly cleaned because they had not gotten a refill of their cleaning supplies. He was starting to think about using shampoo to clean the showers because he did not have any disinfectant.

One of my clients lived in a unit where people from his unit were escorted out by staff in masks because of possible exposure to the U.S. Marshal. He said that those people had been living in the unit and interacting with others, and that no one had spoken to the rest of them about their interactions with the people that were quarantined. Another client said that staff do not clean common areas in the unit, it is up to the residents to clean their cells and common areas.

One of my clients said that his unit did have cleaning supplies. He said he was trying to clean regularly but there were other guys in his unit that were not taking it seriously and were not cleaning or washing their hands regularly.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 29th day of March 2020, in Washington, D.C.



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**Kavya Naini**  
Staff Attorney  
Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC

# **EXHIBIT 15**

DECLARATION OF IESHAAH MURPHY  
SUPERVISING ATTORNEY AT THE PUBLIC DEFENDER SERVICE

*I, Ieshaah Murphy, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is Ieshaah Murphy. I make these statements based upon my personal knowledge.
2. I am a supervising attorney in the Trial Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since February 19, 2017. Prior to working as a supervising attorney, I was a staff attorney in the Trial Division at PDS. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as a supervising trial attorney at PDS is to represent people in criminal proceedings in the District of Columbia Superior Court and to supervise the practice of PDS’s trial attorneys.
3. As part of my duties as an attorney at PDS, I regularly conduct legal visits with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. Since March 1, 2020, I have visited CDF two times.
5. While I was in those facilities, I observed the following:
  - a. On March 5, I visited CDF. I observed no changes to the normal protocol of entering the facility. I entered the front door, placed my bag, which included a laptop and client files, onto the conveyor belt to be searched, and I was patted down. None of the corrections officers were wearing gloves, masks, or any other protective gear. Another attorney entered the facility just after I did. He was also searched. The two corrections officers who searched my bag and patted me down did not wash their hands before also doing the same things to the other attorney. In the visiting hall, the corrections officer was not wearing gloves or a mask. I never saw anyone attempt to clean or wipe down the visiting hall area.
  - b. On March 18, I visited CDF. I observed a slight change in protocol to enter the building. Before entering, staff checked my temperature with a forehead thermometer and provided me with a questionnaire. The questionnaire asked me if I had any lower respiratory symptoms (cough, shortness of breath) or fever, if I had come into close contact with someone diagnosed with or suspected of having COVID-19, or if I had recently traveled to an area with known local spread of COVID-19. I answered “No” to all questions. No one asked any follow-up

questions. My temperature was 98.2 degrees. Upon entering the jail, the procedure for getting searched and getting an ID remain unchanged. None of the corrections officers were wearing gloves, masks, or any other protective gear. The corrections officer who search my bag did not wash his hands prior. The corrections officer behind the glass partition, responsible for giving me an ID and entering into the computer which clients I came to visit, was coughing. He did not cover his mouth and coughed all over the keyboard and the paperwork I had filled out to see my clients. He returned my ID and the paperwork to me. The corrections officers would not let me take a container of Lysol up to the visiting hall with me. They allowed me to take disinfectant wipes and hand sanitizer. The protocol in the visiting hall was the same as any other visit. The corrections officer administering the legal visit was not wearing gloves or a mask. Upon noticing me giving one client hand sanitizer, she told me that was not allowed. During the two hours I was there, I did not notice any attempts by staff to clean the area.

6. While I was in those facilities, I conducted legal visits with my clients. Through those meetings, I learned the following:
  - a. There are multiple residents on various units who are sick and coughing.
  - b. One client had a cell mate who was currently sick (coughing, sore throat).
  - c. The process for getting seen at the infirmary still takes 2-3 days, at best.
  - d. The staff is not cleaning common areas regularly (not even once a day).
  - e. They are not provided with products to clean their cells.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 27<sup>th</sup> day of March 2020, in Washington, D.C.



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Ishaah Murphy  
Supervising Attorney  
Public Defender Service for DC  
633 Indiana Ave. NW  
Washington, DC



# **EXHIBIT 16**

DECLARATION OF SYLVIA SMITH  
STAFF ATTORNEY AT THE PUBLIC DEFENDER SERVICE

*I, Sylvia Smith, certify under penalty of perjury that the following statement is true and correct pursuant to 28 U.S.C. § 1746.*

1. My name is **Sylvia Smith** I make these statements based upon my personal knowledge.
2. I am a staff attorney in the Trial Division at the Public Defender Service for the District of Columbia (hereinafter “PDS”) and have served in this role since October 5, 2015. PDS is a federally funded, independent organization dedicated to representing indigent adults and children accused of crimes in the District of Columbia. My principal responsibility as a trial attorney at PDS is to represent people in criminal proceedings in the District of Columbia Superior Court.
3. As part of my duties as an attorney at PDS, I regularly conduct legal visits with clients in the custody of the District of Columbia’s Department of Corrections, both at the Central Detention Facility (“CDF”) and at the Correctional Treatment Facility (“CTF”).
4. On **March 29, 2020**, I visited CDF. While I was in CDF, I observed the following:
  - a. When I entered CDF, there were three correction officers (“COs”) with gloves and masks on. Besides these three women, during the course of my visit, I did not see any other COs with masks on, and only saw one other CO wearing gloves. That is, between the seven COs I personally interacted with, and one CO that I observed, none were wearing masks, and only one was wearing gloves.
  - b. When I entered into the screening area, there were two COs, one operating the metal detection conveyor belt, the other CO was operating the x-ray machine and the hand-wand metal-detector. Neither CO was wearing masks or gloves.
  - c. Another CO entered behind me with a pizza in her hand. The same CO with the pizza ran the x-ray machine for me.
  - d. At the time I headed up to the 2<sup>nd</sup> floor for my visit, the CO with the pizza was trying to find some plastic to wrap it in.
  - e. When I got to the 2<sup>nd</sup> floor, there was a female CO in the area where our clients are called down to visitation. That CO did not have a mask nor did she have gloves on. She was eating sunflower seeds and spitting them into a paper or Styrofoam cup. As she was entering my information and calling for my client, I asked about whether or not the area had been washed down. She told me that she thinks the visitation area is cleaned once a day, though she hadn’t seen them do it that day, and did not know if they wiped down the phones.

- f. I saw a cleaning cart in the visitation area. On the cart was a bag full of rags in it. There was also a bottle with a “Windex” brand label on it. I didn’t see other cleaning supplies on the cart and it was just stationed there without anyone around.
  - g. After meeting with my client, I asked a CO if I could leave my client with a mask as I had heard that some residents had masks. The CO said I could not and that masks were considered contraband.
  - h. I was at the facility for 2 hours and did not hear any announcements over the intercom. I am certain there were no announcements while I was in the facility.
5. While I was at CDF I conducted meeting(s) with my client(s), through which I learned the following:
  - a. There are approximately 180 people on the unit.
  - b. Residents are getting updates about people testing positive at CDF and CTF not through staff, but through reading the news.
  - c. Residents are not being provided with laundry detergent, and have not been offered detergent for free.
  - d. Residents worried about getting infected through the food have had to rely solely on food through commissary.
  - e. No one is cleaning residents’ cells, and they have not been offered cleaning supplies to clean their cells.
  - f. The COs are not wearing masks and most do not wear gloves.
  - g. The staff at the facility do not appear to be cleaning common areas regularly, or even daily. The cleaning supplies are limited and appear to be watered down. Residents on “detail” are responsible for cleaning, not DOC staff.
  - h. The phones on the units are being used frequently, and not being wiped down between calls.
  - i. More people are exhibiting symptoms. When they report these symptoms, staff tell them to put in a request for medical. It takes 2 to 3 days to get seen by medical.
6. While I was at CTF on March 29, 2020, I learned the following from the CO who was operating the metal detection conveyer belt:
  - Three people were in “safe cell” at CDF because they had been exhibiting symptoms and awaiting testing;
  - 25 staff members were on administrative leave from CTF after one of the residents tested positive for Covid-19.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct to the best of my knowledge and belief.

Executed on the 29<sup>th</sup> day of March 2020, in Washington, D.C.

A handwritten signature in cursive script, reading "Sylvia Smith".

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Sylvia Smith  
Staff Attorney  
Public Defender Service for DC  
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Washington, DC